



MEMORANDUM – 2020-008

To : All Trading Participants

Subject : Employee Movement

Date : 6 March 2020

Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 29 February 2020.

For information and guidance.

(Original Signed)

DAISY P. ARCE

President

ACD	FHRAD	IED	SD

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
26-Feb-20	PANARES	BEN THOMAS P.	CEO	PRESIDENT AND CEO, DIRECTOR	UNICAPITAL SECURITIES, INC.	RE-DESIGNATION
26-Feb-20	MARTIREZ	JAIME J.	PRESIDENT	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (no clearance required)
26-Feb-20	DAGOHOY	RITCHEL A.	-	EQUITIES INVESTMENT ADVISER/ SALESMAN	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
17-Feb-20	PANARES	BEN THOMAS P.	-	CEO	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
17-Feb-20	MAYO	MUTYA A.	-	ACCOUNTING ASSISTANT	MERCANTILE SECURITIES, CORPORATION	NEW EMPLOYMENT
16-Feb-20	DEL MUNDO	CRISANTO D.	SENIOR ASSOCIATE, STOCK SETTLEMENT	USI OPERATIONS ASSISTANT MANAGER	UNICAPITAL SECURITIES, INC.	RE-DESIGNATION
14-Feb-20	DELA PENA	JENESIS A.	SALESMAN	-	FIDELITY SECURITIES, INC.	RESIGNATION (cleared)
14-Feb-20	BUMAGAT	CHELSY RAY D.	ACCOUNTING STAFF	-	EASTERN SECURITIES DEVT. CORPORATION	RESIGNATION (clearance under process)
12-Feb-20	BUMANGLAG	ESTEBAN CARLOS F.	FINANCIAL ANALYST	-	CTS GLOBAL EQUITY GROUP, INC. (formerly CITISECURITIES)	RESIGNATION (clearance under process)
11-Feb-20	ALICANTE	JOYCE T.	SENIOR ASSOCIATE, ONLINE- MIDDLE OFFICE	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (without clearance)
11-Feb-20	VILLEGAS	DAN LINCOLN T.	-	TRAINEE	EASTERN SECURITIES DEVT. CORPORATION	NEW EMPLOYMENT
11-Feb-20	ZARATE	FAYE ELOISA T.	MARKET MAKER	DEALER - ONLINE SALES	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
11-Feb-20	SOROSORO	EDLYN C.	-	CONTACT PERSON	INTRA-INVEST SECURITIES, INC.	NEW EMPLOYMENT
10-Feb-20	CLAVERIA	MARY GRACE A.	-	ACCOUNTANT	AP SECURITIES, INC.	NEW EMPLOYMENT
10-Feb-20	SOSUAN	FRANCO LEANDRO A.	-	CONSULTANT	RCBC SECURITIES, INC.	NEW EMPLOYMENT

