



MEMORANDUM – 2021-029

TO : THE TRADING PARTICIPANTS
SUBJECT : SUBMISSION OF DATA FOR 2022 RISK ASSESSMENT
DATE : 29 OCTOBER 2021

In connection with the risk assessment of all trading participants (“TP”) relative to the conduct of the 2022 Annual Regulatory Examination by the Capital Markets Integrity Corporation (“CMIC”), the TPs are directed to provide pertinent details in the Internal Control Questionnaire (ICQ) in this link: <https://tinyurl.com/2022-01-ACD-ICQ>.

The completed ICQ and required documents must be submitted via electronic email to CMIC (acd@cmic.com.ph) not later than **15 November 2021**.

For relevant inquiries, please email acd@cmic.com.ph.

For your compliance.

(original signed)
DAISY P. ARCE
President

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