



MEMORANDUM – 2021-024

To : All Trading Participants

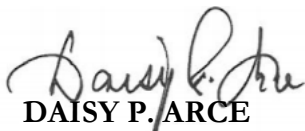
Subject : Employee Movement

Date : 03 September 2021

Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 31 August 2021.

For information and guidance.


DAISY P. ARCE
President

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| ACD | FHRAD | IED | SD |

| DATE OF EFFECTIVITY | LAST NAME | FIRST NAME | PREVIOUS POSITION | PRESENT POSITION | PRESENT EMPLOYER | REMARKS |
|---------------------|-----------|--------------------|--------------------------------------|-----------------------|--------------------------------------|---------------------------------------|
| 31-Aug-21 | TE | JOHN VICTOR C. | RESEARCH ANALYST | - | PHILIPPINE EQUITY PARTNERS, INC. | RESIGNATION (cleared) |
| 24-Aug-21 | DE MESA | KING PATRICK A. | ASSOCIATE, EQUITY RESEARCH ASSOCIATE | - | UNICAPITAL SECURITIES, INC. | RESIGNATION (clearance under process) |
| 23-Aug-21 | SIA | APRIL GEREMAE R. | - | ACCOUNTANT | VALUE QUEST SECURITIES CORPORATION | NEW EMPLOYMENT |
| 21-Aug-21 | DIA | MARY FRANCE S. | TRADER/SALESMAN | - | ALPHA SECURITIES CORPORATION | RESIGNATION (clearance under process) |
| 20-Aug-21 | SEVIDAL | ALFONSO MIGUEL N. | RESEARCH ANALYST | - | BPI SECURITIES CORPORATION | RESIGNATION |
| 20-Aug-21 | FLORES | ANNA CARISSA R. | RELATIONSHIP MANAGER | - | BDO SECURITIES CORPORATION | RESIGNATION |
| 20-Aug-21 | YAP | CHRISTINE KAREN U. | - | DIRECTOR/ PRESIDENT | F. YAP SECURITIES, INC. | NEW EMPLOYMENT |
| 20-Aug-21 | YAP | PAULINO U. | DIRECTOR/ PRESIDENT | - | F. YAP SECURITIES, INC. | RETIREMENT |
| 18-Aug-21 | MERIADO | ROSE P. | ASSOCIATED PERSON | - | AAA SOUTHEAST EQUITIES, INC. | DECEASED |
| 17-Aug-21 | KIDO | HOKUSHIN | DIRECTOR | - | COL FINANCIAL GROUP, INC. | RESIGNATION (no clearance required) |
| 17-Aug-21 | OKITA | SEIJI | - | DIRECTOR | COL FINANCIAL GROUP, INC. | NEW EMPLOYMENT |
| 16-Aug-21 | AGUILA | MARGIE L. | - | ACCOUNTING ASSOCIATES | ABACUS SECURITIES CORPORATION | NEW EMPLOYMENT |
| 16-Aug-21 | RONQUILLO | NICK ANGELIE T | ACCOUNT OPENING ASSOCIATE | - | BPI SECURITIES CORPORATION | RESIGNATION |
| 9-Aug-21 | CHUA | MARTIN PAUL T. | CSR EQUITIES TRADER | - | CTS GLOBAL EQUITY GROUP, INC. | RESIGNATION (clearance under process) |
| 9-Aug-21 | MEDRANO | CLARIZE MARIE B. | - | SETTLEMENT ASSISTANT | SALISBURY BKT SECURITIES CORPORATION | NEW EMPLOYMENT |

