



MEMORANDUM – 2020-013

To : All Trading Participants

Subject : Employee Movement

Date : 6 April 2020

Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 31 March 2020.

For information and guidance.

(original signed)
DAISY P. ARCE
President

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DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
10-Apr-20	ALLER	GRACE G.	ONLINE ADMINISTRATOR	-	AP SECURITIES, INC.	RESIGNATION
06-Apr-20	CONSUNJI	JAVIER RICARDO O.	RESEARCH ANALYST	-	BPI SECURITIES CORPORATION	RESIGNATION
04-Apr-20	DEL CALLAR	MA. KARISSA RAPHAELA S.	RESEARCH ANALYST	-	BPI SECURITIES CORPORATION	RESIGNATION
03-Apr-20	MATRIANO	JOSE CARLOS RAFAEL H.	INSTITUTIONAL DEALER	-	BPI SECURITIES CORPORATION	RESIGNATION
26-Mar-20	ARCE	TOBY ALLAN C.	LICENSED SALESMAN	LICENSED SALESMAN/ PRINCIPAL SUPERVISOR	GLOBALINKS SECURITIES & STOCKS, INC.	RE-DESIGNATION
26-Mar-20	ILAGAN	JIMMY A.	AUTHORIZED TRADER	LICENSED SALESMAN	GLOBALINKS SECURITIES & STOCKS, INC.	RE-DESIGNATION
26-Mar-20	TY	ABIGAIL CHERYL W.	CORPORATE SECRETARY/ SUPERVISOR TRADER	CORPORATE SECRETARY/ ALTERNATE/SECONDARY	GLOBALINKS SECURITIES & STOCKS, INC.	RE-DESIGNATION
20-Mar-20	MACAINAG	MICHAEL M.	SALESMAN/ TRADER	-	SUNSECURITIES, INC.	RESIGNATION (cleared)
16-Mar-20	PALABRICA	HEIDI MARIE S.	-	BUSINESS OPERATIONS ASSOCIATE	CHINA BANK SECURITIES CORP. (formerly ATC SECURITIES,	NEW EMPLOYMENT
16-Mar-20	OLAÑO	JAMES PAUL N.	-	OPERATIONS ASSISTANT, COG- CBC	CHINA BANK SECURITIES CORP. (formerly ATC SECURITIES,	NEW EMPLOYMENT
16-Mar-20	DE LAS LLAGAS	RANIEL L.	OPERATIONS ASSISTANT, COG- CBC	-	CHINA BANK SECURITIES CORP. (formerly ATC SECURITIES,	RESIGNATION (no clearance required)
14-Mar-20	EISMA	JEFFERSON VINCE C.	BUSINESS DEVELOPMENT ANALYST	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
13-Mar-20	HERNANDEZ	ROM CHARIS N.	EXECUTIVE SECRETARY AND ADMINISTRATIVE ASSISTANT	-	CHINA BANK SECURITIES CORP. (formerly ATC SECURITIES,	RESIGNATION (cleared)
12-Mar-20	MORANDARTE	MARIE JO A.	TRADING ASSISTANT	-	TRANS-ASIA SECURITIES, INC.	RESIGNATION (did not apply for clearance)
11-Mar-20	JAVIER	RAFAEL ALFONSO	RESEARCH ANALYST	-	PHIL. EQUITY PARTNERS, INC.	RESIGNATION (cleared)

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11-Mar-20	CO	JENNIFER	EQUITY SALES	-	PHIL. EQUITY PARTNERS, INC.	RESIGNATION (cleared)
11-Mar-20	MALABANAN	NYSSA MAE M.	SECURITIES SETTLEMENT ASSOCIATE	-	BPI SECURITIES CORPORATION	RESIGNATION (clearance under process)
09-Mar-20	TALA	MARK ANTHONY V.	RESEARCH AND FINANCE ANALYST	-	HDI SECURITIES, INC.	RESIGNATION (no clearance required)
07-Mar-20	DELA CRUZ JR.	ANDY WILLING	INSTITUTIONAL SALES, INSTITUTIONAL BUSINESS	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
06-Mar-20	DE VICENTE	DEVIN B.	JUNIOR RESEARCH ANALYST	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (clearance under process)
05-Mar-20	SO	MARIAN CATHLEEN C.	CLIENT EXPERIENCE OFFICER	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
02-Mar-20	DELA PEÑA	JENESIS A.	-	AUTHORIZED SALESMAN	YU AND COMPANY, INC.	NEW EMPLOYMENT
02-Mar-20	ABRAZADO	CHEYCEIL I.	-	SETTLEMENT ASSOCIATE	PNB SECURITIES, INC.	NEW EMPLOYMENT
02-Mar-20	TRINIDAD	AILA MARIE D.	-	ACCOUNTING ASSISTANT	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
02-Mar-20	EVANGELISTA	DENZYL PAUL G.	TRAINEE OF TRADING ASSISTANT	SALESMAN/ TRADER	EASTERN SECURITIES DEVT. CORPORATION	RE-DESIGNATION
02-Mar-20	TACDER JR.	REYNALDO NIÑO G.	-	SALES TRAINEE	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
02-Mar-20	GARCIA	NICOLE ANGELI U.	-	TRADING ASSISTANT	PAPA SECURITIES CORPORATION	NEW EMPLOYMENT
02-Mar-20	CORPUZ	SHELANE L.	ACCOUNTING ASSISTANT	SETTLEMENT ASSISTANT	HDI SECURITIES, INC.	RE-DESIGNATION
02-Mar-20	CORTIGUERRA	KATHLYN M.	ADMIN ASSISTANT	ACCOUNTING ASSISTANT	HDI SECURITIES, INC.	RE-DESIGNATION
02-Mar-20	JUNTILLA	JOANNE Z.	SETTLEMENT HEAD	-	HDI SECURITIES, INC.	RESIGNATION (no clearance required)

