



MEMORANDUM – 2020-027

To : All Trading Participants

Subject : Employee Movement

Date : 4 September 2020

Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 31 August 2020.

For information and guidance.

(original signed)
DAISY P. ARCE
President

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DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
27-Aug-20	DE LEON	KEVIN Z.	-	CUSTOMER SERVICE ASSOCIATE	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
20-Aug-20	MONTELLANO	CHRISTIAN JOSEPH D.	SALESMAN	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (cleared)
18-Aug-20	TORIO	MARIA CARMELITA D.	VICE PRESIDENT, ASSOCIATED PERSON AND CORPORATE	VICE PRESIDENT AND ASSOCIATED PERSON	H.E. BENNETT SECURITIES, INC.	RE-DESIGNATION
18-Aug-20	DELA PEÑA	CARMELITA T.	TREASURER	CORPORATE SECRETARY	H.E. BENNETT SECURITIES, INC.	RE-DESIGNATION
18-Aug-20	DELA PEÑA	MA. CRISTINA T.	ASSISTANT TREASURER	TREASURER	H.E. BENNETT SECURITIES, INC.	RE-DESIGNATION
17-Aug-20	CANELA	NATALIE JOY F.	SETTLEMENT OFFICER	-	BDO NOMURA SECURITIES INC.	RESIGNATION
17-Aug-20	CANDIDO	EMMALYN B.	ADMINISTRATIVE ASSISTANT	-	BDO NOMURA SECURITIES INC.	RESIGNATION
17-Aug-20	BATHAN	BEATRIZ NAOMI B.	CORPORATE ACCESS/ ADMIN ASSISTANT	CORPORATE ACCESS/ ADMIN ASSISTANT/ SALES TRAINEE	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RE-DESIGNATION
17-Aug-20	LACANIENTA	MUZOMIA L.	-	RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
17-Aug-20	CANELA	NATALIE JOY F.	-	OPERATIONS OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
17-Aug-20	CANDIDO	EMMALYN B.	-	ADMINISTRATIVE ASSISTANT	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
17-Aug-20	PASION	RYAN EMMANUEL D.	-	EQUITY ANALYST	PAPA SECURITIES CORPORATION	NEW EMPLOYMENT
17-Aug-20	LAO	LORNA D.	ASSISTANT COMPLIANCE	-	EASTERN SECURITIES DEVT. CORPORATION	RESIGNATION (clearance under process)
16-Aug-20	COLOMA	ALYSSA ERICA M.	MARKETING RELATIONSHIP OFFICER	-	BDO NOMURA SECURITIES INC.	RESIGNATION
15-Aug-20	AGSALUD	ANGELINA T.	ACCOUNTANT	-	GLOBALINKS SECURITIES & STOCKS, INC.	RETIREMENT

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13-Aug-20	GERON	LIDUVINO S.	-	CHAIRMAN/ DIRECTOR	UCPB SECURITIES, INC.	NEW EMPLOYMENT
11-Aug-20	MAGRACIA	ROY S.	-	ASSOCIATE DIRECTOR, HEAD OF INFORMATION	UBS SECURITIES PHILIPPINES, INC.	NEW EMPLOYMENT
10-Aug-20	DE LARA	VICTOR AARON G.	AUTHORIZED AGENT	-	WEALTH SECURITIES, INC.	RESIGNATION
08-Aug-20	MANAHAN	ELSON B.	DATA PRIVACY OFFICER	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (clearance under process)
05-Aug-20	ASPELING	BEVERLY JANE	DATA PROTECTION OFFICER	-	CREDIT SUISSE SECURITIES (PHILIPPINES), INC.	RESIGNATION (no clearance required)
05-Aug-20	KEENAN	JAMES	-	DATA PROTECTION OFFICER	CREDIT SUISSE SECURITIES (PHILIPPINES), INC.	NEW EMPLOYMENT
04-Aug-20	BATIGULAO	DANISALYN A.	-	CUSTOMER SERVICE ASSOCIATE	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
03-Aug-20	ARCAS	ALVIN S.	-	IT AVP	CREDIT SUISSE SECURITIES (PHILIPPINES), INC.	NEW EMPLOYMENT
03-Aug-20	LAYUGAN	JESSIE G.	-	IT HEAD	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
03-Aug-20	CARVAJAL	ALEXA MAE A.	-	RESEARCH ASSISTANT	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
03-Aug-20	DI	NATALIE G.	ASST. CORP. SECRETARY	CORP. SECRETARY	INVESTORS SECURITIES, INC.	RE-DESIGNATION
03-Aug-20	CARANDANG	AIRENE P.	-	ASSISTANT VICE PRESIDENT, GROUP LEGAL OFFICER	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
03-Aug-20	MISTAS	EFREN I.	-	OPERATIONS ASSISTANT	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
01-Aug-20	GO	JORGE MATTHEW II S.	-	ASSISTANT VICE PRESIDENT	JSG SECURITIES, INC.	NEW EMPLOYMENT
01-Aug-20	VELASCO	SUNDEE B.	AM - COMPLIANCE OFFICER/ ASSOCIATED PERSON/ RISK	AM - INTERNAL AUDITOR	RCBC SECURITIES, INC.	RE-DESIGNATION

