



# MEMORANDUM – 2021-031

**To** : **All Trading Participants**

**Subject** : **Employee Movement**

**Date** : **03 December 2021**

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Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 30 November 2021.

For information and guidance.

*(original signed)*  
**DAISY P. ARCE**  
*President*

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DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
25-Nov-21	ESPIRITU SANTO	LYNNETH C.	-	ACCOUNTING ASSOCIATE	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
25-Nov-21	ALCARAZ	LESLIE GWEN IRIS R.	ASSOCIATED PERSON	ASSOCIATED PERSON/ DATA PROTECTION OFFICER	SECURITIES SPECIALISTS, INC.	RE-DESIGNATION
24-Nov-21	CHAN	CARLO GABRIEL Y.	SALESMAN	-	TOWER SECURITIES, INC.	RESIGNATION
24-Nov-21	DOMERO	ALLADIN C.	SALESMAN	-	MERCANTILE SECURITIES, CORPORATION	DECEASED
22-Nov-21	GADAINGAN	EDLYN I.	-	DOCUMENTATION SPECIALIST	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
16-Nov-21	FABROS	RALPH MICHAEL Y.	-	IT PRODUCT ASSISTANT	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
16-Nov-21	SALAMERO	MARIA REMEDIOS C.	-	HEAD, INFORMATION TECHNOLOGY	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
16-Nov-21	REVILLAME	RONALD A.	HEAD (OIC) - I.T. DEPARTMENT	I.T. OFFICER	BPI SECURITIES CORPORATION	RE-DESIGNATION
16-Nov-21	TIANCO	MARIKIT C.	-	CUSTOMER ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Nov-21	TIMARIO	ROSELA P.	-	ACCOUNTING ASSISTANT	DA MARKET SECURITIES, INC.	NEW EMPLOYMENT
15-Nov-21	UNLAY	LUIS GABRIEL S.	-	VICE-PRESIDENT	TOWER SECURITIES, INC.	NEW EMPLOYMENT
15-Nov-21	CRUZ	KARL ANDREW Q.	CUSTOMER SERVICE ASSOCIATE	DIGITAL MARKETING SPECIALIST	ABACUS SECURITIES CORPORATION	RE-DESIGNATION
15-Nov-21	RATERTA	LAIZA REGINA C.	SETTLEMENT STAFF/CLERK/TYPIST	OPERATION STAFF	SOLAR SECURITIES, INC.	RE-DESIGNATION
15-Nov-21	FERNANDEZ	MARITES M.	CLERK/TYPIST	SETTLEMENT STAFF	SOLAR SECURITIES, INC.	RE-DESIGNATION
15-Nov-21	TAN	JOHN T.	SALESMAN	-	SOLAR SECURITIES, INC.	DECEASED

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15-Nov-21	VICTORINO	JOHN B.	-	TECHNICAL SUPPORT ANALYST	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
11-Nov-21	GARCIA	MA. RAQUEL R.	VICE PRESIDENT	ASSOCIATED PERSON/ VICE PRESIDENT	MDR SECURITIES, INC.	RE-DESIGNATION
10-Nov-21	LUGTU	JOHN ANGELO M.	RESEARCH ASSOCIATE	RESEARCH ANALYST	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RE-DESIGNATION
9-Nov-21	MONTEVERDE	REDWIN GRIFFITH N.	-	RESEARCH ANALYST	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
8-Nov-21	CHUA	LEONARD LOUIS C.	HEAD OF GLOBAL RESEARCH	HEAD OF GLOBAL INVESTMENTS	CTS GLOBAL EQUITY GROUP, INC.	RE-DESIGNATION
8-Nov-21	PACHECO	RICHARD LEMUEL U.	CSR EQUITIES TRADER	HEAD OF PROPRIETY TRADING	CTS GLOBAL EQUITY GROUP, INC.	RE-DESIGNATION
5-Nov-21	FRANCISCO	JOAQUIN A.	RESEARCH ANALYST	-	BDO SECURITIES CORPORATION	RESIGNATION
4-Nov-21	BUHANGIN	ERNEST DOMINIC T.	TRADING MANAGER	SENIOR CSR EQUITIES TRADER	CTS GLOBAL EQUITY GROUP, INC.	RE-DESIGNATION
3-Nov-21	FELICILDA	HERMI T.	-	SECURITIES SETTLEMENT STAFF	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
2-Nov-21	CRUZ	NICHOLE KATE A.	ACCOUNTING STAFF	ACCOUNTING ASSISTANT	CTS GLOBAL EQUITY GROUP, INC.	RE-DESIGNATION
2-Nov-21	SANTIDAD	PATRICK JOHN P.	I.T. STAFF	IT ASSISTANT	CTS GLOBAL EQUITY GROUP, INC.	RE-DESIGNATION
2-Nov-21	DEL ROSARIO	QUENNIE ANNE D.	ACCOUNTING STAFF	ACCOUNTING SPECIALIST	CTS GLOBAL EQUITY GROUP, INC.	RE-DESIGNATION
2-Nov-21	TEJONES	MA. RIZZAH MAE G.	OPERATIONS STAFF	OPERATIONS SPECIALIST	CTS GLOBAL EQUITY GROUP, INC.	RE-DESIGNATION
2-Nov-21	CAMINO	AIMEE D.	OPERATIONS STAFF	OPERATIONS ASSOCIAT	CTS GLOBAL EQUITY GROUP, INC.	RE-DESIGNATION
2-Nov-21	CASIÑO	MA. DOLORES T.	SETTLEMENTS ASSISTANT	SETTLEMENTS SUPERVISOR	CTS GLOBAL EQUITY GROUP, INC.	RE-DESIGNATION

