



MEMORANDUM – 2021-008

To : **All Trading Participants**

Subject : **Employee Movement**

Date : **5 March 2021**

Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 28 February 2021.

For information and guidance.

(original signed)
DAISY P. ARCE
President

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DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
01-Mar-21	DELA RAPA	ANN GLORAINE D.	-	CUSTOMER SERVICE ASSOCIATE (PROJECT-BASED)	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
01-Mar-21	OJA	CHARLENE FAE V.	-	CUSTOMER SERVICE ASSOCIATE (PROJECT-BASED)	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
01-Mar-21	ESPAYOS	ELIZABETH M.	CLIENT RELATIONS ASSOCIATE	-	BPI SECURITIES CORPORATION	RESIGNATION (clearance under process)
01-Mar-21	RAZON	ABIGAIL ANNE V.	CLIENT RELATIONS ASSOCIATE	-	BPI SECURITIES CORPORATION	RESIGNATION (clearance under process)
26-Feb-21	ACHARON	JOAHANNA A.	ASSISTANT CORPORATE SECRETARY	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (cleared)
23-Feb-21	BAYLOSIS	JOSHUA L.	-	DATA CONTROLLER (PROJECT-BASED)	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
22-Feb-21	CARVAJAL	ANABEL S.	-	EQUITIES INVESTMENT ADVISER/SALESMAN	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
22-Feb-21	ROSAÑES	ANDREW T.	TRADING MANAGER	-	THE FIRST RESOURCES MANAGEMENT AND	RESIGNATION (cleared)
22-Feb-21	ANDRES	JOHN KENNETH O.	-	ACCOUNTING ASSOCIATE	THE FIRST RESOURCES MANAGEMENT AND	NEW EMPLOYMENT
20-Feb-21	MARQUEZ	ARISREED A.	PRODUCT MARKETING SENIOR MANAGER	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
17-Feb-21	HAMPTON	ALBERT JOSEPH R.	AGENT	SALESMAN	PAN ASIA SECURITIES CORPORATION	RE-DESIGNATION
16-Feb-21	FIDER	CIDNEY B.	JL4 - ACCOUNTING ASSOCIATE	JAM - ACCOUNTING OFFICER	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
16-Feb-21	LOBRIDO	FEBE P.	JL4 - ADMINISTRATIVE ASSOCIATE/RECEPTIONIST	JAM - ADMINISTRATIVE OFFICER	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
16-Feb-21	TOMADA	CARA SANCHIA M.	JL2 - MARKETING ASSOCIATE	JL3 - MARKETING ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
16-Feb-21	PEREZ	CHERRY MAE S.	JL3 - NEW ACCOUNTS ASSOCIATE	JL4 - NEW ACCOUNTS ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION

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16-Feb-21	TAROG	MARCO S.	M - BUSINESS DEVELOPMENT OFFICER	SM - BUSINESS DEVELOPMENT OFFICER	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
16-Feb-21	NOZAWA	NIKKI P.	JL2 - OPERATIONS ASSOCIATE	JL3 - OPERATIONS ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
16-Feb-21	CIPRIANO	HARRIET KYM S.	-	NEW ACCOUNTS ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
16-Feb-21	GIMENEZ	HANNAH CLARICE N.	ACCOUNTING ASSOCIATE	CLIENT RELATIONS ASSOCIATE	BPI SECURITIES CORPORATION	RE-DESIGNATION
16-Feb-21	BULAHAN	AXCELLE MAY O.	SECURITIES SETTLEMENT ASSOCIATE	ACCOUNT OPENING ASSOCIATE	BPI SECURITIES CORPORATION	RE-DESIGNATION
15-Feb-21	EMILIO	RHENZO D.	-	CUSTOMER SERVICE ASSOCIATE	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
15-Feb-21	FLORES	CHRISTIAN JAY S.	-	IT APPLICATIONS DEVELOPMENT ASSISTANT	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
08-Feb-21	DELA PENA	EL JOHN A.	-	CUSTOMER SERVICE ASSOCIATE	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
08-Feb-21	CRUZ	KARL ANDREW Q.	-	CUSTOMER SERVICE ASSOCIATE (PROJECT-BASED)	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
08-Feb-21	ANTIOJO	GIAN CARLO H.	-	JUNIOR ACCOUNTANT	AAA SOUTHEAST EQUITIES, INC.	NEW EMPLOYMENT
08-Feb-21	CAEL	MARIEL LUISA P.	-	OPERATIONS ANALYST	AAA SOUTHEAST EQUITIES, INC.	NEW EMPLOYMENT
08-Feb-21	NUDALO	MA. BLESS JOY A.	-	ACCOUNT MANAGER	AAA SOUTHEAST EQUITIES, INC.	NEW EMPLOYMENT
08-Feb-21	TEOLOGO	AIRA L.	-	ACCOUNT MANAGER	AAA SOUTHEAST EQUITIES, INC.	NEW EMPLOYMENT
08-Feb-21	MANCILLA	CHARMAINE LAURENE G.	-	SALESMAN	AAA SOUTHEAST EQUITIES, INC.	NEW EMPLOYMENT
05-Feb-21	CRUZ	KARL ANDREW Q.	CUSTOMER SERVICE ASSOCIATE (PROJECT-BASED)	-	ABACUS SECURITIES CORPORATION	RESIGNATION

