



# MEMORANDUM – 2021-012

**To** : All Trading Participants

**Subject** : Employee Movement

**Date** : 07 May 2021

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Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 30 April 2021.

For information and guidance.

  
**DAISY P. ARCE**  
*President*

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DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
26-Apr-21	YU	VINCENT N.	-	INDEPENDENT DIRECTOR	SUNSECURITIES, INC.	NEW EMPLOYMENT
26-Apr-21	HOW	NORMITA D.	-	INDEPENDENT DIRECTOR	SUNSECURITIES, INC.	NEW EMPLOYMENT
26-Apr-21	SY	JESS D.	INDEPENDENT DIRECTOR	-	SUNSECURITIES, INC.	RESIGNATION (no clearance required)
26-Apr-21	BOSQUIT	DORELANE U.	INDEPENDENT DIRECTOR	-	SUNSECURITIES, INC.	RESIGNATION (no clearance required)
19-Apr-21	BAUTISTA	BERNADINE B.	-	EQUITY RESEARCH ANALYST	J.P. MORGAN SECURITIES PHILIPPINES INC.	NEW EMPLOYMENT
19-Apr-21	MARTINEZ	CYRAH D.	SALESMAN/AGENT	-	AAA SOUTHEAST EQUITIES, INC.	RESIGNATION (cleared)
16-Apr-21	ANISTA	HONORIO C.	MESSENGER/COLLECTOR	-	R. S. LIM & COMPANY, INC.	DECEASED
16-Apr-21	ANCHETA	ISABEL ELISHA E.	-	MARKETING COMMUNICATIONS OFFICER	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
16-Apr-21	MAAMO	KYLE L.	SALESMAN	-	TIMSON SECURITIES, INC.	RESIGNATION (cleared)
16-Apr-21	PELLEJERA	ANTHONY B.	-	COMPANY DRIVER/MESSENGER	R. S. LIM & COMPANY, INC.	NEW EMPLOYMENT
16-Apr-21	REMIENDO	KATHLEEN ANNE T.	-	OFFICE CLERK	R. S. LIM & COMPANY, INC.	NEW EMPLOYMENT
16-Apr-21	FRADEJAS	SHANE MAE L.	OFFICE CLERK	SETTLEMENT CLERK	R. S. LIM & COMPANY, INC.	RE-DESIGNATION
16-Apr-21	CLEMENTE	BENEDICT MARIO D.	-	RESEARCH ANALYST	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
16-Apr-21	TOLENTINO	JESSA LOU M.	-	COMPLIANCE ASSISTANT	RCBC SECURITIES, INC.	NEW EMPLOYMENT
15-Apr-21	SINGSON	JOSEMARIA FEDERICO D.	-	CERTIFIED SECURITIES REPRESENTATIVE	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT

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15-Apr-21	TAN	JOSEPH C.	INDEPENDENT DIRECTOR	-	CTS GLOBAL EQUITY GROUP, INC. (FORMERLY CITISECURITIES)	RESIGNATION (no clearance required)
15-Apr-21	GAVIOLA	ANALYN B.	-	OFFICE CLERK - CONTRACTUAL	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
13-Apr-21	SIBAYAN	JAKE VINCENT J.	-	ACCOUNTING ASSISTANT	INVESTORS SECURITIES, INC.	NEW EMPLOYMENT
12-Apr-21	LOPENA	MERSHA ROSE K.	EQUITIES INVESTMENT ADVISER/SALESMAN	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (cleared)
12-Apr-21	TANGUNAN	ANGELINE R.	-	OFFICE STAFF	TRANS-ASIA SECURITIES, INC.	NEW EMPLOYMENT
12-Apr-21	YU	AN TIONG L.	SALESPERSON	-	R. NUBLA SECURITIES, INC.	DECEASED
12-Apr-21	NAVARRO	JEROLD Q.	FINANCE STAFF - CONTRACTUAL	FINANCE OFFICER	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
8-Apr-21	RODRIGUEZ	KRISTINE PAULINE R.	SALESMAN/AGENT	-	AAA SOUTHEAST EQUITIES, INC.	RESIGNATION (cleared)
8-Apr-21	MONTECILLO	DANIEL GABRIEL M.	-	INDEPENDENT DIRECTOR	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
8-Apr-21	EMPRIDO	MIRRY CRIST L	-	ACCOUNTING CLERK – CONTRACTUAL	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
8-Apr-21	ONG	MELANIE SHANNON S.	RESEARCH ANALYST	-	BDO SECURITIES CORPORATION	RESIGNATION (clearance under process)
5-Apr-21	GASCON	SILVER FHAIS.	-	IT STAFF	MERCANTILE SECURITIES, CORPORATION	NEW EMPLOYMENT
5-Apr-21	ADVINCULA	MARIVIC M.	-	ACCOUNTING ASSISTANT	GOLDSTAR SECURITIES, INC.	NEW EMPLOYMENT
5-Apr-21	APOLONIO	FIDEL JR. H.	-	IT MANAGER	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
5-Apr-21	MANUEL	JAYNIEL CARL S.	SALESMAN, CUSTOMER SERVICE SPECIALIST	SALESMAN - AGENT	AB CAPITAL SECURITIES, INC.	RE-DESIGNATION