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
07-Feb-20	DE HONOR	SARAH RHEA B.	ASSISTANT CASHIER	-	EASTERN SECURITIES DEVT. CORPORATION	RESIGNATION (no clearance required)
07-Feb-20	DE JESUS	PAOLO L.	ACTING ASSISTANT GENERAL MANAGER/ TRADER	-	INTRA-INVEST SECURITIES, INC.	RESIGNATION
06-Feb-20	CLAMANO	BRYAN JAMES N.	-	EQUITIES INVESTMENT ADVISER/ SALESMAN	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
06-Feb-20	SANTOS	VIENALIE A.	INTERNAL RECORDS CUSTODIAN	ASSISTANT CASHIER	EASTERN SECURITIES DEVT. CORPORATION	RE-DESIGNATION
06-Feb-20	TABO	CANDIE N.	EXECUTIVE ASSISTANT	INTERNAL RECORDS CUSTODIAN	EASTERN SECURITIES DEVT. CORPORATION	RE-DESIGNATION
06-Feb-20	CATALAN	FAITH ANGELIE E.	SALESMAN/ TRADER	-	EASTERN SECURITIES DEVT. CORPORATION	RESIGNATION (clearance withheld)
06-Feb-20	DIARON	RONABIL M.	ASST. COMPLIANCE OFFICER	ASSOCIATED PERSON	AP SECURITIES, INC.	RE-DESIGNATION
06-Feb-20	WEE	ERICSON C.	FVP - HEAD OF OPERATIONS/ NOMINEE	DIRECTOR/ FVP - HEAD OF OPERATIONS/ NOMINEE	AB CAPITAL SECURITIES, INC.	RE-DESIGNATION
04-Feb-20	MARTINEZ	CYRAH D.	TRADING FLOOR ASSISTANT	LICENSED SALESMAN	AAA SOUTHEAST EQUITIES, INC.	RE-DESIGNATION
04-Feb-20	CAPINIG	ELEONOR Q.	-	ACCOUNTING ASSISTANT	EASTERN SECURITIES DEVT. CORPORATION	NEW EMPLOYMENT
03-Feb-20	SEE	JEFF RADLEY C.	BUSINESS DEVELOPMENT OFFICER	HEAD TRADER	MERCANTILE SECURITIES, CORPORATION	RE-DESIGNATION
03-Feb-20	DELOS REYES	PAULA KRISTINE B.	-	EQUITIES INVESTMENT ADVISER/ SALESMAN	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
03-Feb-20	MAGALONG	PIA TERESA T.	-	EQUITY ANALYST	REGINA CAPITAL DEVT. CORPORATION	NEW EMPLOYMENT
03-Feb-20	SAMBILLO	RICHMOND ROY C.	-	JUNIOR PROGRAMMER	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
03-Feb-20	GONZAGA	REYMART D.	-	FINANCE STAFF - CONTRACTUAL	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
03-Feb-20	CATUBAY	ARIEL R.	-	MESSENGER - CONTRACTUAL	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
03-Feb-20	BAYLE	JUANCHO F.	-	MESSENGER - CONTRACTUAL	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
01-Feb-20	BUENO	DIANA MERLY C.	SECURITIES CLERK	-	MDR SECURITIES, INC.	RESIGNATION
01-Feb-20	CHAN	CINDY THERESE B.	EXECUTIVE VICE PRESIDENT	PRESIDENT/ CHAIRMAN OF THE BOARD	A & A SECURITIES, INC.	RE-DESIGNATION
01-Feb-20	CHAN	JONATHAN	NOMINEE	NOMINEE/ MARKETING MANAGER/ LIAISON OFFICER	A & A SECURITIES, INC.	RE-DESIGNATION
01-Feb-20	BANGAYAN	SHIRLEY Y.	PRESIDENT/ CHAIRMAN OF THE BOARD	DIRECTOR	A & A SECURITIES, INC.	RE-DESIGNATION
01-Feb-20	SALINOG	JACKIE LOU D.	JUNIOR ASST. MANAGER - IC, ACCOUNTING UNIT	ASST. MANAGER - IC, ACCOUNTING UNIT	RCBC SECURITIES, INC.	RE-DESIGNATION
01-Feb-20	MAGANA	SHERILYN G.	ACCOUNTING CLERK	-	WEALTH SECURITIES, INC.	RESIGNATION
01-Feb-20	MARASIGAN	RYAN ANGELO F.	TRADING ASSISTANT - PROBATIONARY	TRADING ASSISTANT - PERMANENT	INTRA-INVEST SECURITIES, INC.	RE-DESIGNATION
01-Feb-20	CARIASO	REGINALDO ANTHONY B.	CHAIRMAN	-	BPI SECURITIES CORPORATION	RESIGNATION (no clearance required)
01-Feb-20	TAN	CARLOS C.	INDEPENDENT DIRECTOR	-	BPI SECURITIES CORPORATION	RESIGNATION (no clearance required)
01-Feb-20	HUANG	RHODA A.	-	CHAIRMAN	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
01-Feb-20	MORALES	ALICIA RITA L.	-	INDEPENDENT DIRECTOR	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
31-Jan-20	CLAVERIA	MARY GRACE A.	CHIEF ACCOUNTANT	-	A. T. DE CASTRO SECURITIES CORPORATION	RESIGNATION
31-Jan-20	PEREZ	MARIA TERESA L.	STOCK CUSTODIAN	SETTLEMENT HEAD	REGINA CAPITAL DEVT. CORPORATION	RE-DESIGNATION

