



# MEMORANDUM – 2021-022

**To** : All Trading Participants

**Subject** : Employee Movement

**Date** : 06 August 2021

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Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 31 July 2021.

For information and guidance.

  
**DAISY P. ARCE**  
*President*

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DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
7-Aug-21	GATCHALIAN	COLLEEN T.	RESEARCH ANALYST	-	BPI SECURITIES CORPORATION	RESIGNATION
29-Jul-21	RAMOS	JOHN PAUL D.	TRAINEE	-	VC SECURITIES CORPORATION	RESIGNATION (clearance under process)
26-Jul-21	TEODORO	ZARAH MARIE I.	CASH SETTLEMENT SPECIALIST	-	BPI SECURITIES CORPORATION	RESIGNATION
26-Jul-21	ANDAYA	JOSEPH A.	TECHNICAL SUPPORT ASSOCIATE	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
22-Jul-21	RODRIGUEZ	SOPHIA T.	EQUITY RESEARCH ASSOCIATE	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
19-Jul-21	VERZO	JESSICA	-	TRAINEE	APEX PHILS. EQUITIES CORPORATION	NEW EMPLOYMENT
17-Jul-21	LUARTES	FREDERIK JASON G.	I.T. APPLICATIONS DEVELOPMENT ASSOCIATE	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
16-Jul-21	BOLIMA	ARTHUR V.	-	SETTLEMENTS ASSISTANT	A. T. DE CASTRO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Jul-21	DE GUZMAN	RAMON JR. S.	AD HOC ADMI ASSISTANT	-	TRANS-ASIA SECURITIES, INC.	RESIGNATION (no clearance required)
16-Jul-21	MARTINEZ	EDMUND DANIEL P.	VICE PRESIDENT - DIGITAL MARKETING AND WEALTH MANAGEMENT STRATEGY	VICE PRESIDENT - DIGITAL MARKETING AND WEALTH MANAGEMENT STRATEGY/ SALESMAN	UNICAPITAL SECURITIES, INC.	RE-DESIGNATION
16-Jul-21	ALMEÑE	JEFFREY Q.	-	OPERATIONS STAFF/ IT	A & A SECURITIES, INC.	NEW EMPLOYMENT
15-Jul-21	FORMALES	MINNIE I.	VICE PRESIDENT, GROUP COMPLIANCE HEAD	VICE PRESIDENT, GROUP COMPLIANCE HEAD,	UNICAPITAL SECURITIES, INC.	RE-DESIGNATION
15-Jul-21	PANGILINAN	ANGELO A.	TRADING FLOOR ASSISTANT	-	A. T. DE CASTRO SECURITIES CORPORATION	RESIGNATION
15-Jul-21	BARRAMEDA	KEZIAH ELIKA V.	CUSTOMER SUPPORT ASSOCIATE	CUSTOMER SUPPORT ASSOCIATE/SALESMAN	UNICAPITAL SECURITIES, INC.	RE-DESIGNATION

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14-Jul-21	CRUZ	RACHELLE C.	SENIOR RESEARCH ANALYST	-	AP SECURITIES, INC.	RESIGNATION
14-Jul-21	KUA	IAN GABRIEL IGNACIO O.	RESEARCH ANALYST	-	RCBC SECURITIES, INC.	RESIGNATION (no clearance required)
13-Jul-21	QUINTANILLA	EDMOND V.	-	DRIVER/MESSENGER	TRANS-ASIA SECURITIES, INC.	NEW EMPLOYMENT
13-Jul-21	CANELA	NATALIE JOY F.	OPERATIONS OFFICER	-	BDO SECURITIES CORPORATION	RESIGNATION
12-Jul-21	FERNANDEZ	NIKO	-	IT APPLICATIONS DEVELOPMENT ASSISTANT	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
12-Jul-21	UDTOHAN	REYNALDO C.	-	MESSENGER	LOPEZ, LOCSIN, LEDESMA & COMPANY, INC.	NEW EMPLOYMENT
12-Jul-21	ASUNCION	LUIZ MIGUEL P.	-	AVP-INSTITUTIONAL SALES HEAD	RCBC SECURITIES, INC.	NEW EMPLOYMENT
8-Jul-21	ARCILLO	MARJORY MAE A.	BUSINESS DEVELOPMENT OFFICER	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
6-Jul-21	ARCEO	GIANINNA BIANCA A.	-	SALES, INSTITUTIONAL BUSINESS GROUP	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
6-Jul-21	SERDON	CHATRINA A.	-	EQUITY DEALER	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
6-Jul-21	PARDILLO	ANDRE PHILIP M.	FINANCIAL ANALYST	-	CTS GLOBAL EQUITY GROUP, INC.	RESIGNATION (clearance under process)
6-Jul-21	GESMUNDO	JESSICA V.	-	SETTLEMENT CLERK	INVESTORS SECURITIES, INC.	NEW EMPLOYMENT
5-Jul-21	BADILLA	DANIEL D.	ASSISTANT CORPORATE SECRETARY	-	AP SECURITIES, INC.	RESIGNATION
1-Jul-21	NUNGA	ZABRINA D.	-	CORPORATE SECRETARY	DA MARKET SECURITIES, INC.	NEW EMPLOYMENT
1-Jul-21	BATHAN	BEATRIZ NAOMI B.	SALES AND TRADING SUPPORT	EQUITIES DEALER	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RE-DESIGNATION

