



MEMORANDUM – 2020-017

To : All Trading Participants

Subject : Employee Movement

Date : 5 June 2020

Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 31 May 2020.

For information and guidance.

(original signed)
DAISY P. ARCE
President

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DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
24-Apr-20	HENSON	PAMELA CARLA G.	INSTITUTIONAL SALES	-	BPI SECURITIES CORPORATION	RESIGNATION
29-May-20	YU	GORDON CHRISTIAN K.	FINANCIAL ANALYST	-	CTS GLOBAL EQUITY GROUP, INC. (formerly CITISECURITIES)	RESIGNATION (clearance under process)
25-May-20	TAN	KATHERINE T.	SVP, RESEARCH	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (clearance under process)
18-May-20	ANG	ANNA LORRAINE D.	RESEARCH & MARKETING OFFICER (P2P)	COMPLIANCE COORDINATOR	PAPA SECURITIES CORPORATION	RE-DESIGNATION
18-May-20	ILAGAN	MARCELLE LEA V.	OPERATIONS MANAGER (P2P TRADE)	COMPLIANCE COORDINATOR	PAPA SECURITIES CORPORATION	RE-DESIGNATION
18-May-20	RETIRADO	JUAN MIGUEL G.	RESEARCH ANALYST	-	RCBC SECURITIES, INC.	RESIGNATION (clearance under process)
18-May-20	TAN	JACKSON	-	VICE PRESIDENT	ASIA PACIFIC CAPITAL EQUITIES & SECURITIES	NEW EMPLOYMENT
18-May-20	GO	INGRID C.	TELEPHONE CLERK	VICE PRESIDENT	ASIA PACIFIC CAPITAL EQUITIES & SECURITIES	RE-DESIGNATION
15-May-20	LUANSING	KARLA VERONIQUE Z.	EQUITY TRADER	-	THE FIRST RESOURCES MGMT. SECURITIES CORP.	RESIGNATION
08-May-20	OLIVEROS	EDWIN G.	AVP, INFORMATION TECHNOLOGY AND COMPLIANCE OFFICER FOR	AVP, SOUTH EAST ASIA AND CHANGE DPO LEAD AND	CREDIT SUISSE SECURITIES (PHILIPPINES), INC.	RE-DESIGNATION
06-May-20	SEVERINO	MARIBEL O.	-	EQUITIES INVESTMENT ADVISER/ SALESMAN	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
05-May-20	JAUDAL	ALMIRA B.	EQUITY TRADER	-	THE FIRST RESOURCES MGMT. SECURITIES CORP.	RESIGNATION
04-May-20	HERNANDO	DR. REJIENALLE D.	-	ASSISTANT VICE PRESIDENT AND HEAD OF HUMAN	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
02-May-20	SAMBO	LARRY	-	DRIVER/ MESSENGER	BA SECURITIES, INC.	NEW EMPLOYMENT
01-May-20	YANG	DAPHNE T.	-	ASSISTANT MANAGER - RESEARCH ANALYST	RCBC SECURITIES, INC.	NEW EMPLOYMENT

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
01-May-20	FUJIWARA	HAJIME	INSTITUTIONAL SALES TRADER	-	BDO NOMURA SECURITIES INC.	RESIGNATION (cleared)
30-Apr-20	SOSUAN	FRANCO LEANDRO A.	CONSULTANT	-	RCBC SECURITIES, INC.	RESIGNATION (cleared)
30-Apr-20	VILLAMER	RIZALYN P.	OPERATIONS MANAGER	-	INVESTORS SECURITIES, INC.	RESIGNATION (cleared)
30-Apr-20	MORALES	KATRINA ERIKA MAE	IT OFFICER	-	BA SECURITIES, INC.	RESIGNATION (cleared)
30-Apr-20	PAHIT	MARIO	DRIVER/ MESSENGER	-	BA SECURITIES, INC.	RESIGNATION (cleared)
30-Apr-20	ALORA	JINKY A.	COMPLIANCE OFFICER	-	UBS SECURITIES PHILIPPINES, INC.	RESIGNATION
21-Apr-20	SION	AIDA G.	INDEPENDENT DIRECTOR	-	MERCANTILE SECURITIES, CORPORATION	RESIGNATION
21-Apr-20	ABILAY	SUSANA C.	INDEPENDENT DIRECTOR	-	MERCANTILE SECURITIES, CORPORATION	RESIGNATION
21-Apr-20	CHUA	KEVIN CHRISTIAN L.	-	INDEPENDENT DIRECTOR	MERCANTILE SECURITIES, CORPORATION	NEW EMPLOYMENT
21-Apr-20	SAMANIEGO	REBECCA L.	-	INDEPENDENT DIRECTOR	MERCANTILE SECURITIES, CORPORATION	NEW EMPLOYMENT
16-Apr-20	VILLAMER	RIZALYN P.	OPERATIONS MGR. AND CORP. SEC.	OPERATIONS MANAGER	INVESTORS SECURITIES, INC.	RE-DESIGNATION
16-Apr-20	SILVA	MA. JULYNE B.	ACCOUNTANT AND ASST. CORP. SEC.	ACCOUNTANT AND CORP. SEC.	INVESTORS SECURITIES, INC.	RE-DESIGNATION
16-Apr-20	CRUZ	RENZ III	EQUITY ANALYST	-	REGINA CAPITAL DEVT. CORPORATION	RESIGNATION
15-Apr-20	VELASCO	MA. JIDGETTE V.	RESEARCH HEAD	-	SB EQUITIES, INC.	RESIGNATION (cleared)
06-Apr-20	DULIN	ALWYN M.	ACCOUNTING STAFF	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (cleared)

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
03-Apr-20	ONGSINGCO	MAYO JOSE B.	VICE CHAIRMAN/ BOARD MEMBER	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	SEPARATION/ DECEASED
02-Apr-20	BUMALAY	KRYSTEL MAY B.	SETTLEMENT CLERK	-	VENTURE SECURITIES, INC.	UPDATE: RESIGNATION (cleared)
31-Mar-20	GONZAGA	ANDREO M.	OPERATIONS ASSOCIATE	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)