



# MEMORANDUM – 2020-010

**TO :** ALL TRADING PARTICIPANTS AND THE INVESTING PUBLIC  
**SUBJECT :** COMPLIANCE WITH CMIC REQUIREMENTS  
**DATE :** 17 March 2020

---

Due to the imposition of an enhanced community quarantine and stringent social distancing measures over the entire Luzon, please be advised of the following measures, which are effective until further notice:

- All reportorial requirements specified in Section a of *CMIC Memorandum 2020-009* may still be filed via electronic mail/e-mail.
- The bi-monthly RBCA reports shall still be submitted via iPSE.
- Monthly sworn statements on PSE shares, applications for voluntary suspension of trading operations, and applications for subordinated loan may still be submitted to the e-mail addresses stated in *CMIC Memorandum 2020-009*. Hard copies thereof shall be delivered to CMIC after the lifting of the enhanced community quarantine.
- With regard to other reports and submissions (*e.g.*, pleadings, case documents, and customer claims), the same may be sent to [info@cmic.com.ph](mailto:info@cmic.com.ph). CMIC may request hard copies thereof after the enhanced community quarantine is lifted.
- All informal hearings and exit conferences scheduled within the effectivity of the enhanced community quarantine are considered reset. Concerned parties shall be informed of the new schedules and arrangements thereof.
- For ongoing spot audits, the requested documents shall be submitted via e-mail to [ldmendoza@cmic.com.ph](mailto:ldmendoza@cmic.com.ph) and [lemansilungan@cmic.com.ph](mailto:lemansilungan@cmic.com.ph), within the previously given period. Relevant inquiries may be sent to the same e-mail addresses.
- For ongoing special audits, the requested documents shall be submitted via e-mail to [jgbaccay@cmic.com.ph](mailto:jgbaccay@cmic.com.ph) and [lemansilungan@cmic.com.ph](mailto:lemansilungan@cmic.com.ph), within the previously given period. Relevant inquiries may be sent to the same e-mail addresses.
- For other concerns, please send an e-mail to [info@cmic.com.ph](mailto:info@cmic.com.ph).

For your information and/or compliance.

(original signed)  
**DAISY P. ARCE**  
*President*

<b>ACD</b>	<b>FHRAD</b>	<b>IED</b>	<b>SD</b>