



# MEMORANDUM – 2021-001

**TO** : ALL TRADING PARTICIPANTS  
**SUBJECT** : TRAINING PROGRAMS  
**DATE** : 4 JANUARY 2021

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Pursuant to *Securities and Exchange Commission (“SEC”) Memorandum Circular No. 3, Series of 2007*, all trading participants are requested to submit **not later than 15 January 2021** their 2020 and 2021 internal training programs to the Capital Markets Integrity Corporation (“CMIC”), via electronic mail ([acd@cmic.com.ph](mailto:acd@cmic.com.ph)).

The prescribed period for the submission of the internal training programs has been set in order to facilitate the timely submission by CMIC of these training programs to SEC by 30 January 2021, in accordance with the above circular.

Details of the training programs shall include, among others, the following information:

- A. 2020 Internal Training Program
  - a. Seminar topics (with brief description)
  - b. Seminar dates
  - c. Number of participants
  - d. Resource speaker/s
  
- B. 2021 Internal Training Program
  - a. Projected seminar topics (with brief description)
  - b. Projected seminar dates
  - c. Target participants
  - d. Target resource speaker/s

The abovementioned report shall be signed by the trading participant’s President or Associated Person.

For your compliance.

*(original signed)*  
**DAISY P. ARCE**  
*President*

<b>ACD</b>	<b>FHRAD</b>	<b>IED</b>	<b>SD</b>