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05-Feb-21	DELA PENA	EL JOHN A.	CUSTOMER SERVICE ASSOCIATE (PROJECT-BASED)	-	ABACUS SECURITIES CORPORATION	RESIGNATION
05-Feb-21	GODINO	JAQUELINE DIANA S.	-	CUSTOMER SERVICE ASSOCIATE (PROJECT-BASED)	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
05-Feb-21	HISPANO	CADIZ C.	-	CUSTOMER SERVICE ASSOCIATE (PROJECT-BASED)	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
05-Feb-21	BENITO	ANNABELLE JOY E.	-	FINANCE OFFICER	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
05-Feb-21	PANELO	MISPAH JOY N.	FINANCE OFFICER	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (clearance under process)
05-Feb-21	DAGOHOY	RITCHEL A.	EQUITIES INVESTMENT ADVISER/SALESMAN	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (cleared)
03-Feb-21	ABELLA	ANGEL B.	ACCOUNTING ASSISTANT	EQUITY SETTLEMENT OFFICER	PAPA SECURITIES CORPORATION	RE-DESIGNATION
03-Feb-21	BUENA	ANGELINE B.	SECURITIES CLERK	EQUITY SETTLEMENT OFFICER	PAPA SECURITIES CORPORATION	RE-DESIGNATION
03-Feb-21	TAN	NIEVA R.	SECURITIES CLERK	SENIOR OFFICER - EQUITY SETTLEMENT	PAPA SECURITIES CORPORATION	RE-DESIGNATION
03-Feb-21	SANTOS	NICOLO R.	-	RESEARCH ANALYST	THE FIRST RESOURCES MANAGEMENT AND	NEW EMPLOYMENT
02-Feb-21	BISCOCHO	EDNA C.	TREASURER - DIRECTOR	-	SECURITIES SPECIALISTS, INC.	RESIGNATION
02-Feb-21	PALAMOS	CATHERINE N.	-	TREASURER - DIRECTOR	SECURITIES SPECIALISTS, INC.	NEW EMPLOYMENT
01-Feb-21	YU	RAINIER IVAN K.	-	JUNIOR INVESTMENT ANALYST	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
01-Feb-21	PUSPUS	JHAN LOUISE S.	-	CUSTOMER SERVICE ASSOCIATE	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
01-Feb-21	LUBATON	ROWENA MARIE A.	INVESTOR CENTER MANAGER	HEAD OF PREMIUM	COL FINANCIAL GROUP, INC.	RE-DESIGNATION

