



MEMORANDUM – 2021-016

To : **All Trading Participants**

Subject : **Employee Movement**

Date : **04 June 2021**

Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 31 May 2021.

For information and guidance.

(original signed)

DAISY P. ARCE

President

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DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
14-Jun-21	HO	JAMESON	RESEARCH ANALYST	-	BPI SECURITIES CORPORATION	RESIGNATION
1-Jun-21	ISNIT	EMMANUEL B.	DIRECTOR/ ASST. TREASURER	-	FIRST ORIENT SECURITIES, INC.	RESIGNATION
1-Jun-21	YUZON	VIRILIO A.	INDEPENDENT DIRECTOR	DIRECTOR/ ASST. TREASURER	FIRST ORIENT SECURITIES, INC.	RE-DESIGNATION
1-Jun-21	REYES	JAIME FERNANDO C.	-	INDEPENDENT DIRECTOR	FIRST ORIENT SECURITIES, INC.	NEW EMPLOYMENT
30-May-21	ARANCILLO	VAN GREGORY N.	CLERK	-	TOWER SECURITIES, INC.	RESIGNATION
28-May-21	JALANDONI	MARIA LOURDES	-	INDEPENDENT DIRECTOR	REGIS PARTNERS INC.	NEW EMPLOYMENT
26-May-21	GO	MATTHEW TIMOTHY V.	TRADING OFFICER	AUTHORIZED SALESMAN	INVESTORS SECURITIES, INC.	RE-DESIGNATION
25-May-21	AURE	APOLINAR E.	-	INDEPENDENT DIRECTOR	VC SECURITIES CORPORATION	NEW EMPLOYMENT
24-May-21	CANIVEL	ENRIQUE C.	TRADING MANAGER	-	EQUITIWORLD SECURITIES, INC.	DECEASED
23-May-21	BAYLOSIS	JOSHUA L.	DATA CONTROLLER (PROJECT-BASED)	-	ABACUS SECURITIES CORPORATION	RESIGNATION
22-May-21	CARGULLO	FERLYN JOY C.	SALES ASSOCIATE/ SALESMAN	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (clearance under process)
21-May-21	PRADO	FE SUSAN Z.	DIRECTOR	-	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RESIGNATION (no clearance required)
21-May-21	CELIS	RODA T.	-	DIRECTOR	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	NEW EMPLOYMENT
19-May-21	LEE HOK	PAUL JOHN T.	TRAINEE	SALESMAN	EASTERN SECURITIES DEVELOPMENT CORPORATION	RE-DESIGNATION
18-May-21	REAL	MARK BRYAN T.	-	SALESMAN	FIDELITY SECURITIES, INC.	NEW EMPLOYMENT

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
18-May-21	DIZON	PATERNO H.	INDEPENDENT DIRECTOR	-	VC SECURITIES CORPORATION	RESIGNATION (no clearance required)
17-May-21	ONG	CATHERINE L.	SVP/ TREASURER/ CHIEF FINANCIAL OFFICER AND	SVP – TREASURER	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
17-May-21	BARREDO	JUAN G.	VP - CHIEF CUSTOMER EXPERIENCE OFFICER	FVP – CHIEF CUSTOMER EXPERIENCE OFFICER, ASST.	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
17-May-21	TAN	APRIL LYNN L.	VP/ HEAD OF RESEARCH	FVP – CHIEF INVESTOR RELATIONS AND CORPORATE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
17-May-21	VELARDE	LORENA E.	VP/ FINANCIAL CONTROLLER	FVP – CHIEF FINANCIAL OFFICER	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
17-May-21	BAUTISTA	NIKOS J.	VP/ CHIEF TECHNOLOGY OFFICER	FVP – CHIEF TECHNOLOGY OFFICER	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
17-May-21	NG	MELISSA O.	AVP/ HEAD OF OPERATIONS	VP – HEAD OF OPERATIONS	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
17-May-21	LIM	SHARON T.	ASSOCIATED PERSON/CORPORATE	VP – HEAD OF LEGAL & COMPLIANCE, CORPORATE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
17-May-21	MENDIOLA	GABRIEL JOSE E.	AVP - SOFTWARE DEVELOPMENT	AVP – HEAD OF SOFTWARE DEVELOPMENT	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
17-May-21	CHAN	JOYCE G.	AVP - CUSTOMER SUPPORT	AVP – HEAD OF CUSTOMER SUPPORT	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
17-May-21	ORTEZA	REA P.	ASSOCIATED PERSON	AVP – ACCOUNTING OPERATIONS, ASSOCIATED	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
17-May-21	SZE	DAPHNE ASHLEY L.	-	RESEARCH ANALYST	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
16-May-21	BALAIS	SARAH KIM	AUTHORIZED AGENT	-	WEALTH SECURITIES, INC.	RESIGNATION
16-May-21	PEREA	FRANCES BIANNE	-	SECURITIES SETTLEMENT ASSOCIATE	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
15-May-21	CHAN	CARLO GABRIEL Y.	-	SALESMAN	TOWER SECURITIES, INC.	NEW EMPLOYMENT

