



MEMORANDUM – 2021-020

To : All Trading Participants

Subject : Employee Movement

Date : 02 July 2021

Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 30 June 2021.

For information and guidance.

(original signed)

DAISY P. ARCE

President

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DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
30-Jun-21	JAVIER	EDILBERTO DV.	CONSULTANT - TRADING/ SALES	-	FIRST ORIENT SECURITIES, INC.	RESIGNATION
30-Jun-21	PEREZ	GABRIEL JOSE F.	SALES ASSOCIATE	-	PAPA SECURITIES CORPORATION	RESIGNATION
25-Jun-21	BALABIS	LORETO B.	LICENSED SALESMAN-HEAD	-	VENTURE SECURITIES, INC.	RESIGNATION (clearance under process)
21-Jun-21	CARIGMA	LAILYN M.	-	SETTLEMENT ASSISTANT	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
21-Jun-21	MARIANO	ROAN GRACE U.	-	RECON SPECIALIST	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
21-Jun-21	PABRUA	MARIA TERESA AGNES B.	-	ACCOUNTANT	VC SECURITIES CORPORATION	NEW EMPLOYMENT
21-Jun-21	GONZAGA	ANDREO M.	-	NEW ACCOUNTS OFFICER	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
16-Jun-21	BARRAMEDA	KEZIAH ELIKA V.	NEW ACCOUNTS ASSOCIATE	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
16-Jun-21	CASTILLO	MIGUEL C.	-	SETTLEMENT & PROCESSING TEAM LEAD	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Jun-21	ABAJON	MHELVIN F.	FVP, STRATEGIC DEVELOPMENT DIVISION	SVP, STRATEGIC DEVELOPMENT DIVISION HEAD	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
16-Jun-21	ANGELES	REUBEN MARK A.	VP, EQUITY RESEARCH DEPARTMENT HEAD	FVP, EQUITY RESEARCH DEPARTMENT HEAD	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
16-Jun-21	MARCELINO	JONATHAN C.	SM, INFORMATION TECHNOLOGY DEPARTMENT	AVP, INFORMATION TECHNOLOGY DEPARTMENT	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
15-Jun-21	ORTEZA	REA P.	AVP - ACCOUNTING OPERATIONS, ASSOCIATED PERSON	AVP - ACCOUNTING OPERATIONS	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
15-Jun-21	FLORES	JOHN M.	IT HEAD/ DATA PRIVACY OFFICER	IT HEAD	PAPA SECURITIES CORPORATION	RE-DESIGNATION
15-Jun-21	ANG	ANNA LORRAINE D.	COMPLIANCE COORDINATOR	COMPLIANCE COORDINATOR/DATA PRIVACY	PAPA SECURITIES CORPORATION	RE-DESIGNATION

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15-Jun-21	OLAJE	JOHN BENEDICT	FINANCE STAFF - CONTRACTUAL	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
14-Jun-21	MARINO	MARICAR S.	-	ACCOUNTANT	R. COYIUTO SECURITIES, INC.	NEW EMPLOYMENT
12-Jun-21	BASILLA	MARIA YSABEL B.	EQUITY DEALER, INSTITUTIONAL BUSINESS	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
12-Jun-21	TANJUATCO	MICHAEL GABRIEL R.	RESEARCH ASSISTANT	-	CLSA PHILIPPINES, INC.	RESIGNATION (clearance under process)
11-Jun-21	ABAQUITA	MICAELA GABRIELLE L.	DIRECTOR, HEAD OF RESEARCH	-	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RESIGNATION (clearance under process)
10-Jun-21	CHAM	ATTY. ROSITA C.	ASSOCIATED PERSON (pending SEC Approval)	ASSOCIATED PERSON	DA MARKET SECURITIES, INC.	RE-DESIGNATION
10-Jun-21	CALING	FE M.	ASSOCIATED PERSON/ DATA PRIVACY OFFICER	OPERATIONS MANAGER/ DATA PRIVACY OFFICER	DA MARKET SECURITIES, INC.	RE-DESIGNATION
9-Jun-21	LOPENA	MERSHA ROSE K.	-	CERTIFIED SECURITIES REPRESENTATIVE	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
9-Jun-21	GERONIMO	ARMI MARGARITA M.	CUSTOMER'S ACCOUNT SUPERVISOR	-	GOLDEN TOWER SECURITIES & HOLDINGS, INC.	RESIGNATION (cleared)
8-Jun-21	MONREAL	ALBERTO L.	-	INDEPENDENT DIRECTOR	RCBC SECURITIES, INC.	NEW EMPLOYMENT
7-Jun-21	DELA RAPA	ANN GLORAINE D.	CUSTOMER SERVICE ASSOCIATE (PROJECT-BASED)	-	ABACUS SECURITIES CORPORATION	RESIGNATION
7-Jun-21	OJA	CHARLENE FAE V.	CUSTOMER SERVICE ASSOCIATE (PROJECT-BASED)	-	ABACUS SECURITIES CORPORATION	RESIGNATION
7-Jun-21	LOZADA	KARINA LEE D.	-	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
7-Jun-21	BULLECER	ABE MIGUEL C.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
7-Jun-21	ONG	ADRIAN JAREBB Y.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT

