



MEMORANDUM – 2021-027

To : **All Trading Participants**

Subject : **Employee Movement**

Date : **04 October 2021**

Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 30 September 2021.

For information and guidance.

(original signed)
DAISY P. ARCE
President

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DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
30-Sep-21	CO CA	BENJAMIN	PRESIDENT/NOMINEE/SALESMAN	-	BENJAMIN CO CA & COMPANY, INC.	DECEASED
27-Sep-21	BUNAGAN	JANELLE CHRISTIE C.	RESEARCH ASSOCIATE	-	UBS SECURITIES PHILIPPINES, INC.	RESIGNATION
20-Sep-21	GARCIA III	PRIMITIVO Y.	INDEPENDENT DIRECTOR	-	UCPB SECURITIES, INC.	RESIGNATION (did not apply for clearance)
18-Sep-21	CRUZ	KATRINA ANN B.	SALES TRADER	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (clearance under process)
17-Sep-21	CATALAN	FAITH ANGELIE E.	EQUITIES INVESTMENT ADVISER/SALESMAN	-	UNICAPITAL SECURITIES, INC.	RESIGNATION
16-Sep-21	MARTINEZ	MARVIN JIM BOYER C.	-	OPERATIONS OFFICER	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
16-Sep-21	MANUEL	LLOYD BRYAN V.	-	OPERATIONS SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
16-Sep-21	DIMACULANGAN	MANUEL E.	-	INDEPENDENT DIRECTOR	R. COYIUTO SECURITIES, INC.	NEW EMPLOYMENT
16-Sep-21	CHICO	DANILO G.	-	INDEPENDENT DIRECTOR	R. COYIUTO SECURITIES, INC.	NEW EMPLOYMENT
16-Sep-21	FERNANDEZ	ETHEL WOLDO E.	INDEPENDENT DIRECTOR	-	R. COYIUTO SECURITIES, INC.	RESIGNATION
16-Sep-21	GO	TERENCE U.	INDEPENDENT DIRECTOR	-	R. COYIUTO SECURITIES, INC.	RESIGNATION
14-Sep-21	NOGRALES	MARSEILLE N.	SALESMAN	-	CLSA PHILIPPINES, INC.	RESIGNATION (clearance under process)
13-Sep-21	TE	JOHN VICTOR C.	-	ASSOCIATE DIRECTOR, RESEARCH ANALYST	UBS SECURITIES PHILIPPINES, INC.	NEW EMPLOYMENT
11-Sep-21	TAN	LANCE KENRICK S.	TRADING ASSISTANT	FINANCIAL ANALYST	CTS GLOBAL EQUITY GROUP, INC.	RE-DESIGNATION
10-Sep-21	BOCO	DIANA E.	SETTLEMENT CLERK	-	VENTURE SECURITIES, INC.	RESIGNATION (cleared)

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
10-Sep-21	JUINIO	JOSE L.	INDEPENDENT DIRECTOR	-	BA SECURITIES, INC.	DECEASED
10-Sep-21	CHUA	BENITO P.	-	INDEPENDENT DIRECTOR	BA SECURITIES, INC.	NEW EMPLOYMENT
8-Sep-21	CABRERA	VENICE CELICA S.	-	SETTLEMENT CLERK	VENTURE SECURITIES, INC.	NEW EMPLOYMENT
7-Sep-21	JOVEN	ELIASA JEAN E.	-	ACCOUNTING ASSISTANT	SALISBURY BKT SECURITIES CORPORATION	NEW EMPLOYMENT
6-Sep-21	CABASAG	FOWELL JUSTIN A.	-	EQUITIES INVESTMENT ADVISER/SALESMAN	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
4-Sep-21	LEONOR	JENN ARAH B.	COMPLIANCE ANALYST	-	CLSA PHILIPPINES, INC.	RESIGNATION (clearance under process)
2-Sep-21	ENRIQUEZ	LORENZO LUIS J.	-	CUSTODY & NOMINEE SERVICES	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
1-Sep-21	RAMOS	CATHERINE N.	-	ACCOUNTING ASSISTANT	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
1-Sep-21	ANHAO	ROMIL S.	ACCOUNTING CLERK	SETTLEMENT HEAD	GOLDEN TOWER SECURITIES HOLDINGS, INC.	RE-DESIGNATION
1-Sep-21	LAYESE	REGINE P.	-	COMPLIANCE ANALYST	UBS SECURITIES PHILIPPINES, INC.	NEW EMPLOYMENT
1-Sep-21	DE LEON	BRYAN VERA	-	MARKETING ASST.	ASIASEC EQUITIES, INC.	NEW EMPLOYMENT
1-Sep-21	HING	MARIE ANGELA M.	ACTING ASSISTANT TREASURER	ASSISTANT TREASURER	UNICAPITAL SECURITIES, INC.	RE-DESIGNATION
1-Sep-21	DE MESA	KING PATRICK A.	-	JAM-RESEARCH ANALYST	RCBC SECURITIES, INC.	NEW EMPLOYMENT
1-Sep-21	BUHAIN	DOMINIC LEANDRE D.	INTERN	RESEARCH ASSISTANT	CLSA PHILIPPINES, INC.	RE-DESIGNATION
1-Sep-21	AVILLA	JUDITH ANNE C.	-	CLIENT RELATIONS ASSOCIATE	BPI SECURITIES CORPORATION	NEW EMPLOYMENT