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|---------------------|---------------|-------------------|--------------------------------|-----------------------------------|--------------------------------------|---------------------------------------|
| 7-Aug-21 | ADEL, JR. | ERNESTO G. | ACCOUNTANT | - | VALUE QUEST SECURITIES CORPORATION | DECEASED |
| 6-Aug-21 | GONZALES I | DANIELLE LUCIA R. | INTERN | - | CLSA PHILIPPINES, INC. | RESIGNATION (cleared) |
| 4-Aug-21 | OCMER | RAUL B. | TECHNICAL SERVICES COORDINATOR | - | COL FINANCIAL GROUP, INC. | RESIGNATION (clearance under process) |
| 4-Aug-21 | CORONEL | MARIE CHERISE P. | - | HEAD OF PRIME CUSTOMER SUPPORT | BDO SECURITIES CORPORATION | NEW EMPLOYMENT |
| 4-Aug-21 | LADROMA | ZARAH MARIE T. | - | SETTLEMENT AND PROCESSING OFFICER | BDO SECURITIES CORPORATION | NEW EMPLOYMENT |
| 4-Aug-21 | COTOCO | TANIA MARIE G. | - | ACQUISITION MANAGER | BDO SECURITIES CORPORATION | NEW EMPLOYMENT |
| 4-Aug-21 | CRUZ | RACHELLE C. | - | RESEARCH ANALYST | BDO SECURITIES CORPORATION | NEW EMPLOYMENT |
| 4-Aug-21 | REYES | NATASHA LUNA T. | - | INTERN | CLSA PHILIPPINES, INC. | NEW EMPLOYMENT |
| 2-Aug-21 | GO | MALCOLM MELTON T. | - | TRADER | PAPA SECURITIES CORPORATION | NEW EMPLOYMENT |
| 2-Aug-21 | REYES | MARY ANN D. | - | RELIEVER MESSENGER | TRANS-ASIA SECURITIES, INC. | NEW EMPLOYMENT |
| 2-Aug-21 | DELA CRUZ | JUAN PAULO R. | - | SALES TRADER | SALISBURY BKT SECURITIES CORPORATION | NEW EMPLOYMENT |
| 2-Aug-21 | SANTOS | MA. CECILIA S. | ASSISTANT CORPORATE SECRETARY | - | BDO SECURITIES CORPORATION | RESIGNATION |
| 2-Aug-21 | BALITBIT | ALONA B. | - | ACCOUNTANT | GLOBALINKS SECURITIES & STOCKS, INC. | NEW EMPLOYMENT |
| 2-Aug-21 | REYES | JOEVAN C. | - | ACCOUNTING ASSISTANT | ALPHA SECURITIES CORPORATION | NEW EMPLOYMENT |
| 2-Aug-21 | ALCANTARA III | CONRADO JOSE V. | - | INTERN | CLSA PHILIPPINES, INC. | NEW EMPLOYMENT |

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| 1-Aug-21 | CONSUNJI | JOELLA MARIE O. | - | RESEARCH ASSOCIATE | PHILIPPINE EQUITY PARTNERS, INC. | NEW EMPLOYMENT |
| 1-Aug-21 | DELA CRUZ | JUAN PAULO R. | SALESMAN | - | EAGLE EQUITIES, INC. | RESIGNATION (cleared) |
| 1-Aug-21 | ALVARADO | JOHN RENZ S. | - | JAM-RESEARCH ANALYST | RCBC SECURITIES, INC. | NEW EMPLOYMENT |
| 1-Aug-21 | PEREZ | JENARD MATTHEW G. | - | SAM-INSTITUTIONAL SALES OFFICER | RCBC SECURITIES, INC. | NEW EMPLOYMENT |
| 1-Aug-21 | UY | SANTINO LUIS L. | INTERN | - | CLSA PHILIPPINES, INC. | RESIGNATION (cleared) |
| 31-Jul-21 | BULLECER | ABE MIGUEL C. | STUDENT INTERN | - | CTS GLOBAL EQUITY GROUP, INC. | RESIGNATION (no clearance required) |
| 31-Jul-21 | ONG | ADRIAN JAREBB Y. | STUDENT INTERN | - | CTS GLOBAL EQUITY GROUP, INC. | RESIGNATION (no clearance required) |
| 31-Jul-21 | SECOSANA | AEDRIEL PHILIPSON S. | STUDENT INTERN | - | CTS GLOBAL EQUITY GROUP, INC. | RESIGNATION (no clearance required) |
| 31-Jul-21 | AGUINALDO | AEROSE DIANNE I. | STUDENT INTERN | - | CTS GLOBAL EQUITY GROUP, INC. | RESIGNATION (no clearance required) |
| 31-Jul-21 | TRIA | ALAINA CARLIZE C. | STUDENT INTERN | - | CTS GLOBAL EQUITY GROUP, INC. | RESIGNATION (no clearance required) |
| 31-Jul-21 | GOCHECO | ALVIN BENSON T. | STUDENT INTERN | - | CTS GLOBAL EQUITY GROUP, INC. | RESIGNATION (no clearance required) |
| 31-Jul-21 | CHENG | DANI MIKAELA T. | STUDENT INTERN | - | CTS GLOBAL EQUITY GROUP, INC. | RESIGNATION (no clearance required) |
| 31-Jul-21 | CABALLES | DJENDELLE HAZEL | STUDENT INTERN | - | CTS GLOBAL EQUITY GROUP, INC. | RESIGNATION (no clearance required) |
| 31-Jul-21 | ONG | ERIKA P. | STUDENT INTERN | - | CTS GLOBAL EQUITY GROUP, INC. | RESIGNATION (no clearance required) |
| 31-Jul-21 | SIASOYCO | FRANCESCA ANAIS Y. | STUDENT INTERN | - | CTS GLOBAL EQUITY GROUP, INC. | RESIGNATION (no clearance required) |