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7-Jun-21	SECOSANA	AEDRIEL PHILIPSON S.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
7-Jun-21	AGUINALDO	AEROSE DIANNE I.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
7-Jun-21	TRIA	ALAINA CARLIZE C.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
7-Jun-21	GOCHECO	ALVIN BENSON T.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
7-Jun-21	CHENG	DANI MIKAELA T.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
7-Jun-21	CABALLES	DJENDELLE HAZEL	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
7-Jun-21	ONG	ERIKA P.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
7-Jun-21	SIASOYCO	FRANCESCA ANAIS Y.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
7-Jun-21	CHU	GABRIEL MARTIN A.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
7-Jun-21	BACO	HAROLD TRISTAN G.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
7-Jun-21	SY	HAROLD STANLEY	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
7-Jun-21	SIA	JETHRO CULLEN C.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
7-Jun-21	MENDOZA	KOBY LAWRENCE K.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
7-Jun-21	GO	KYLE PATRICK E.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
7-Jun-21	ESCALONA	MARCO ANTONIO D.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT

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7-Jun-21	CASTAÑOS	NATHANIEL PHILLIP D.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
7-Jun-21	TAN	NICOLE GABRIELLE L.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
7-Jun-21	SALDAJENO	PIERRE L.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
7-Jun-21	DIZON	ROYCE P.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
7-Jun-21	YAP	RUSSELL CODY Y.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
7-Jun-21	DIMACULANGAN	MA. SOPHIA	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
7-Jun-21	CHIA	TECK HWEE	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
4-Jun-21	HUANG	THOMAS EARLL A.	RESEARCH OFFICER	-	BDO SECURITIES CORPORATION	RESIGNATION
3-Jun-21	OJANO	MARILOU M.	-	SETTLEMENTS ASSISTANT	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
1-Jun-21	RAMOS	JOHN PAUL D.	-	TRAINEE	VC SECURITIES CORPORATION	NEW EMPLOYMENT
1-Jun-21	SANTIAGO	ELIZABETH T.	SR. MANAGER - SR. INVESTMENT ANALYST	ASSISTANT VICE PRESIDENT - RESEARCH DEPARTMENT	ABACUS SECURITIES CORPORATION	RE-DESIGNATION
1-Jun-21	DE LA PAZ	GERMAN T. III	SUPERVISOR - INVESTMENT ANALYST	MANAGER - RESEARCH DEPARTMENT	ABACUS SECURITIES CORPORATION	RE-DESIGNATION
1-Jun-21	BAYLOSIS	JOSHUA L.	-	DATA CONTROLLER	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
1-Jun-21	TAN	JAN MICHAEL ELDRICH A.	TRADING ASSISTANT	SALESMAN	MERCANTILE SECURITIES, CORPORATION	RE-DESIGNATION
1-Jun-21	BALON	MARK AMADEO B.	-	AUTHORIZED SALESMAN	YU AND COMPANY, INC.	NEW EMPLOYMENT