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1-Jul-21	BISMONTE	ROSEANNE G.	-	ASSISTANT COMPLIANCE OFFICER	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
1-Jul-21	GUTIERREZ	RAMON G.	SALESMAN	-	FIRST ORIENT SECURITIES, INC.	DECEASED
1-Jul-21	SIMBULAN	JUSTIN LOUIS L.	-	FINANCE STAFF - CONTRACTUAL	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
1-Jul-21	LIBO-ON	ROMEL FRANCIS B.	SENIOR RESEARCH ANALYST	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (clearance under process)
1-Jul-21	ARGANA	ROLANDO N.	-	MESSENGER/ UTILITY	R.S. LIM & COMPANY, INC.	NEW EMPLOYMENT
1-Jul-21	LOCSIN	MARGARITA VICENCIA Y.	-	CHIEF OPERATING OFFICER	THE FIRST RESOURCES MGMT. SECURITIES CORP.	NEW EMPLOYMENT
1-Jul-21	BUNAGAN	JANELLE CHRISTIE C.	-	RESEARCH ASSOCIATE	UBS SECURITIES PHILIPPINES INC.	NEW EMPLOYMENT
1-Jul-21	UNANA	ELLEN GREACE B.	-	OFFICE CLERK	STANDARD SECURITIES CORPORATION	NEW EMPLOYMENT
1-Jul-21	SAMORANO	FRANCES NICOLE L.	AM-RESEARCH ANALYST	SAM-RESEARCH ANALYST	RCBC SECURITIES, INC.	RE-DESIGNATION
1-Jul-21	PEREZ	DAVID M.	AM-ASST. OPERATIONS HEAD	SAM-ASST. OPERATIONS HEAD	RCBC SECURITIES, INC.	RE-DESIGNATION
1-Jul-21	GARZON	AIZA B.	ACCOUNTING CLERK	FO-ACCOUNTING CLERK	RCBC SECURITIES, INC.	RE-DESIGNATION
1-Jul-21	TORRES	ELIJAH DANIEL M.	-	ACCOUNTING STAFF	UCPB SECURITIES, INC.	NEW EMPLOYMENT
1-Jul-21	TEODORO	RICAMAYE D.	-	CLIENT RELATIONS ASSOCIATE	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
1-Jul-21	CUALES	JOSEPH OWEN A.	ASSOCIATE	-	JSG SECURITIES, INC.	RESIGNATION (clearance under process)
1-Jul-21	CANELA	NATALIE JOY F.	-	INSTITUTIONAL SALES SUPPORT OFFICER	SB EQUITIES, INC.	NEW EMPLOYMENT

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1-Jul-21	DOLLAGA	GIRLLY P.	-	DIVISION HEAD - CONTROLLERSHIP	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
1-Jul-21	LAGUA	MONICA CHAMANE D.	NEW ACCOUNTS ASSOCIATE	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
30-Jun-21	MAGLALANG	PETER PAUL S.	CORPORATE SECRETARY	-	DA MARKET SECURITIES, INC.	RESIGNATION (no clearance required)
30-Jun-21	MACALE	MICHAEL ANGELO B.	MD-SALES	PRESIDENT/ MD-SALES	REGIS PARTNERS INC.	RE-DESIGNATION
30-Jun-21	BAUSTISTA	EMMANUEL O.	PRESIDENT	-	REGIS PARTNERS INC.	RESIGNATION (cleared)
30-Jun-21	POLICARPIO	LUIZ MIGUEL	SALES	-	SB EQUITIES, INC.	RESIGNATION (cleared)
30-Jun-21	TACDER	REYNALDO NIÑO G., JR.	-	EQUITIES INVESTMENT ADVISER/SALESMAN	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
30-Jun-21	TAN	RUBY S.	CORPORATE SECRETARY/ DIRECTOR	CORPORATE SECRETARY	WEALTH SECURITIES, INC.	RE-DESIGNATION
30-Jun-21	SY	FLORENCE A.	TREASURER/ DIRECTOR	TREASURER/ DIRECTOR/ ASSISTANT CORPORATE SECRETARY	WEALTH SECURITIES, INC.	RE-DESIGNATION
30-Jun-21	SA-A	JOCELYN G.	CENTER HEAD	-	BDO SECURITIES CORPORATION	RESIGNATION
25-Jun-21	MACAINAG	MICHAEL M.	-	SALESMAN	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
25-Jun-21	PICAZO	ERICA MARIA S.	-	CORPORATE SECRETARY	LOPEZ, LOCSIN, LEDESMA & COMPANY, INC.	NEW EMPLOYMENT
21-Jun-21	SEBASTIAN	JOANNA S.	-	ACCOUNTING SPECIALIST	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
21-Jun-21	BARRAMEDA	KEZIAH ELIKA V.	-	CUSTOMER SUPPORT ASSOCIATE	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
21-Jun-21	ESPIRITU	RUBEN ENRIQUE A.	-	REGIONAL CENTER HEAD	BDO SECURITIES CORPORATION	NEW EMPLOYMENT