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01-Feb-21	QUITON	JHOANNA MARIE J.	NEW ACCOUNTS SUPERVISOR	CLIENT EXPERIENCE MANAGER	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
01-Feb-21	KALAW	GEM KATHLEEN C.	NEW ACCOUNTS ASSOCIATE	CLIENT EXPERIENCE ASSOCIATE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
01-Feb-21	LIWANAG	MARITHESS D.	NEW ACCOUNTS SPECIALIST	CLIENT EXPERIENCE SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
01-Feb-21	CUASAY	GIOVANNIE T.	-	ACCOUNTANT	LOPEZ, LOCSIN, LEDESMA & COMPANY, INC.	NEW EMPLOYMENT
01-Feb-21	MANIQUIS	NICOLO Y.	-	COMPLIANCE OFFICER	R. NUBLA SECURITIES, INC.	NEW EMPLOYMENT
01-Feb-21	ACOSTA-CAJUSTIN	DEBORAH S.	ASSISTANT CORPORATE SECRETARY	-	ALAKOR SECURITIES CORPORATION	RESIGNATION (cleared)
01-Feb-21	DE LEON	ALBERTO C.	MESSENGER	-	ALAKOR SECURITIES CORPORATION	RESIGNATION (cleared)
01-Feb-21	LIM	WILLIAM KING G.	-	TRADING OFFICER	INVESTORS SECURITIES, INC.	NEW EMPLOYMENT
01-Feb-21	GO	MATTHEW TIMOTHY V.	-	TRADING OFFICER	INVESTORS SECURITIES, INC.	NEW EMPLOYMENT
01-Feb-21	PADRE	MARYDEL M.	-	COMPLIANCE ASSISTANT	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
01-Feb-21	BELLEZA	GERALDINE E.	-	SETTLEMENT STAFF	GLOBALINKS SECURITIES & STOCKS, INC.	NEW EMPLOYMENT
01-Feb-21	CONSTANTINO	CRISTINA E.	-	SETTLEMENT ASSISTANT	SALISBURY BKT SECURITIES CORPORATION	NEW EMPLOYMENT
01-Feb-21	CONSTANTINO	CRISTINA E.	SETTLEMENT OFFICER	-	SUPREME STOCKBROKERS, INC.	RESIGNATION (cleared)
01-Feb-21	UY	JUSTIN GABRIEL Y.	-	SALES TRADER	UBS SECURITIES PHILIPPINES INC.	NEW EMPLOYMENT
01-Feb-21	MACAPAGAL	JANICE A.	CLIENT ACQUISITION OFFICER	HEAD, CLIENT SERVICING	BPI SECURITIES CORPORATION	RE-DESIGNATION

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31-Jan-21	GOGOLA	SARAH JOY L.	EXECUTIVE SECRETARY	-	AP SECURITIES, INC.	RESIGNATION
31-Jan-21	PAETA	QUERUBIN H.	SETTLEMENT ASSISTANT	-	SB EQUITIES, INC.	RESIGNATION (cleared)
31-Jan-21	VALEN	ALVIN T.	ACCOUNTANT	-	LOPEZ, LOCSIN, LEDESMA & COMPANY, INC.	RESIGNATION
29-Jan-21	TAN	JENNY C.	AGENT	-	KING'S POWER SECURITIES, INC.	RESIGNATION (cleared)
29-Jan-21	BELLEZA	GERALDINE E.	SETTLEMENT ASSISTANT	-	SALISBURY BKT SECURITIES CORPORATION	RESIGNATION
25-Jan-21	ROTONI	JUNE M.	-	CUSTOMER SUPPORT ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
23-Jan-21	CHUA	MANUEL ADAMS R.	BUSINESS SYSTEMS ANALYST	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
16-Jan-21	GO	ABEGAEL ISELLE G.	DIGITAL CHANNELS AND MARKETING DEPARTMENT	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
16-Jan-21	INOCENCIO	ENRIQUE A.	-	MESSENGER	WEALTH SECURITIES, INC.	NEW EMPLOYMENT
16-Jan-21	MORA	MARLON A.	-	MESSENGER	WEALTH SECURITIES, INC.	NEW EMPLOYMENT
15-Jan-21	AQUINO	GLAIZA B.	ACCOUNTING ASSOCIATE	-	THE FIRST RESOURCES MANAGEMENT AND	RESIGNATION (no clearance required)
10-Jan-21	DE JESUS	JACQUI ANNE KELLY E.	SENIOR RESEARCH ANALYST	HEAD, RESEARCH	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
09-Jan-21	CRUZ	JULES CYBER 1A22 G.	EQUITY RESEARCH ASSOCIATE	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
08-Jan-21	BAURA	EUGENE T.	-	SALESMAN	WESTLINK GLOBAL EQUITIES, INC.	NEW EMPLOYMENT
04-Jan-21	ESCARTIN	JASON T.	-	RESEARCH ASSOCIATE	CHINA BANK SECURITIES CORPORATION	NEW EMPLOYMENT

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01-Jan-21	LITAP	PERFECTO JR S.	OIC COMPLIANCE HEAD/ ASSOCIATED PERSON/ DPO	-	MAYBANK ATR KIM ENG SECURITIES, INC.	UPDATE: RESIGNATION (cleared)