



MEMORANDUM – 2020-031

To : **All Trading Participants**

Subject : **Employee Movement**

Date : **6 November 2020**

Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 31 October 2020.

For information and guidance.

(original signed)
DAISY P. ARCE
President

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DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
31-Oct-20	ALVAREZ	THOMAS L.	SALESMAN	-	FIRST ORIENT SECURITIES, INC.	RESIGNATION
27-Oct-20	LIBORO	RICHARD ANTHONY Y.	SALESMAN/ CERTIFIED INVESTMENT SOLICITOR	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (clearance under process)
26-Oct-20	CANSANA	BALTAZAR S.A.	SALESMAN	-	AAA SOUTHEAST EQUITIES, INC.	RETIREMENT (cleared)
19-Oct-20	ORNUM	DELJAN LUTHER H.	-	SALESMAN	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
16-Oct-20	JACINTO	EDWARD JOHN C.	-	DEALER TRAINEE	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
15-Oct-20	JACINTO	EDWARD JOHN C.	INDEPENDENT FINANCIAL ADVISOR	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
15-Oct-20	SAN JOSE	ROLANDO A.	EXECUTION TRADER	-	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RETIREMENT
15-Oct-20	ORNUM	DELJAN LUTHER H.	EQUITIES TRADER/ SALESMAN	-	UPCC SECURITIES CORPORATION	RESIGNATION
06-Oct-20	CABANGON	WILLIAM MATTHEW M.	PRESIDENT	NOMINEE/ PRESIDENT/ SALESMAN	AAA SOUTHEAST EQUITIES, INC.	RE-DESIGNATION
06-Oct-20	CABANGON	D. ALFRED A.	NOMINEE	CHAIRMAN OF THE BOARD	AAA SOUTHEAST EQUITIES, INC.	RE-DESIGNATION
06-Oct-20	RAMOS	GERARD ANTON S.	PRESIDENT	PRESIDENT/ NOMINEE	ALAKOR SECURITIES CORPORATION	RE-DESIGNATION
05-Oct-20	MABIOG	PATRICIA MEI P.	-	ACCOUNTING ASSISTANT	GLOBALINKS SECURITIES & STOCKS, INC.	NEW EMPLOYMENT
05-Oct-20	FORMALEJO	TRECCIA MAE L.	-	OFFICE CLERK	MERCANTILE SECURITIES, CORPORATION	NEW EMPLOYMENT
05-Oct-20	BULAHAN	AXCELLE MAY O.	ACCOUNT OPENING ASSOCIATE	SECURITIES SETTLEMENT ASSOCIATE	BPI SECURITIES CORPORATION	RE-DESIGNATION

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02-Oct-20	GANHINHIN	IRA P.	CERTIFIED SECURITIES REPRESENTATIVE	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
01-Oct-20	LITAP	PERFECTO JR. S.	ASST. COMPLIANCE OFFICER/ ASSOCIATED PERSON/ DATA PRIVACY OFFICER	OIC COMPLIANCE HEAD/ ASSOCIATED PERSON/ DPO	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
01-Oct-20	HO	JAMESON O.	-	RESEARCH ANALYST	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
01-Oct-20	BURLAUS	REY FERNAN E.	AUDIT ASSISTANT	-	HDI SECURITIES, INC.	RESIGNATION (no clearance required)
01-Oct-20	MORALES	THERESA M.	AUDIT ASSISTANT	-	HDI SECURITIES, INC.	RESIGNATION (no clearance required)
30-Sep-20	GO	MALCOLM MILTON T.	TRADER	-	BDO SECURITIES CORPORATION	RESIGNATION (clearance under process)
30-Sep-20	RODRIGUEZ	CLARISSE MAE B.	ACCOUNTANT	-	GOLDEN TOWER SECURITIES HOLDINGS, INC.	RESIGNATION (cleared)
30-Sep-20	KUO	STEVEN NORRIS C.	TRADER	-	GOLDEN TOWER SECURITIES HOLDINGS, INC.	RESIGNATION (cleared)
30-Sep-20	OCAMPO	MONIQUE JULIANE U.	TRAINEE	-	SINCERE SECURITIES CORPORATION	RESIGNATION (cleared)
30-Sep-20	CANDANO	RENZO LOUIE C.	RESEARCH ASSOCIATE	-	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RESIGNATION (cleared)
30-Sep-20	PAMA	HANS KEVIN T.	MESSENGER	-	STRATEGIC EQUITIES CORPORATION	RESIGNATION (cleared)
30-Sep-20	LAYGO	SHERWYN	IT STAFF	-	MERCANTILE SECURITIES, CORPORATION	RESIGNATION
29-Sep-20	REBAGODA	JIMMY	SETTLEMENT HEAD	-	GOLDSTAR SECURITIES, INC.	RESIGNATION (clearance under process)
29-Sep-20	SEJANE	BEVERLY	ACCOUNTING STAFF	SETTLEMENT OFFICER	GOLDSTAR SECURITIES, INC.	RE-DESIGNATION