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5-Apr-21	RAFE	ABIGAIL R.	-	ACCOUNTING ANALYST	SB EQUITIES, INC.	NEW EMPLOYMENT
4-Apr-21	MCCOY	ANNA KRISELDA S.	MARKETING RELATIONSHIP OFFICER	-	BDO SECURITIES CORPORATION	RESIGNATION (clearance under process)
3-Apr-21	DE GUZMAN	FREDRICK DANIEL D.	RESEARCH ANALYST	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (clearance under process)
3-Apr-21	BAUTISTA	BERNADINE B.	RESEARCH ASSISTANT	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (clearance under process)
1-Apr-21	GAMBOA	EDITHA H.	CASHIER	-	CTS GLOBAL EQUITY GROUP, INC. (FORMERLY CITISECURITIES)	RESIGNATION (clearance under process)
1-Apr-21	MATUGUINA	VILMA B.	ADMINISTRATIVE HEAD	ADMIN - CONSULTANT	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
1-Apr-21	LABARRETE	MARK HENRICK M.	IT MANAGER	SR. IT MANAGER	CLSA PHILIPPINES, INC.	RE-DESIGNATION
1-Apr-21	CORPUZ	ROBERTO V.	SR. SETTLEMENT ASSOCIATE	HEAD OF SETTLEMENTS	CLSA PHILIPPINES, INC.	RE-DESIGNATION
1-Apr-21	RAMOS	JOYCE ANN J.	ANALYST	RESEARCH ANALYST	CLSA PHILIPPINES, INC.	RE-DESIGNATION
1-Apr-21	TEVES	ANN NICOLE T.	ACCOUNTING ASSISTANT	-	UCPB SECURITIES, INC.	RESIGNATION (did not apply for clearance)
1-Apr-21	LEE	RACHELE LINDSAY Y.	JAM- EQUITIES TRADER	AM- EQUITIES TRADER	RCBC SECURITIES, INC.	RE-DESIGNATION
1-Apr-21	CARDENAS	FERLIE MARIZ C.	-	JAM-EQUITY TRADER	RCBC SECURITIES, INC.	NEW EMPLOYMENT
31-Mar-21	ENCISO	BERNADETTE	DIGITAL MARKETING	-	F. YAP SECURITIES, INC.	RESIGNATION (clearance under process)
31-Mar-21	MACUTO	ANA MARGARITA D.	ACCOUNTING ASSISTANT	-	INVESTORS SECURITIES, INC.	RESIGNATION (cleared)
31-Mar-21	GAMO	MICHAEL A.	CORPORATE SECRETARY	-	SB EQUITIES, INC.	RETIREMENT

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31-Mar-21	GERVACIO	MICAH DENISSE T.	ACCOUNTING ASSISTANT	-	SB EQUITIES, INC.	RESIGNATION (cleared)
31-Mar-21	UY	ROGER CHRISTIAN E.	-	IT - ADMINISTRATOR	MANDARIN SECURITIES CORPORATION	NEW EMPLOYMENT
31-Mar-21	QUERIMIT	MARK B.	IT - ADMINISTRATOR	-	MANDARIN SECURITIES CORPORATION	RESIGNATION
29-Mar-21	WARNER	CAROL P.	-	CORPORATE SECRETARY	SB EQUITIES, INC.	NEW EMPLOYMENT
26-Mar-21	LEE HOK	PAUL JOHN T.	-	TRAINEE	EASTERN SECURITIES DEVELOPMENT CORPORATION	NEW EMPLOYMENT
24-Mar-21	LEANO	JASMINE P.	-	ASSISTANT CORPORATE SECRETARY	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
24-Mar-21	CO	DAPHNE L.	DIRECTOR	-	EASTERN SECURITIES DEVELOPMENT CORPORATION	RESIGNATION (cleared)
24-Mar-21	RAGASA	MARIETA M.	-	INDEPENDENT DIRECTOR	EASTERN SECURITIES DEVELOPMENT CORPORATION	NEW EMPLOYMENT
23-Mar-21	SEDENIO	CHRISTINE JOY	-	DIGITAL MARKETING	F. YAP SECURITIES, INC.	NEW EMPLOYMENT
22-Mar-21	OLAJE	JOHN BENEDICT	-	FINANCE STAFF - CONTRACTUAL	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
22-Mar-21	CARDENAS	GENALYN S.	-	ACCOUNTING STAFF	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
22-Mar-21	CAVITA	KRISTINE S.	-	CASHIERING STAFF	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
22-Mar-21	FORMENTERA	JOE MEL L.	-	CUSTOMER SERVICE REPRESENTATIVE	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
22-Mar-21	MEDIARITO	MIA YSABELLE D.	-	BUSINESS ANALYST	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Mar-21	AMATOSA	ADELFA P.	-	ADMINISTRATIVE ASSISTANT	GOLDSTAR SECURITIES, INC.	NEW EMPLOYMENT

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16-Mar-21	MARANO	MARGARETH MAY N.	-	SALES AND MARKETING ASSOCIATE	CHINA BANK SECURITIES CORPORATION	NEW EMPLOYMENT
13-Mar-21	ENARCISO	ROWELYN M.	FINANCE STAFF - CONTRACTUAL	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
10-Mar-21	LANTIN	AURORA D.	-	VICE PRESIDENT/ CONTROLLER	INVESTORS SECURITIES, INC.	NEW EMPLOYMENT
10-Mar-21	GO	BARBARA D.	DIRECTOR/ VICE PRESIDENT	DIRECTOR	INVESTORS SECURITIES, INC.	RE-DESIGNATION
1-Mar-21	AGAIR	LUIS EMMANUEL R.	-	TRAINEE	REGINA CAPITAL DEVELOPMENT CORPORATION	NEW EMPLOYMENT