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18-Jun-21	PENALBER	RYAN SALVADOR B	-	CUSTOMER SUPPORT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
17-Jun-21	LAPEZ	GENARO V.	-	INDEPENDENT DIRECTOR	CHINA BANK SECURITIES CORPORATION	NEW EMPLOYMENT
16-Jun-21	ALANO	MA. HILDELITA P.	-	CORPORATE SECRETARY	CHINA BANK SECURITIES CORPORATION	NEW EMPLOYMENT
16-Jun-21	QUIRING	MARY ANTONETTE E.	CORPORATE SECRETARY, TREASURER, AND BUSINESS	TREASURER AND BUSINESS OPERATIONS DIRECTOR	CHINA BANK SECURITIES CORPORATION	RE-DESIGNATION
16-Jun-21	YAO	ALBERTO S.	INDEPENDENT DIRECTOR	-	CHINA BANK SECURITIES CORPORATION	RESIGNATION
16-Jun-21	VEJAR	ROJIE J.	DRIVER/MESSENGER	-	TRANS-ASIA SECURITIES, INC.	RESIGNATION (did not ask for clearance)
9-Jun-21	GERMAN	JULIUS M.	SALES AND TRADING DIRECTOR	SALES AND TRADING DIRECTOR; PROPRIETARY	CHINA BANK SECURITIES CORPORATION	RE-DESIGNATION
9-Jun-21	GERONIMO	NORMAN EUGENIO M.	SALES AND TRADING ASSOCIATE	TRADING ASSOCIATE	CHINA BANK SECURITIES CORPORATION	RE-DESIGNATION
9-Jun-21	TYCHICO	KRISTIN	SALES AND TRADING ASSOCIATE	TRADING ASSOCIATE	CHINA BANK SECURITIES CORPORATION	RE-DESIGNATION
9-Jun-21	YU	KENNETH VINCENT U.	SALES AND TRADING ASSOCIATE	TRADING ASSOCIATE	CHINA BANK SECURITIES CORPORATION	RE-DESIGNATION
9-Jun-21	PROCHINA	FLOR B.	SALES AND TRADING ASSOCIATE	TRADING ASSOCIATE	CHINA BANK SECURITIES CORPORATION	RE-DESIGNATION
9-Jun-21	VARELA	MARIA ANGELICA ELENA M.	SALES AND TRADING ASSOCIATE	TRADING ASSOCIATE	CHINA BANK SECURITIES CORPORATION	RE-DESIGNATION
9-Jun-21	MONTELLANO	CHRISTIAN JOSEPH D.	SALES AND MARKETING ASSOCIATE	TRADING ASSOCIATE	CHINA BANK SECURITIES CORPORATION	RE-DESIGNATION
9-Jun-21	MARANO	MARGARETH MAY N.	SALES AND MARKETING ASSOCIATE	TRADING ASSOCIATE	CHINA BANK SECURITIES CORPORATION	RE-DESIGNATION
4-Jun-21	SINGSON	MICHELLE M.	ACCOUNTS ASSOCIATE	FINANCE OFFICER	CLSA PHILIPPINES, INC.	RE-DESIGNATION

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1-Jun-21	DAGAL	EDWARD S.	OPERATIONS DIRECTOR	OPERATIONS DIRECTOR/ TREASURER	REGIS PARTNERS INC.	RE-DESIGNATION
1-Jun-21	UNGSON	AKINO JOSE S.	-	INVESTMENT STRATEGIST	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
1-Jun-21	MANALOTO	JOHN MANUEL M.	-	CUSTOMER SUPPORT SPECIALIST	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
31-May-21	SAN DIEGO	RAQUEL B.	ACCOUNTANT	-	SECURITIES SPECIALISTS, INC.	UPDATE: RESIGNATION (cleared)