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| 31-Jul-21 | CHU | GABRIEL MARTIN A. | STUDENT INTERN | - | CTS GLOBAL EQUITY GROUP, INC. | RESIGNATION (no clearance required) |
| 31-Jul-21 | BACO | HAROLD TRISTAN G. | STUDENT INTERN | - | CTS GLOBAL EQUITY GROUP, INC. | RESIGNATION (no clearance required) |
| 31-Jul-21 | SY | HAROLD STANLEY | STUDENT INTERN | - | CTS GLOBAL EQUITY GROUP, INC. | RESIGNATION (no clearance required) |
| 31-Jul-21 | SIA | JETHRO CULLEN C. | STUDENT INTERN | - | CTS GLOBAL EQUITY GROUP, INC. | RESIGNATION (no clearance required) |
| 31-Jul-21 | MENDOZA | KOBY LAWRENCE K. | STUDENT INTERN | - | CTS GLOBAL EQUITY GROUP, INC. | RESIGNATION (no clearance required) |
| 31-Jul-21 | GO | KYLE PATRICK E. | STUDENT INTERN | - | CTS GLOBAL EQUITY GROUP, INC. | RESIGNATION (no clearance required) |
| 31-Jul-21 | ESCALONA | MARCO ANTONIO D. | STUDENT INTERN | - | CTS GLOBAL EQUITY GROUP, INC. | RESIGNATION (no clearance required) |
| 31-Jul-21 | CASTAÑOS | NATHANIEL PHILLIP D. | STUDENT INTERN | - | CTS GLOBAL EQUITY GROUP, INC. | RESIGNATION (no clearance required) |
| 31-Jul-21 | TAN | NICOLE GABRIELLE L. | STUDENT INTERN | - | CTS GLOBAL EQUITY GROUP, INC. | RESIGNATION (no clearance required) |
| 31-Jul-21 | SALDAJENO | PIERRE L. | STUDENT INTERN | - | CTS GLOBAL EQUITY GROUP, INC. | RESIGNATION (no clearance required) |
| 31-Jul-21 | DIZON | ROYCE P. | STUDENT INTERN | - | CTS GLOBAL EQUITY GROUP, INC. | RESIGNATION (no clearance required) |
| 31-Jul-21 | YAP | RUSSELL CODY Y. | STUDENT INTERN | - | CTS GLOBAL EQUITY GROUP, INC. | RESIGNATION (no clearance required) |
| 31-Jul-21 | DIMACULANGAN | MA. SOPHIA | STUDENT INTERN | - | CTS GLOBAL EQUITY GROUP, INC. | RESIGNATION (no clearance required) |
| 31-Jul-21 | CHIA | TECK HWEE | STUDENT INTERN | - | CTS GLOBAL EQUITY GROUP, INC. | RESIGNATION (no clearance required) |
| 31-Jul-21 | ARGANA | ROLANDO N. | MESSENGER/UTILITY | - | R.S. LIM & COMPANY, INC. | RESIGNATION |

