



# MEMORANDUM – 2021-002

**To** : All Trading Participants

**Subject** : Employee Movement

**Date** : 7 January 2021

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Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 31 December 2020.

For information and guidance.

*(Original Signed)*  
**DAISY P. ARCE**  
*President*

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DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
15-Jan-21	LUCAS	DANIEL TIMOTHY B.	OJT	-	S. J. ROXAS & COMPANY, INC.	RESIGNATION
01-Jan-21	CHAN	CLARISSE C.	COMPLIANCE SPECIALIST	-	BPI SECURITIES CORPORATION	RESIGNATION (clearance under process)
26-Dec-20	KHOO	BOON B.	INDEPENDENT DIRECTOR	-	COL FINANCIAL GROUP, INC.	RESIGNATION (no clearance required)
24-Dec-20	MARTINEZ	EDMUND DANIEL P.	AVP - HEAD OF PREMIUM BUSINESS GROUP	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
23-Dec-20	WHALLEY	MARTIN ANSEL V.	ASST. COMPLIANCE OFFICER/ ACTING ASSOCIATED PERSON	ASST. COMPLIANCE OFFICER/ ASSOCIATED PERSON	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
23-Dec-20	VICTORIOSO	ALICIA	SECONDARY ASSOCIATED PERSON	-	LUY'S SECURITIES COMPANY, INC.	RESIGNATION
16-Dec-20	IDOS	KURT RUSSEL M.	-	SALESMAN	TIMSON SECURITIES, INC.	NEW EMPLOYMENT
16-Dec-20	LOOD	MARC KEBINSON L.	-	SALESMAN	TIMSON SECURITIES, INC.	NEW EMPLOYMENT
16-Dec-20	ABILLA	MARY ANGELIKA PAIJE ASHLEY J.	-	EXECUTIVE SECRETARY AND ADMINISTRATIVE ASSISTANT	CHINA BANK SECURITIES CORP.	NEW EMPLOYMENT
16-Dec-20	BALMEO	WINSTON T.	-	ACCOUNTING ASSISTANT	UCPB SECURITIES, INC.	NEW EMPLOYMENT
15-Dec-20	BISNAR	JOHN CHRISTIAN O.	-	EQUITIES INVESTMENT ADVISER/ SALESMAN	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
14-Dec-20	SALAZAR	NORLITA	-	CUSTOMER SERVICE ASSOCIATE (PROJECT-BASED)	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
11-Dec-20	BAURA	EUGENE	AGENT	-	KING'S POWER SECURITIES, INC.	RESIGNATION (cleared)
11-Dec-20	SY	JOHN TIMOTHY	AGENT	-	KING'S POWER SECURITIES, INC.	RESIGNATION (cleared)
11-Dec-20	VITAL	VICTOR L.	CHAIRMAN/ DIRECTOR	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (no clearance required)