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01-Aug-20	HIPOLITO	RONNIE M.	JAM - INTERNAL AUDITOR	JAM - COMPLIANCE OFFICER/ ASSOCIATED PERSON/ RISK OFFICER	RCBC SECURITIES, INC.	RE-DESIGNATION
01-Aug-20	SANTOS	ARIELLE ANNE D.	-	EQUITY ANALYST	REGINA CAPITAL DEVT. CORPORATION	NEW EMPLOYMENT
31-Jul-20	CARDAMA	CHERRY MAE B.	ACCOUNTING CLERK	-	INVESTORS SECURITIES, INC.	RESIGNATION (cleared)
31-Jul-20	SILVA	MA. JULYNE B.	ACCOUNTANT AND CORP. SEC.	-	INVESTORS SECURITIES, INC.	RESIGNATION (cleared)
31-Jul-20	CRUZADO	ROSE ANN D.	EXECUTIVE SECRETARY	-	BDO NOMURA SECURITIES INC.	RESIGNATION
31-Jul-20	UY	GERALDINE T.	ADMINISTRATIVE OFFICER	-	R. NUBLA SECURITIES, INC.	RESIGNATION (cleared)
30-Jul-20	GUINTO	LICHELE MARIE G.	RELATIONSHIP MANAGER	-	BDO SECURITIES CORPORATION	RESIGNATION (clearance under process)
30-Jul-20	LITAP	PERFECTO JR. S.	ASST. COMPLIANCE OFFICER/ ASSOCIATED PERSON	ASST. COMPLIANCE OFFICER/ ASSOCIATED PERSON/ DATA PRIVACY OFFICER	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
28-Jul-20	SANTOS	HAZEL MARGERIE V.	SECURITIES SETTLEMENT OFFICER	-	APEX PHILS. EQUITIES CORPORATION	RESIGNATION (cleared)
27-Jul-20	TANINGCO	ANGELO B.	-	RESEARCH HEAD	SB EQUITIES, INC.	NEW EMPLOYMENT
24-Jul-20	PERALTA	JOEL P.	SETTLEMENT ASSOCIATE	-	CLSA PHILIPPINES, INC.	RESIGNATION (clearance under process)
21-Jul-20	ESPIRITU	CHARISSE N.	-	SETTLEMENT ASSOCIATE - CONTRACTUAL	CLSA PHILIPPINES, INC.	NEW EMPLOYMENT
20-Jul-20	SAMBILLO	RICHMOND ROY C.	JUNIOR PROGRAMMER	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (clearance under process)
17-Jul-20	BRIONES	ANESSA B.	RELATIONSHIP MANAGER	-	BDO SECURITIES CORPORATION	RESIGNATION (clearance under process)

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17-Jul-20	LAYUGAN	JESSIE G.	ASSOCIATE DIRECTOR, HEAD OF INFORMATION TECHNOLOGY (IT)	-	UBS SECURITIES PHILIPPINES, INC.	RESIGNATION
16-Jul-20	DERAIN	BEVERLY A.	-	IT ASSISTANT	PHILIPPINE EQUITY PARTNERS, INC.	NEW EMPLOYMENT
15-Jul-20	MUSNGI	ZOREN PHILIP	RESEARCH ANALYST	-	MANDARIN SECURITIES CORPORATION	RESIGNATION
15-Jul-20	SANGALANG	JETT RAIGH O.	GENERAL CLERK	-	RCBC SECURITIES, INC.	RESIGNATION
15-Jul-20	ESTEVA	JULES CATHERINE D.	-	RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
15-Jul-20	PENARANDA	MARA BERNADETTE D.	-	ASSISTANT RM	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
15-Jul-20	NG	ANTON HANS FRANCIS C.	-	ASSISTANT RM	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
15-Jul-20	DIAZ	MARIE FRANCESCA C.	-	CENTER HEAD	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
15-Jul-20	GAWECO	ALESSANDRA EUGINIE G.	-	OFFICE CLERK	MOUNT PEAK SECURITIES, INC.	NEW EMPLOYMENT
13-Jul-20	MORGADO	ALDEN P.	-	FINANCE MANAGER	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
06-Jul-20	TAN	CHARLES CONROY W.	-	INTERN	HDI SECURITIES, INC.	NEW EMPLOYMENT
16-May-20	DELA CRUZ	ANDY WILLING JR.	-	RESEARCH ANALYST	PHILIPPINE EQUITY PARTNERS, INC.	NEW EMPLOYMENT