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
1-Sep-21	CARABUSO	KRACHEL ANNE M.	-	SECURITIES SETTLEMENT ASSOCIATE	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
1-Sep-21	NARIO	ISABEL	-	CASH SETTLEMENT ASSOCIATE	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
1-Sep-21	DE LUNA	MEIRA BEATRIS S.	-	RESEARCH ANALYST	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
1-Sep-21	ROQUE	RAINSTAR I.	-	RESEARCH ANALYST	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
1-Sep-21	PARUNGAO	KRISTEL MAE L.	-	RESEARCH ANALYST	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
31-Aug-21	ARIDA	GENEVA R.	SETTLEMENT CLERK	-	INVESTORS SECURITIES, INC.	RESIGNATION (cleared)
31-Aug-21	GONZALES	JOYCE ANNE S.	SETTLEMENT HEAD	-	GOLDEN TOWER SECURITIES HOLDINGS, INC.	RESIGNATION (cleared)
31-Aug-21	REMIENDO	KATHLEEN ANNE T.	OFFICE CLERK	-	R.S. LIM & COMPANY, INC.	RESIGNATION
29-Aug-21	CLEMENTE	BENEDICT MARIO D.	RESEARCH ANALYST	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
25-Aug-21	AQUINO	ANJANETTE M.	-	ACCOUNTING CLERK	GOLDEN TOWER SECURITIES HOLDINGS, INC.	NEW EMPLOYMENT
23-Aug-21	SEVIDAL	ALFONSO MIGUEL N.	-	RESEARCH ANALYST	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
20-Aug-21	YANG	DAPHNE T.	AM-RESEARCH ANALYST	-	RCBC SECURITIES, INC.	RESIGNATION (clearance under process)
20-Aug-21	ALCANTARA III	CONRADO JOSE V.	INTERN	-	CLSA PHILIPPINES, INC.	RESIGNATION (cleared)
16-Aug-21	ANICETO	MYLES BENEDICT S.	-	SALES OFFICER	SB EQUITIES, INC.	NEW EMPLOYMENT
16-Aug-21	UMALI	NOMER T.	-	ACCOUNT ADMINISTRATOR	BDO SECURITIES CORPORATION	NEW EMPLOYMENT

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
16-Aug-21	ADULA	DAISY F.	-	ACCOUNT ADMINISTRATOR	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Aug-21	GUEVARRA	CECILIA B.	CONSULTANT	-	ALAKOR SECURITIES CORPORATION	RESIGNATION
16-Aug-21	ABILLA	MARY ANGELIKA PAIJE ASHLEY J.	EXECUTIVE SECRETARY AND ADMINISTRATIVE ASSISTANT	-	CHINA BANK SECURITIES CORPORATION	RESIGNATION (cleared)
16-Aug-21	DE LEON	JOHN PAUL V	CORORATE SECRETARY	-	MACQUARIE CAPITAL SECURITIES (PHILIPPINES), INC.	RESIGNATION (cleared)
16-Aug-21	ALCANTARA	AUSTIN CLAUDE S.	ASST. CORPORATE SECRETARY	CORPORATE SECRETARY	MACQUARIE CAPITAL SECURITIES (PHILIPPINES), INC.	RE-DESIGNATION
13-Aug-21	TAN	KYLE ELLIS C.	-	DIRECTOR/ VICE CHAIRMAN	PAN ASIA SECURITIES CORPORATION	NEW EMPLOYMENT
6-Aug-21	MEDRANO	CLARIZE MARIE B.	CUSTODY & NOMINEE SERVICES CLERK	-	ABACUS SECURITIES CORPORATION	RESIGNATION (cleared)
6-Jul-21	LIBO-ON	ROMEL FRANCIS B.	SENIOR RESEARCH ANALYST	-	MAYBANK ATR KIM ENG SECURITIES, INC.	UPDATE: RESIGNATION (cleared)
18-Jun-21	ALVAREZ	CLARE D.	CORPORATE SECRETARY/ TREASURER	CHAIRMAN AND PRESIDENT	GUILD SECURITIES, INC.	RE-DESIGNATION
18-Jun-21	FRANCISCO	PETER J.	DIRECTOR	TREASURER	GUILD SECURITIES, INC.	RE-DESIGNATION
18-Jun-21	LABRADOR	LILIAN M.	CASH/ SETTLEMENT OFFICER	CORPORATE SECRETARY	GUILD SECURITIES, INC.	RE-DESIGNATION
5-May-21	ALVAREZ	ANTONIO B.	PRESIDENT	-	GUILD SECURITIES, INC.	DECEASED