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
11-Dec-20	QUINTOS	JOAQUIN IV. E.	-	INDEPENDENT DIRECTOR	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
11-Dec-20	TEVES	MARGARITO B.	-	INDEPENDENT DIRECTOR	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
11-Dec-20	VITAL	VICTOR L.	PRESIDENT AND CEO	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (no clearance required)
11-Dec-20	SANTOS	LAMBERTO JR. M.	SENIOR ADVISOR	CHAIRMAN/ PRESIDENT & CEO	AB CAPITAL SECURITIES, INC.	RE-DESIGNATION
07-Dec-20	POBLETE	JOHN JOSEPH C.	-	GRAPHIC ARTIST (PROJECT-BASED)	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
01-Dec-20	ACEBUCHÉ	ANTHONY C.	-	UTILITY CLERK	MDR SECURITIES, INC.	NEW EMPLOYMENT
01-Dec-20	CHIW	ABIGAIL KATRYN L.	RESEARCH HEAD	-	BDO NOMURA SECURITIES INC.	RESIGNATION (merger)
01-Dec-20	AMORA	JANET D.	OPERATIONS HEAD	-	BDO NOMURA SECURITIES INC.	RESIGNATION (merger)
01-Dec-20	ABRAHAM	MARIA THERESA I.	CO/AP	-	BDO NOMURA SECURITIES INC.	RESIGNATION (merger)
01-Dec-20	YAP	WALTER FRANCIS A.	MARKETING RELATIONSHIP HEAD - DOMESTIC	-	BDO NOMURA SECURITIES INC.	RESIGNATION (merger)
01-Dec-20	DE GUZMAN	MA. CRISTINA C.	MARKETING OFFICER	-	BDO NOMURA SECURITIES INC.	RESIGNATION (merger)
01-Dec-20	TORRES	ANGELO ANTONIO S.	RESEARCH OFFICER	-	BDO NOMURA SECURITIES INC.	RESIGNATION (merger)
01-Dec-20	REMOLLENA	ELIZABETH E.	ACCOUNTING HEAD	-	BDO NOMURA SECURITIES INC.	RESIGNATION (merger)
01-Dec-20	HUANG	THOMAS EARLL A.	RESEARCH ANALYST OFFICER	-	BDO NOMURA SECURITIES INC.	RESIGNATION (merger)
01-Dec-20	GAW	LYNSY LORRAINE L.	CASH SETTLEMENT HEAD	-	BDO NOMURA SECURITIES INC.	RESIGNATION (merger)

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
01-Dec-20	MCCOY	ANNA KRISELDA S.	MARKETING RELATIONSHIP OFFICER	-	BDO NOMURA SECURITIES INC.	RESIGNATION (merger)
01-Dec-20	ABIGAN	PAUL LOUIE T.	MARKETING RELATIONSHIP OFFICER/ TRADER	-	BDO NOMURA SECURITIES INC.	RESIGNATION (merger)
01-Dec-20	BETERO	GHENNALYN FAYE B.	DOCUMENTATION & ADMINISTRATIVE HEAD	-	BDO NOMURA SECURITIES INC.	RESIGNATION (merger)
01-Dec-20	DECENA	KRISTOPER ISRAEL A.	TRADER	-	BDO NOMURA SECURITIES INC.	RESIGNATION (merger)
01-Dec-20	BARBACENA	NOAH M.	SECURITIES SETTLEMENT - OPERATIONS	-	BDO NOMURA SECURITIES INC.	RESIGNATION (merger)
01-Dec-20	JANDA	JENNIFER C.	CASH SETTLEMENT - OPERATIONS	-	BDO NOMURA SECURITIES INC.	RESIGNATION (merger)
01-Dec-20	PALMA	JOHN PAUL Y.	MARKETING RELATIONSHIP OFFICER/ CSR	-	BDO NOMURA SECURITIES INC.	RESIGNATION (merger)
01-Dec-20	RONQUILLO	PAOLA JOYCE T.	SECURITIES SETTLEMENT - OPERATIONS	-	BDO NOMURA SECURITIES INC.	RESIGNATION (merger)
01-Dec-20	TINIMBANG	LORIS C.	MARKETING RELATIONSHIP OFFICER	-	BDO NOMURA SECURITIES INC.	RESIGNATION (merger)
01-Dec-20	PADRIGON	AUDREY P.	SECURITIES CUSTODIAN	-	BDO NOMURA SECURITIES INC.	RESIGNATION (merger)
01-Dec-20	RACINES	EDWARD A.	ADMINISTRATIVE ASSISTANT	-	BDO NOMURA SECURITIES INC.	RESIGNATION (merger)
01-Dec-20	BALATICO	VIVIAN A.	ACCOUNTING ASSISTANT	-	BDO NOMURA SECURITIES INC.	RESIGNATION (merger)
01-Dec-20	GO	DIANNE NICOLE O.	RESEARCH ANALYST OFFICER	-	BDO NOMURA SECURITIES INC.	RESIGNATION (merger)
01-Dec-20	SCHULTZ	ROBERT A.	CONSULTANT	-	BDO NOMURA SECURITIES INC.	RESIGNATION (merger)
01-Dec-20	ABAYA	ALDRIN A.	ACCOUNT OFFICER	-	BDO NOMURA SECURITIES INC.	RESIGNATION (merger)