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14-May-21	AMON	ALAIN KATHLEEN B.	ASSOCIATE, SETTLEMENT ASSOCIATE	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (clearance under process)
14-May-21	BERNARDINO	ANTONIO L.	COLLECTOR/MESSENGER	-	VALUE QUEST SECURITIES CORPORATION	RESIGNATION (cleared)
10-May-21	RAMOS	BRENZIS RANIER M.	ASSOCIATE DIRECTOR, HEAD OF COMPLIANCE	ASSOCIATE DIRECTOR, HEAD OF COMPLIANCE, ASSOCIATED	UBS SECURITIES PHILIPPINES, INC.	RE-DESIGNATION
10-May-21	LUGTU	JOHN ANGELO M.	-	RESEARCH ASSOCIATE	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	NEW EMPLOYMENT
3-May-21	TAN	JAN MICHAEL ELDRICH A.	-	TRADING ASSISTANT	MERCANTILE SECURITIES, CORPORATION	NEW EMPLOYMENT
3-May-21	ONG	RENDELL JOHN T.	ASSOCIATE	SALESMAN	JSG SECURITIES, INC.	RE-DESIGNATION
3-May-21	PASIGAN	KATHERINE MARIE S.	-	DEPARTMENT HEAD - DIGITAL CHANNELS AND MARKETING	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
3-May-21	ABAS	MA. DOLORES C.	COLLECTIONS OFFICER	COLLECTIONS MANAGER	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
3-May-21	ANG	CHARLES WILLIAM O.	DEPUTY HEAD OF RESEARCH	HEAD OF RESEARCH	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
3-May-21	ANGELES	KRISTINE JOY T.	ACCOUNTING SUPERVISOR	ACCOUNTING OFFICER	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
3-May-21	ARCELLANA	ARLEN E.	OPERATIONS ASST. SUPERVISOR	OPERATIONS SUPERVISOR	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
3-May-21	AVERILLA	RESIQUEL F.	IT STAFF	IT ASSISTANT	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
3-May-21	AYCO	IRISH MAE S.	ADMIN STAFF	ADMIN ASSISTANT	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
3-May-21	BILLON	JESSA C.	ACCOUNTING STAFF	ACCOUNTING ASSISTANT	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
3-May-21	CANOY	ANNA CRISTINA S.	HR MANAGER	HR SENIOR MANAGER	COL FINANCIAL GROUP, INC.	RE-DESIGNATION

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3-May-21	CANTIMBUHAN	MICHELLE ANNE O.	OPERATIONS OFFICER	OPERATIONS MANAGER	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
3-May-21	CHUA	RALPH JACOB A	IT PRODUCT MANAGER	HEAD OF IT PRODUCTS	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
3-May-21	CORDERO	NICOLE ISSACHAR C.	ACCOUNTING OFFICER	ACCOUNTING MANAGER	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
3-May-21	COS	CARMELITA T.	ACCOUNTING ASST. SUPERVISOR	ACCOUNTING SUPERVISOR	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
3-May-21	CRUZ	HANNAH RAFFA G.	ACCOUNTING SPECIALIST	ACCOUNTING ASST. SUPERVISOR	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
3-May-21	CUARTERON	ROBERT N.	IT OFFICER	IT MANAGER	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
3-May-21	DE CASTRO	MA. CONCEPCION B.	INVESTOR CENTER OFFICER	INVESTOR CENTER MANAGER	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
3-May-21	DE GUZMAN	CRISTINE T	OPERATIONS ASSOCIATE	OPERATIONS ASST. SUPERVISOR	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
3-May-21	DISUANCO	GRACE DAMIELLE S.	MARKETING SPECIALIST	MARKETING COMMUNICATIONS	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
3-May-21	ENRIQUEZ	KENNETH MERVIN A.	IT APPLICATIONS DEVELOPMENT ASSISTANT	IT APPLICATIONS DEVELOPMENT OFFICER	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
3-May-21	ESTOLEYDES	VIOLETA M.	LEGAL & COMPLIANCE ASST. SUPERVISOR	LEGAL & COMPLIANCE SUPERVISOR	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
3-May-21	FRANCIA	GERRY U.	IT ASSOCIATE	IT ASST SUPERVISOR	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
3-May-21	GATDULA	JOANA ROSE E.	OPERATIONS SPECIALIST	OPERATIONS ASSOCIATE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
3-May-21	ILAO	MARK JASSON C.	BUSINESS DEVELOPMENT MANAGER	HEAD OF FUND SOURCE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
3-May-21	ILDEFONSO	JEIMEE G.	OPERATIONS SPECIALIST	OPERATIONS ASSOCIATE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION