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01-Mar-20	CARDAMA	CHERRY MAE B.	TRADING ASSISTANT	ACCOUNTING CLERK	INVESTORS SECURITIES, INC.	RE-DESIGNATION
01-Mar-20	BENAVIDEZ	VICTOR V.	NOMINEE/ AUTHORIZED TRADER	AUTHORIZED TRADER	ALAKOR SECURITIES CORPORATION	RE-DESIGNATION
29-Feb-20	MANUEL	JEAN CARRIE P.	FINANCIAL ANALYST - OUTSOURCED	-	J. P. MORGAN SECURITIES PHILS, INC.	RESIGNATION (no clearance required)
28-Feb-20	MANLAPAS	JOHN VALMONTE G.	TEAM HEAD (OIC)	-	BDO SECURITIES CORPORATION	RESIGNATION (clearance under process)
28-Feb-20	RAMOS	MARK B.	SYSTEMS AND DATABASE ADMINISTRATOR	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
26-Feb-20	YABUT	JESSY NAZER G.	IT ASSOCIATE	-	BPI SECURITIES CORPORATION	RESIGNATION (cleared)
18-Feb-20	CUAN	HAZEL PHIWEE D.	EQUITY RESEARCH ANALYST	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (cleared)
17-Feb-20	MURAKAMI	CAMILLE A..	-	RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
17-Feb-20	MARCOS	JOSEPH SIMON A.	-	RESEARCH AND MARKETING ASST.	REGINA CAPITAL DEVT. CORPORATION	NEW EMPLOYMENT
16-Feb-20	GALVEZ	VANESSA ELAINE P.	SM, DEPARTMENT HEAD-PRODUCT DEVELOPMENT	AVP, DEPARTMENT HEAD-PRODUCT DEVELOPMENT	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
16-Feb-20	LICHAUCO	MICHAEL M.	AM-DEALER – ONLINE SALES	SAM-DEALER – ONLINE SALES	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
16-Feb-20	BILLONES	JOHN PHILIP T.	JL4 – BUSINESS DEVELOPMENT ASSOCIATE	JAM-BUSINESS DEVELOPMENT OFFICER	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
16-Feb-20	KLOTZ	DIVINA G.	JL4 – OPERATIONS ASSOCIATE	JAM-OPERATIONS OFFICER	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
16-Feb-20	VILLAMIEL	ESTELLA DHEL B.	JL4-EQUITY RESEARCH ASSOCIATE	JAM-EQUITY RESEARCH ANALYST	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
16-Feb-20	DESEO, JR	ROGELIO C.	JL4-DEALER-INSTITUTIONAL TRADING	JAM-DEALER INSTITUTIONAL TRADING	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION

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16-Feb-20	ILIGAN	ROGER O.	JL3-OPERATIONS ASSOCIATE	JL4-OPERATIONS ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
16-Feb-20	PERA	DENISE MIKKA A.	JL2-OPERATIONS ASSOCIATE	JL3-OPERATIONS ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
15-Feb-20	ZARCAL	LUIS MIGUEL M.	EQUITY RESEARCH ANALYST	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (cleared)
15-Feb-20	BANGAYAN	CLAIRE N.	GENERAL CLERK	-	R. NUBLA SECURITIES, INC.	RESIGNATION (cleared)
07-Feb-20	ARAGON	MARIA LARR V.	SR. MANAGER OF HUMAN RESOURCES - CORPORATE	-	ABACUS SECURITIES CORPORATION	RESIGNATION (cleared)
07-Feb-20	CARONAN	BEA KRISTINE N.	-	CSR/ INDEPENDENT TRADER	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
03-Feb-20	FUENTES	TRACY B.	-	SENIOR ASSOCIATE, SETTLEMENTS	MACQUARIE CAPITAL SECURITIES (PHIL), INC.	NEW EMPLOYMENT