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01-Dec-20	FRANCISCO	EDUARDO V.	CHAIRMAN/ NOMINEE	-	BDO NOMURA SECURITIES INC.	RESIGNATION (merger)
01-Dec-20	CAMINA	MA. OPHELIA L.	TREASURER/ DIRECTOR	-	BDO NOMURA SECURITIES INC.	RESIGNATION (merger)
01-Dec-20	REYES JR.	LUIS S.	DIRECTOR	-	BDO NOMURA SECURITIES INC.	RESIGNATION (merger)
01-Dec-20	POBLADOR IV	HONORIO G.	INDEPENDENT DIRECTOR	-	BDO NOMURA SECURITIES INC.	RESIGNATION (merger)
01-Dec-20	RAMOS	DIOSCORO I.	INDEPENDENT DIRECTOR	-	BDO NOMURA SECURITIES INC.	RESIGNATION (merger)
01-Dec-20	SANTOS	MA. CECILIA S.	CORPORATE SECRETARY	-	BDO NOMURA SECURITIES INC.	RESIGNATION (merger)
01-Dec-20	CHIW	ABIGAIL KATRYN L.	-	FVP/ RESEARCH HEAD	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
01-Dec-20	AMORA	JANET D.	-	VP/ OPERATIONS HEAD	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
01-Dec-20	ABRAHAM	MARIA THERESA I.	-	SAVP - COMPLIANCE OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
01-Dec-20	YAP	WALTER FRANCIS A.	-	AVP/ MARKETING RELATIONSHIP HEAD - DOMESTIC	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
01-Dec-20	DE GUZMAN	MA. CRISTINA C.	-	AVP/ MARKETING OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
01-Dec-20	TORRES	ANGELO ANTONIO S.	-	AVP/ RESEARCH OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
01-Dec-20	REMOLLENA	ELIZABETH E.	-	ACCOUNTING HEAD	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
01-Dec-20	HUANG	THOMAS EARLL A.	-	RESEARCH ANALYST OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
01-Dec-20	GAW	LYNDSY LORRAINE L.	-	CASH SETTLEMENT HEAD	BDO SECURITIES CORPORATION	NEW EMPLOYMENT

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01-Dec-20	MCCOY	ANNA KRISELDA S.	-	MARKETING RELATIONSHIP OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
01-Dec-20	ABIGAN	PAUL LOUIE T.	-	MARKETING RELATIONSHIP OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
01-Dec-20	ABAYA	ALDRIN A.	-	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
01-Dec-20	BETERO	GHENNALYN FAYE B.	-	DOCUMENTATION & ADMINISTRATIVE HEAD	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
01-Dec-20	DECENA	KRISTOPER ISRAEL A.	-	TRADING OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
01-Dec-20	BARBACENA	NOAH M.	-	SECURITIES SETTLEMENT - OPERATIONS OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
01-Dec-20	JANDA	JENNIFER C.	-	CASH SETTLEMENT OPERATIONS OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
01-Dec-20	BATO	MARINA B.	-	ACCOUNTING OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
01-Dec-20	PALMA	JOHN PAUL Y.	-	MARKETING RELATIONSHIP OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
01-Dec-20	RONQUILLO	PAOLA JOYCE T.	-	SECURITIES SETTLEMENT - OPERATIONS OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
01-Dec-20	TINIMBANG	LORIS C.	-	MARKETING RELATIONSHIP OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
01-Dec-20	PADRIGON	AUDREY P.	-	SECURITIES CUSTODIAN	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
01-Dec-20	RACINES	EDWARD A.	-	ADMINISTRATIVE ASSISTANT	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
01-Dec-20	BALATICO	VIVIAN A.	-	ACCOUNTING ASSISTANT	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
01-Dec-20	RAMOS	EUNICE A.	-	ACCOUNTING ASSISTANT	BDO SECURITIES CORPORATION	NEW EMPLOYMENT