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
31-Jan-20	BANZON	MILAGROS R.	ASST. ACCOUNT STAFF	ACCOUNTANT	REGINA CAPITAL DEVT. CORPORATION	RE-DESIGNATION
29-Jan-20	CHAN	JAN FRANZ NORBERT JOSELITO A.	ASSISTANT CORPORATE SECRETARY	-	J. P. MORGAN SECURITIES PHILS, INC.	RESIGNATION
29-Jan-20	SUPERABLE	NONALYN S.	-	ASSISTANT CORPORATE SECRETARY	J. P. MORGAN SECURITIES PHILS, INC.	NEW EMPLOYMENT
28-Jan-20	AUTIDA	LODEN B.	-	OJT	YU & COMPANY, INC.	NEW EMPLOYMENT
27-Jan-20	HERNANDEZ	RAPHAEL JOURDAN M.	-	SALESMAN TRAINEE	SB EQUITIES, INC.	NEW EMPLOYMENT
20-Jan-20	JAYME	JOACHIN ANTONIO J.	-	TRAINEE	OPTIMUM SECURITIES CORPORATION	NEW EMPLOYMENT
18-Jan-20	CHAN	HANNAH CECILLE L.	MANAGER, BUSINESS ANALYST FOR PRODUCTS AND	-	MAYBANK ATR KIM ENG SECURITIES, INC.	UPDATE: RESIGNATION (cleared)
17-Jan-20	VENTINILLA	JOEL D.	HEAD MESSENGER	-	MAYBANK ATR KIM ENG SECURITIES, INC.	UPDATE: RESIGNATION (cleared)
16-Jan-20	SECOPITO	ROSALIE C.	CLERK/ TYPIST/ TELEPHONE CLERK	-	SOLAR SECURITIES, INC.	RESIGNATION (cleared)
15-Jan-20	RUIZ	RAUL P.	FVP - DIRECTOR/ ACTING PRESIDENT/ HEAD OF	SVP - DIRECTOR/ ACTING PRESIDENT/ HEAD OF RESEARCH	RCBC SECURITIES, INC.	RE-DESIGNATION
15-Jan-20	MANARIN	MARC DELVY T.	SALESMAN	-	FIDELITY SECURITIES, INC.	RESIGNATION (cleared)
15-Jan-20	LEDESMA	JOSE LUIS	-	COMPANY DRIVER	MERCANTILE SECURITIES, CORPORATION	NEW EMPLOYMENT
15-Jan-20	ROBLEDO	NORBEN M.	-	MESSENGER/ COLLECTOR	MERCANTILE SECURITIES, CORPORATION	NEW EMPLOYMENT
06-Jan-20	DE JESUS	FIORENZO D.	-	RESEARCH ANALYST	SB EQUITIES, INC.	NEW EMPLOYMENT
06-Jan-20	DUCALANG	JOHNBERT P.	-	MESSENGER	OPTIMUM SECURITIES CORPORATION	NEW EMPLOYMENT

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
02-Jan-20	MILLO JR.	JUANITO S.	MESSENGER	-	OPTIMUM SECURITIES CORPORATION	RESIGNATION (cleared)
01-Jan-20	CAMIÑA	MA. OPHELIA L.	SVP/ TREASURER/ DIRECTOR	TREASURER/ DIRECTOR	BDO NOMURA SECURITIES INC.	RE-DESIGNATION
18-Nov-19	AGERO	ANGELYN ANN S.	SALES AGENT	-	MAYBANK ATR KIM ENG SECURITIES, INC.	UPDATE: RESIGNATION (cleared)
02-Oct-19	PAMOR	MARJORIE M.	ASSOCIATED PERSON	-	GOLDEN TOWER SECURITIES & HOLDINGS, INC.	RESIGNATION (cleared)
02-Oct-19	TAN	JEMIMAH CHRISTIANNE M.	ACCOUNTANT	ACTING ASSOCIATED PERSON	GOLDEN TOWER SECURITIES & HOLDINGS, INC.	RE-DESIGNATION