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1-Jun-21	JUANILLO	JESSEL R.	-	OFFICE TRAINEE	YU AND COMPANY, INC.	NEW EMPLOYMENT
1-Jun-21	IDOLOR	TERESA O.	-	ACCOUNTANT	SECURITIES SPECIALISTS, INC.	NEW EMPLOYMENT
1-Jun-21	BUHAIN	DOMINIC LEANDRE D.	-	INTERN	CLSA PHILIPPINES, INC.	NEW EMPLOYMENT
1-Jun-21	GONZALES	DANIELLE LUCIA R.	-	INTERN	CLSA PHILIPPINES, INC.	NEW EMPLOYMENT
1-Jun-21	UY	SANTINO LUIS L.	-	INTERN	CLSA PHILIPPINES, INC.	NEW EMPLOYMENT
1-Jun-21	FAVILA	JULIAN RAPHAEL B.	-	INVESTMENT STRATEGIST	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
1-Jun-21	VALDERRAMA	KENNETH D.	-	JAM - RETAIL SALES & ONLINE MARKETING OFFICER	RCBC SECURITIES, INC.	NEW EMPLOYMENT
1-Jun-21	LIM	HOMER C.	-	CONSULTANT	MERCANTILE SECURITIES, CORPORATION	NEW EMPLOYMENT
1-Jun-21	NATIVIDAD	JOSEPH JASON M.	ASSISTANT CORP. SEC.	-	BDO SECURITIES CORPORATION	RESIGNATION
1-Jun-21	SANTOS	MA. CECILIA S.	-	ASSISTANT CORP. SEC.	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
1-Jun-21	LIM	FRANCES ANNE Y.	INSTITUTIONAL SALES TRAINEE	INSTITUTIONAL SALES	BPI SECURITIES CORPORATION	RE-DESIGNATION
1-Jun-21	SANTOS	JUDY ANNE M.	-	CLIENT RELATIONS ASSOCIATE	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
1-Jun-21	TAVARA	LEONY JANE O.	-	USI OPERATIONS ASSOCIATE	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
1-Jun-21	VERON	MARIA ARABELLA D.	TREASURER	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (cleared)
1-Jun-21	MADRID	MARICEL L.	-	TREASURER	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT

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1-Jun-21	BATHAN	BEATRIZ NAOMI B.	CORPORATE ACCESS/ ADMIN ASSISTANT / SALES TRAINEE	SALES AND TRADING SUPPORT	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RE-DESIGNATION
31-May-21	MARTINEZ	CYRAH D.	-	SALESMAN	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
31-May-21	TORRE, JR.	ELEZER M.	SENIOR SETTLEMENTS	-	AP SECURITIES, INC.	RETIREMENT
31-May-21	SAN DIEGO	RAQUEL B.	ACCOUNTANT	-	SECURITIES SPECIALISTS, INC.	RESIGNATION (clearance under process)
31-May-21	PERALTA	MYLENE A.	SETTLEMENT ASSISTANT	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (cleared)
31-May-21	MARQUEZ	JOHN EXEQUIEL D.	IT OFFICER - CONTRACTUAL	IT OFFICER	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
29-May-21	DAVID	REYNALDO S.	-	DIRECTOR/ MEMBER - AUDIT AND COMPLIANCE	DA MARKET SECURITIES, INC.	NEW EMPLOYMENT
29-May-21	MORALES	WILFREDO PERRY B.	INDEPENDENT DIRECTOR/ MEMBER - AUDIT AND	INDEPENDENT DIRECTOR/ CHAIRMAN - AUDIT AND	DA MARKET SECURITIES, INC.	RE-DESIGNATION
26-May-21	LONTOC	MICHAEL ANDREW G.	EQUITY TRADE JUNIOR ASSOCIATE, SALESMAN	EQUITIES INVESTMENT ADVISER/SALESMAN	UNICAPITAL SECURITIES, INC.	RE-DESIGNATION
26-May-21	CO	STEPHEN	INDEPENDENT DIRECTOR	-	TRANS-ASIA SECURITIES, INC.	RESIGNATION (no clearance required)
26-May-21	BALETE	JOLLY	-	INDEPENDENT DIRECTOR	TRANS-ASIA SECURITIES, INC.	NEW EMPLOYMENT
25-May-21	DAVID	MCHALE B.	ACCOUNTANT	-	GLOBALINKS SECURITIES & STOCKS, INC.	RESIGNATION
25-May-21	LARDERA	RODOLFO C.	MESSENGER	-	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	DECEASED
24-May-21	QUITAIN	EDELYN B.	JUNIOR PROGRAMMER	CONSULTANT	AB CAPITAL SECURITIES, INC.	RE-DESIGNATION
24-May-21	SALCEDO	SET S.	-	JUNIOR PROGRAMMER	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT