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01-Dec-20	GO	DIANNE NICOLE O.	-	RESEARCH ANALYST OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
01-Dec-20	SCHULTZ	ROBERT JAMES	-	CONSULTANT	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
01-Dec-20	NAVARRO	DARLENE D.	-	OPERATIONS ASSISTANT, COG-CBC	CHINA BANK SECURITIES CORP.	NEW EMPLOYMENT
01-Dec-20	HIPOS	FEBE M.	INDEPENDENT DIRECTOR	-	I. B. GIMENEZ SECURITIES, INC.	RESIGNATION (no clearance required)
01-Dec-20	SANTOSILDES	GEMMA B.	-	INDEPENDENT DIRECTOR	I. B. GIMENEZ SECURITIES, INC.	NEW EMPLOYMENT
30-Nov-20	BAGUINON	ARSENIA ALLEH D.	ACCOUNTING ASSISTANT	-	LUCKY SECURITIES, INC.	RESIGNATION
30-Nov-20	QUERIMIT	MARK B.	-	IT ADMINISTRATOR	MANDARIN SECURITIES CORPORATION	NEW EMPLOYMENT
30-Nov-20	UY	ROGER CHRISTIAN E.	IT ADMINISTRATOR	-	MANDARIN SECURITIES CORPORATION	RESIGNATION
26-Nov-20	JAMONIL	JEFFREY G.	SALES AGENT	-	BPI SECURITIES CORPORATION	RESIGNATION (no clearance required)
25-Nov-20	KATAKAWA	KOICHI	PRESIDENT/ DIRECTOR	-	BDO NOMURA SECURITIES INC.	RESIGNATION (no clearance required)
25-Nov-20	YAMAKAWA	TAKASHI	DIRECTOR	-	BDO NOMURA SECURITIES INC.	RESIGNATION (no clearance required)
24-Nov-20	RABAGO	GILBERT V.	-	ACCOUNTING HEAD	ALAKOR SECURITIES CORPORATION	NEW EMPLOYMENT
24-Nov-20	HERRERA	MA. ANGELYN DIAN O.	-	OFFICE ASSISTANT	ALAKOR SECURITIES CORPORATION	NEW EMPLOYMENT
23-Nov-20	CANLAS	JOVY HANNAH DARYL B.	SETTLEMENTS ASSISTANT	-	KING'S POWER SECURITIES, INC.	RESIGNATION
19-Nov-20	JACINTO	RICARDO NICANOR N.	-	INDEPENDENT DIRECTOR	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT

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19-Nov-20	MISTAS	EFREN I.	OPERATIONS ASSISTANT	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (no clearance required)
18-Nov-20	DREYFUS	HENRY JULES V.	INDEPENDENT DIRECTOR	-	IMPERIAL, DE GUZMAN, ABALOS & CO., INC.	RESIGNATION
18-Nov-20	CANDELARIA	GARNEY M.	INDEPENDENT DIRECTOR	-	IMPERIAL, DE GUZMAN, ABALOS & CO., INC.	RESIGNATION
18-Nov-20	DAVADILLA	REYNALDO L.	-	INDEPENDENT DIRECTOR	IMPERIAL, DE GUZMAN, ABALOS & CO., INC.	NEW EMPLOYMENT
18-Nov-20	REMO	GERARDO A.	-	INDEPENDENT DIRECTOR	IMPERIAL, DE GUZMAN, ABALOS & CO., INC.	NEW EMPLOYMENT
17-Nov-20	JACINTO	EDWARD JOHN C.	DEALER TRAINEE	INSTITUTIONAL DEALER	BPI SECURITIES CORPORATION	RE-DESIGNATION
19-Oct-20	LUCAS	DANIEL TIMOTHY B.	-	OJT	S. J. ROXAS & COMPANY, INC.	NEW EMPLOYMENT
15-Sep-20	BUENDIA	ALAN	SALESMAN	-	COHERCO SECURITIES, INC.	RESIGNATION