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27-Sep-20	PEVIDAL	JAYSON R.	MANAGER, IT SPECIALIST INFORMATION AND TECHNOLOGY	-	MAYBANK ATR KIM ENG SECURITIES, INC.	UPDATE: RESIGNATION (cleared)
23-Sep-20	TAN	CHASE FREDRICK A.	-	CERTIFIED SECURITIES REPRESENTATIVE	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
21-Sep-20	ONG	MA. KORYNNE LEANNE T.	-	RM ASSISTANT	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
21-Sep-20	GENEROSO	NICOLE D.	-	RM ASSISTANT	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
21-Sep-20	ALCARAZ	LESLIE GWEN IRIS R.	ASSOCIATED PERSON	ASSOCIATED PERSON/ DATA PROTECTION OFFICER	GOLDEN TOWER SECURITIES HOLDINGS, INC.	RE-DESIGNATION
21-Sep-20	DELLOSA	RYAN B.	-	ACCOUNTANT	GOLDEN TOWER SECURITIES HOLDINGS, INC.	NEW EMPLOYMENT
21-Sep-20	VILLARRUZ	MARTIN VINCENT V.	-	RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
21-Sep-20	ARENAS	EVELYN P.	CFO AND HEAD OF FINANCE AND ACCOUNTING	-	MAYBANK ATR KIM ENG SECURITIES, INC.	UPDATE: RESIGNATION (cleared)
17-Sep-20	OCAMPO	J. MARIANO P.	-	INDEPENDENT DIRECTOR; BOARD COMMITTEE: CHAIRPERSON, NOMINATION, REMUNERATION & GOVERNANCE; AUDIT RISK & COMPLIANCE - MEMBER	GLOBALINKS SECURITIES & STOCKS, INC.	NEW EMPLOYMENT
17-Sep-20	VERGEL DE DIOS	ATTY. GUADALUPE LYN P.	-	INDEPENDENT DIRECTOR; BOARD COMMITTEE: AUDIT RISK & COMPLIANCE - CHAIRPERSON; NOMINATION, REMUNERATION & GOVERNANCE - MEMBER	GLOBALINKS SECURITIES & STOCKS, INC.	NEW EMPLOYMENT
17-Sep-20	CABANTOG	EDUARDO D.L.	DIRECTOR	DIRECTOR/ CHAIRMAN	GLOBALINKS SECURITIES & STOCKS, INC.	RE-DESIGNATION

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17-Sep-20	CABANTOG	CONNIE M.	DIRECTOR/ TREASURER	DIRECTOR/ TREASURER; BOARD COMMITTEE: MEMBER - AUDIT RISK & COMPLIANCE	GLOBALINKS SECURITIES & STOCKS, INC.	RE-DESIGNATION
17-Sep-20	JUSTINIANO	JOSE IVAN T.	EXECUTIVE VICE PRESIDENT & COO	MANAGING DIRECTOR; BOARD COMMITTEE: MEMBER - NOMINATION, REMUNERATION AND GOVERNANCE	GLOBALINKS SECURITIES & STOCKS, INC.	RE-DESIGNATION
17-Sep-20	TY	ABIGAIL CHERYL W.	LICENSED SALESMAN/ CORPORATE SECRETARY	DIRECTOR/ LICENSED SALESMAN/ CORPORATE SECRETARY	GLOBALINKS SECURITIES & STOCKS, INC.	RE-DESIGNATION
17-Sep-20	VELASCO	ANGEL Y.	DIRECTOR/ VICE CHAIRMAN/ ASST. TREASURER/ SALESMAN	SALESMAN	GLOBALINKS SECURITIES & STOCKS, INC.	RE-DESIGNATION
16-Sep-20	AGERO	ANGELYNE ANN S.	-	LICENSED SALESMAN	GLOBALINKS SECURITIES & STOCKS, INC.	NEW EMPLOYMENT
16-Sep-20	FERNANDEZ	RANULFO D. JR.	-	TRADER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
14-Sep-20	TAN	FRANCISCO G.	TRADER/ SALESMAN	-	IMPERIAL DE GUZMAN, ABALOS & CO., INC.	RESIGNATION (Deceased)