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22-May-21	CARGULLO	FERLYN JOY C.	SALES ASSOCIATE/ SALESMAN	-	MAYBANK ATR KIM ENG SECURITIES, INC.	UPDATE: RESIGNATION (cleared)
21-May-21	SERDON	CHATRINA A.	SALESMAN	-	ASIASEC EQUITIES, INC.	RESIGNATION
19-May-21	ALAMAR	ALYSSA JOI D.	-	EQUITY RESEARCH ANALYST	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
18-May-21	SANTOS	ATTY. MA. CECILIA S.	-	CORPORATE SECRETARY	ARMSTRONG SECURITIES, INC.	NEW EMPLOYMENT
17-May-21	MAAMO	KYLE L.	-	EQUITY ANALYST	THE FIRST RESOURCES MGMT. & SECURITIES CORP.	NEW EMPLOYMENT
17-May-21	AGNIR	JAVIER JOSE R.	-	REGIONAL CONTRACT ASSOCIATE	CLSA PHILIPPINES, INC.	NEW EMPLOYMENT
17-May-21	DELA CRUZ	STEPHANIE ANNE	-	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
17-May-21	BIBAT	MERRY JOY D.	-	ACCOUNT ADMINISTRATOR	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
17-May-21	MIJARES	DIANALYN P.	-	MIDDLE OFFICE ANALYST	SB EQUITIES, INC.	NEW EMPLOYMENT
17-May-21	VILLABITO	REY G.	TRADING ASSISTANT	-	IGC SECURITIES, INC.	RESIGNATION
16-May-21	SO	ISIDRO T.	OFFICER-IN-CHARGE RETAILS SALES/SALESPERSON	OFFICER-IN-CHARGE RETAILS SALES	R. NUBLA SECURITIES, INC.	RE-DESIGNATION
15-May-21	SZE	DAPHNE ASHLEY L.	RESEARCH ASSISTANT	-	SB EQUITIES, INC.	RESIGNATION (no clearance required)
14-May-21	TENORIO	NINA FRANCES THERESE B.	RESEARCH ANALYST	-	CREDIT SUISSE SECURITIES (PHILIPPINES), INC.	RESIGNATION (no clearance required)
14-May-21	UNGAB	JENNILYN	ACCOUNTING STAFF	-	CUALOPING SECURITIES CORPORATION	RESIGNATION (cleared)
10-May-21	REYES	MARGARETTE ANNE M.	-	ACCOUNTING SUPERVISOR	APEX (PHILIPPINES) EQUITIES CORPORATION	NEW EMPLOYMENT

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10-May-21	BENITEZ, JR.	LUIS Y.	-	INDEPENDENT DIRECTOR	CREDIT SUISSE SECURITIES (PHILIPPINES), INC.	NEW EMPLOYMENT
10-May-21	FIGUEROA	MINA C.	-	INDEPENDENT DIRECTOR	CREDIT SUISSE SECURITIES (PHILIPPINES), INC.	NEW EMPLOYMENT
10-May-21	CANDELARIA	MARTHA ANGELA G.	-	RELATIONSHIP OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
8-May-21	CRUZ	KARL ANDREW Q.	CUSTOMER SERVICE ASSOCIATE (PROJECT-BASED)	CUSTOMER SERVICE ASSOCIATE	ABACUS SECURITIES CORPORATION	RE-DESIGNATION
7-May-21	NAIG	VIVIEN JOY D.	-	ACCOUNTING ASSISTANT	APEX (PHILIPPINES) EQUITIES CORPORATION	NEW EMPLOYMENT
7-May-21	FADRIQUELA	JOSHUA D.	ACCOUNTING ASSISTANT	-	APEX (PHILIPPINES) EQUITIES CORPORATION	RESIGNATION (cleared)
5-May-21	SAMARRO	MA. PETTETE B.	-	ADMINISTRATIVE ASSISTANT	UCPB SECURITIES, INC.	NEW EMPLOYMENT
4-May-21	SEVILLA	MYRA S.	DIRECTOR	-	SB EQUITIES, INC.	RESIGNATION (no clearance required)
4-May-21	RODRIGUEZ	CHARLES M.	-	DIRECTOR	SB EQUITIES, INC.	NEW EMPLOYMENT
3-May-21	ILAG	GREGG ADRIAN R.	-	SENIOR RESEARCH ANALYST	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
30-Apr-21	ALFARO	BENMAR Y.	RELATIONSHIP MANAGER	-	BDO SECURITIES CORPORATION	RESIGNATION
26-Apr-21	DE JESUS	ALDWIN MARTIN L.	SERVICE QUALITY AND ACCOUNT MANAGEMENT	-	BDO SECURITIES CORPORATION	RESIGNATION
26-Apr-21	ONG	TRISHA STEPHANIE T.	RM ASSISTANT	-	BDO SECURITIES CORPORATION	RESIGNATION
21-Apr-21	VAGILIDAD	RODD ANGELO D.	-	RESEARCH ANALYST	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
1-Apr-21	IGNACIO	RANDEL G.	OPERATIONS ASSISTANT	OPERATIONS OFFICER	BDO SECURITIES CORPORATION	RE-DESIGNATION

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1-Apr-21	ACENA	MA. HEIDI H.	OPERATIONS ASSISTANT	OPERATIONS OFFICER	BDO SECURITIES CORPORATION	RE-DESIGNATION