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3-May-21	JIMENO	JILLIAN I.	OPERATIONS ASSISTANT	OPERATIONS SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
3-May-21	LAGUMAY	HERSHEY S.	OPERATIONS SPECIALIST	OPERATIONS ASSOCIATE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
3-May-21	LEE	KRISTINE S.	CUSTOMER SERVICE ASST. SUPERVISOR	CUSTOMER SERVICE SUPERVISOR	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
3-May-21	LINGAT	DANIELLE M.	COMMUNICATIONS MANAGER	MARKETING AND CORPORATE COMMUNICATIONS MANAGER	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
3-May-21	LUCERO	REY ANDREW I.	TRADING AND SUPPORT OPERATIONS SUPERVISOR	TRADING AND SUPPORT OPERATIONS OFFICER	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
3-May-21	MENIADO	IVY P.	ADMIN STAFF	ADMIN ASSISTANT	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
3-May-21	MIRAS	PAULA PAMELA P.	ACCOUNTING STAFF	ACCOUNTING ASSISTANT	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
3-May-21	MONTEVERDE	MARIE CHERYLL ANNE J.	ADMIN MANAGER	ADMIN SENIOR MANAGER	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
3-May-21	NUNGAY	CHARISE C.	CUSTOMER SERVICE SPECIALIST	CUSTOMER SERVICE ASSOCIATE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
3-May-21	PAULITE	TRISHA MARIE M.	HR STAFF	HR ASSISTANT	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
3-May-21	PINGUEL	VEIN ALDRIN D.	IT ASSISTANT	IT SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
3-May-21	PLADERO	RONALYN R.	CUSTOMER SUPPORT ASSISTANT	CUSTOMER SERVICE SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
3-May-21	PUOD	MA. CARMELA T.	ACCOUNTING ASSISTANT	ACCOUNTING SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
3-May-21	REYES	FRANCES LORAIN C.	MARKETING SUPERVISOR - EVENTS	MARKETING COMMUNICATIONS OFFICER	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
3-May-21	SALAGUSTE	GLENN ROMMEL H.	IT OPERATIONS ASSOCIATE	IT OPERATIONS SUPERVISOR	COL FINANCIAL GROUP, INC.	RE-DESIGNATION

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3-May-21	SANCHEZ	JADE ALEXIS C.	ACCOUNTING SPECIALIST	ACCOUNTING ASSOCIATE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
3-May-21	SENORO	KATHERINE C.	OPERATIONS STAFF	OPERATIONS ASSISTANT	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
3-May-21	SUTINGCO	JENNIFER M.	ACCOUNTING SPECIALIST	ACCOUNTING ASSOCIATE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
3-May-21	TRINIDAD	AILA MARIE D.	ACCOUNTING ASSISTANT	ACCOUNTING SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
3-May-21	TUMABIENE	CHARINA S.	ACCOUNTING STAFF	ACCOUNTING ASSISTANT	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
3-May-21	VELARDE	JED L.	BUSINESS MANAGER/WEALTH TRAINER	HEAD OF PARTNERSHIPS AND SPONSORSHIPS	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
3-May-21	VELARDE	KIMBERLY JOY P.	ACCOUNTING SPECIALIST	ACCOUNTING ASSOCIATE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
3-May-21	YAP	GERALDINE MAY A.	ACCOUNTING ASSOCIATE	ACCOUNTING ASST. SUPERVISOR	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
3-May-21	YAPANA	ANGELINE JOY D.	ACCOUNTING SPECIALIST	ACCOUNTING ASST. SUPERVISOR	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
3-May-21	YU	ADRIAN ALEXANDER N.	RESEARCH ANALYST	SENIOR RESEARCH ANALYST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-May-21	GO	NORMAN JAY F.	AVP-HEAD OF INSTITUTIONAL SALES	-	RCBC SECURITIES, INC.	RESIGNATION (clearance under process)
1-May-21	YAO	RUSSELLE ANGIELYN G.	SETTLEMENTS ASSISTANT	-	CTS GLOBAL EQUITY GROUP, INC.	RESIGNATION (clearance under process)
1-May-21	CAMPOS	AISSA L.	ADMIN CLERK	-	YU & COMPANY, INC.	RESIGNATION
1-May-21	ALCANTARA	TIMOTHY F.	MANAGEMENT TRAINEE	-	YU & COMPANY, INC.	RESIGNATION
1-May-21	ASTER	KATHLEEN GRACE	-	SECURITIES SETTLEMENT ASSOCIATE	BPI SECURITIES CORPORATION	NEW EMPLOYMENT