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| 31-Jul-21 | REYES | MA. ELEONOR C. | ACTING ASSISTANT TREASURER | - | UNICAPITAL SECURITIES, INC. | RESIGNATION (cleared) |
| 31-Jul-21 | HONRUBIA | NELSON V. | ASSOCIATED PERSON | - | UNICAPITAL SECURITIES, INC. | RESIGNATION (cleared) |
| 31-Jul-21 | BELARMINO | JUSTIN ALBERT D. | ACCOUNTING ASSOCIATE | - | ABACUS SECURITIES CORPORATION | RESIGNATION (cleared) |
| 30-Jul-21 | ANICETO | MYLES BENEDICT S. | EQUITIES DEALER | - | DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC. | RESIGNATION (cleared) |
| 30-Jul-21 | IDIESCA, JR. | NOEL S. | ASSOCIATE | - | JSG SECURITIES, INC. | RESIGNATION (clearance under process) |
| 30-Jul-21 | QUA | MIMI C. | MARKETING MANAGER | - | SUNSECURITIES, INC. | RESIGNATION (no clearance required) |
| 30-Jul-21 | BALITBIT | ALONA B. | ACCOUNTANT | - | SALISBURY BKT SECURITIES CORPORATION | RESIGNATION (cleared) |
| 30-Jul-21 | SANATARINA | MARC CHRISTIAN V. | ASSOCIATE, TRADER/SALESMAN | SENIOR ASSOCIATE, TRADER/SALESMAN | UNICAPITAL SECURITIES, INC. | RE-DESIGNATION |
| 30-Jul-21 | GABALDON | NEIL PATRICK A | SENIOR MANAGER, UTRADE HEAD | ASST. VICE PRESIDENT, UTRADE HEAD | UNICAPITAL SECURITIES, INC. | RE-DESIGNATION |
| 29-Jul-21 | CO | WILLIE | DIRECTOR | - | PAN ASIA SECURITIES CORPORATION | DECEASED |
| 28-Jul-21 | HING | MARIE ANGELA M. | - | ACTING ASSISTANT TREASURER | UNICAPITAL SECURITIES, INC. | NEW EMPLOYMENT |
| 28-Jul-21 | JOSE | GISELLE C. | CORPORATE SECRETARY | - | J. P. MORGAN SECURITIES PHILS, INC. | RESIGNATION (no clearance required) |
| 28-Jul-21 | SUPERABLE | NONALYN S. | ASSISTANT CORPORATE SECRETARY | CORPORATE SECRETARY | J. P. MORGAN SECURITIES PHILS, INC. | RE-DESIGNATION |
| 28-Jul-21 | RAYMUNDO | KENNETH Q. | - | ASSISTANT CORPORATE SECRETARY | J. P. MORGAN SECURITIES PHILS, INC. | NEW EMPLOYMENT |
| 28-Jul-21 | WARNER | CAROL P. | CORPORATE SECRETARY | - | SB EQUITIES, INC. | RESIGNATION (no clearance required) |

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| 28-Jul-21 | AYSON | GRACE S. | - | CORPORATE SECRETARY | SB EQUITIES, INC. | NEW EMPLOYMENT |
| 20-Jul-21 | BAUTISTA | JOSE ALEXANDRO MARIA C. | DEALER | - | CREDIT SUISSE SECURITIES (PHILIPPINES), INC. | RESIGNATION (cleared) |
| 19-Jul-21 | ABAQUITA | MICAELA GABRIELLE L. | - | RESEARCH ANALYST | CREDIT SUISSE SECURITIES (PHILIPPINES), INC. | NEW EMPLOYMENT |
| 16-Jul-21 | SALAYOG | JOHN CARLO S. | RECON SPECIALIST | - | AB CAPITAL SECURITIES, INC. | RESIGNATION (cleared) |
| 15-Jul-21 | LOCSIN | MARGARITA VICENCIA Y. | DIRECTOR, CHIEF OPERATING OFFICER | DIRECTOR | THE FIRST RESOURCES MGMT. SECURITIES CORP. | RE-DESIGNATION |
| 13-Jul-21 | MONTOLO | BERNARDO F. | - | MESSENGER - CONTRACTUAL | MAYBANK ATR KIM ENG SECURITIES, INC. | NEW EMPLOYMENT |
| 13-Jul-21 | MATURGO | ANGELO C. | MESSENGER - CONTRACTUAL | - | MAYBANK ATR KIM ENG SECURITIES, INC. | RESIGNATION (cleared) |
| 9-Jul-21 | VALONES | MARK ROLAND A. | - | AGENT (SALESMAN) | AB CAPITAL SECURITIES, INC. | NEW EMPLOYMENT |
| 1-Jul-21 | CALISON | KRISTINE S. | TRADER, RETAIL & HNW SALES | HEAD, RETAIL & HNW SALES | PNB SECURITIES, INC. | RE-DESIGNATION |
| 11-Jun-21 | ABAQUITA | MICAELA GABRIELLE L. | DIRECTOR, HEAD OF RESEARCH | - | DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC. | UPDATE: RESIGNATION (cleared) |