



MEMORANDUM – 2021-015

TO : ALL TRADING PARTICIPANTS

SUBJECT : 2021 TRADING PARTICIPANTS' SEMINAR PROGRAM

DATE : 26 MAY 2021

Further to *CMIC Memoranda 2021-013 and 2021-014* relative to the 2021 Trading Participants' Seminar to be held from 27 May 2021 to 28 May 2021, attached is the seminar program.

For your information.

(original signed)
DAISY P. ARCE
President

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PROGRAM
2021 TRADING PARTICIPANTS' SEMINAR

DAY 1: 27 May 2021

2:00 PM Invocation

Ms. Grace M. Calubaquib
*Assistant Vice President and Head, Surveillance Department
Capital Markets Integrity Corporation*

2:03 PM Philippine National Anthem

2:05 PM Opening Remarks

Atty. Daisy P. Arce
*President
Capital Markets Integrity Corporation*

2:10 PM Frequently Violated Rules and Common Audit Findings

Atty. Jose Martin O. General
*Assistant Vice President and Head, Investigation and Enforcement Department
Capital Markets Integrity Corporation*

3:00 PM Q & A

3:20 PM Break

3:40 PM Updates on Anti-Money Laundering Rules

Atty. Alfonso Lanuza, Jr.
*Assistant Director, Anti-Money Laundering Division
Securities and Exchange Commission*

4:30 PM Q & A

PROGRAM
2021 TRADING PARTICIPANTS' SEMINAR

DAY 2: 28 May 2021

2:00 PM Invocation

Ms. Leny E. Mansilungan
*Head, Audit and Compliance Department
Capital Markets Integrity Corporation*

2:05 PM Trading Rules

Mr. Roel Villanueva
*Officer-In-Charge, Market Operations Division
The Philippine Stock Exchange, Inc.*

2:55 PM Q & A

3:10 PM Break

**3:30 PM Regulatory and Fintech Revolution: *"Impact of Regulation
on Technology and Innovation in the Finance Industry"***

Mr. Boon-Hiong Chan
*Head of Securities Market and Technology Advocacy, Securities Services
Deutsche Bank AG Singapore*

4:20 PM Q & A

4:35 PM Closing Remarks

Atty. Daisy P. Arce
*President
Capital Markets Integrity Corporation*