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1-May-21	CHAM	ATTY. ROSITA C.	INDEPENDENT DIRECTOR	ASSOCIATED PERSON	DA MARKET SECURITIES, INC.	RE-DESIGNATION
30-Apr-21	TEO	ELEANORE L.	DIRECTOR	-	CTS GLOBAL EQUITY GROUP, INC.	RESIGNATION (no clearance required)
30-Apr-21	SAMSON	EMMANUEL D.	-	INDEPENDENT DIRECTOR	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
30-Apr-21	FELBAUM	DONALD R.	-	INDEPENDENT DIRECTOR	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
30-Apr-21	DELA CRUZ	STEPHANIE ANNE	EQUITY DEALER, INSTITUTIONAL BUSINESS	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
30-Apr-21	GODINO	JAQUELINE DIANA S.	CUSTOMER SERVICE ASSOCIATE (PROJECT-BASED)	-	ABACUS SECURITIES CORPORATION	RESIGNATION
30-Apr-21	ESMANI	JESSIE MAE L.	SALESMAN-AGENT	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (Death)
30-Apr-21	MANARIN	MARC DELVY T.	SALESMAN	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (clearance under process)
30-Apr-21	MACALALAD	LYDELL L.	IT	-	A & A SECURITIES, INC.	RESIGNATION
30-Apr-21	PAPA	BIANCA THERESE V.	ADMINISTRATIVE ASSISTANT	-	PAPA SECURITIES CORPORATION	RESIGNATION (cleared)
30-Apr-21	BAYBAYON	JAMES B.	-	JANITOR - CONTRACTUAL	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
30-Apr-21	TACDER JR.	REYNALDO NINO	SALES AGENT	-	BPI SECURITIES CORPORATION	RESIGNATION
28-Apr-21	MENESES	JUDY Q.	-	SETTLEMENT OFFICER	AP SECURITIES, INC.	NEW EMPLOYMENT
27-Apr-21	SABATER	ANN THERESE C.	SALES TRADER, INSTITUTIONAL BUSINESS	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
27-Apr-21	AMPELOQUIO	MA. ANGELICA A.	-	OFFICE CLERK - CONTRACTUAL	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT

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25-Apr-21	VILLAREAL-FERNANDO	ERNESTINE CARMEN JO D.	INDEPENDENT DIRECTOR	-	RCBC SECURITIES, INC.	RESIGNATION (end of term as Independent)
25-Apr-21	ILAG	GREGG ADRIAN R.	ANALYST	-	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RESIGNATION (cleared)
23-Apr-21	GO	WILLIAM O.	PRESIDENT AND NOMINEE	-	PLATINUM SECURITIES, INC.	DECEASED
23-Apr-21	BANAG	PRINCE CARL P.	ACCOUNTING SPECIALIST	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (clearance under process)
19-Apr-21	ITCHON	GABRIEL THERESE A.	-	DIGITAL CHANNELS AND MARKETING ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
16-Apr-21	REYES	KIMBERLY MARIE S.	SECURITIES SETTLEMENT SPECIALIST	EQUITY SALES TRAINEE	BPI SECURITIES CORPORATION	RE-DESIGNATION
16-Apr-21	VILLACORTA	DARLENE GRACE A.	CLIENT RELATIONS ASSOCIATE	-	BPI SECURITIES CORPORATION	RESIGNATION
16-Apr-21	SANTOS	JUAN PAOLO D.	-	CORPORATE SECRETARY	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
12-Apr-21	AVILA	JED JAENA A.	-	CUSTOMER SUPPORT ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
8-Apr-21	WEE	ERICSON C.	DIRECTOR/FVP-HEAD OF OPERATIONS/NOMINEE	TREASURER/DIRECTOR/FVP- HEAD OF OPERATIONS/NOMINEE	AB CAPITAL SECURITIES, INC.	RE-DESIGNATION
3-Apr-21	BAUTISTA	BERNADINE B.	RESEARCH ASSISTANT	-	MAYBANK ATR KIM ENG SECURITIES, INC.	UPDATE: RESIGNATION (cleared)
3-Apr-21	DE GUZMAN	FREDRICK DANIEL D.	RESEARCH ANALYST	-	MAYBANK ATR KIM ENG SECURITIES, INC.	UPDATE: RESIGNATION (cleared)
31-Mar-21	ANGELO	PAUL MICHAEL A.	EQUITY RESEARCH ANALYST	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)