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2-Nov-21	GENTAPANAN	NEL VINCENT C.	RESEARCH ASSISTANT	CSR EQUITIES TRADER	CTS GLOBAL EQUITY GROUP, INC.	RE-DESIGNATION
2-Nov-21	TAN	GEMMA ADELINE K.	RESEARCH OFFICER	CSR EQUITIES TRADER	CTS GLOBAL EQUITY GROUP, INC.	RE-DESIGNATION
2-Nov-21	MENDIOLA	ROME NEIL O.	FINANCIAL ANALYST	CSR EQUITIES TRADER	CTS GLOBAL EQUITY GROUP, INC.	RE-DESIGNATION
2-Nov-21	YANGA	AEDRICK B.	FINANCIAL ANALYST	CSR EQUITIES TRADER	CTS GLOBAL EQUITY GROUP, INC.	RE-DESIGNATION
2-Nov-21	GO	DANIEL CHRISTOPHER P.	FINANCIAL ANALYST	CSR EQUITIES TRADER	CTS GLOBAL EQUITY GROUP, INC.	RE-DESIGNATION
2-Nov-21	JAYOMA	RALPH JAN I.	CSR EQUITIES TRADER	SENIOR CSR EQUITIES TRADER	CTS GLOBAL EQUITY GROUP, INC.	RE-DESIGNATION
2-Nov-21	PATAJO	MIGUEL DOMINGO B.	CSR EQUITIES TRADER	SENIOR CSR EQUITIES TRADER	CTS GLOBAL EQUITY GROUP, INC.	RE-DESIGNATION
2-Nov-21	CHAN	SHERWIN KAIHONG N.	CSR EQUITIES TRADER	SENIOR CSR EQUITIES TRADER	CTS GLOBAL EQUITY GROUP, INC.	RE-DESIGNATION
2-Nov-21	CHAVEZ	CARL EARL JOSEPH L.	CSR EQUITIES TRADER	SENIOR CSR EQUITIES TRADER	CTS GLOBAL EQUITY GROUP, INC.	RE-DESIGNATION
2-Nov-21	CHUAN	JEFFERSON RYAN C.	CSR EQUITIES TRADER	SENIOR CSR EQUITIES TRADER	CTS GLOBAL EQUITY GROUP, INC.	RE-DESIGNATION
2-Nov-21	GERMAN	JOHN PAUL M.	CSR EQUITIES TRADER	SENIOR CSR EQUITIES TRADER	CTS GLOBAL EQUITY GROUP, INC.	RE-DESIGNATION
2-Nov-21	SY	MARK JENSEN N.	CSR EQUITIES TRADER	SENIOR CSR EQUITIES TRADER	CTS GLOBAL EQUITY GROUP, INC.	RE-DESIGNATION
2-Nov-21	TEO	RIZALDY ROYCE G.	CSR EQUITIES TRADER	SENIOR CSR EQUITIES TRADER	CTS GLOBAL EQUITY GROUP, INC.	RE-DESIGNATION
2-Nov-21	BUHANGIN	ERNEST DOMINIC T.	CSR EQUITIES TRADER	TRADING MANAGER	CTS GLOBAL EQUITY GROUP, INC.	RE-DESIGNATION
2-Nov-21	YU	MICHELLE ANGELINE N.	DIRECTOR/CSR EQUITIES TRADER	DIRECTOR/CLIENT MANAGEMENT SUPERVISOR	CTS GLOBAL EQUITY GROUP, INC.	RE-DESIGNATION

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2-Nov-21	CHAN	TERENCE L.	HEAD OF INVESTMENTS	HEAD OF HK INVESTMENTS	CTS GLOBAL EQUITY GROUP, INC.	RE-DESIGNATION
2-Nov-21	GOMEZ	BRYAN S.	HEAD OF INVESTMENTS	HEAD OF EXTERNAL RELATIONS	CTS GLOBAL EQUITY GROUP, INC.	RE-DESIGNATION
2-Nov-21	MARIPOSA	MARK JASON C.	INVESTMENT ANALYST	HEAD OF MACROECONOMICS	CTS GLOBAL EQUITY GROUP, INC.	RE-DESIGNATION
2-Nov-21	ESPARTERO	EVELYN V.	MARKETING/OPERATIONS ASST	ASST OPERATIONS MANAGER	INVESTORS SECURITIES, INC.	RE-DESIGNATION
2-Nov-21	GETANO	EDGAR G.	UTILITY CLERK	UTILITY CLERK/ MESSENGER	INVESTORS SECURITIES, INC.	RE-DESIGNATION
2-Nov-21	FAELDONEA	ARNULFO G.	UTILITY CLERK	UTILITY CLERK/ MESSENGER	INVESTORS SECURITIES, INC.	RE-DESIGNATION
2-Nov-21	JIMENEZ	MA. CECILIA A.	-	INVESTMENT CONSULTANT	IGC SECURITIES, INC.	NEW EMPLOYMENT
1-Nov-21	LOPEZ	PAOLA BEATRICE C.	EQUITY ANALYST	-	REGINA CAPITAL DEVT. CORPORATION	RESIGNATION
1-Nov-21	DE TORRES	KAREEN E.	-	ACCOUNT ADMINISTRATOR	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
31-Oct-21	BASCON	ROLAND BILLY S.	SAM - RESEARCH ANALYST	-	RCBC SECURITIES, INC.	RESIGNATION (clearance under process)
31-Oct-21	NAVAL	CATHYLIN B.	SALESMAN	-	FIRST ORIENT SECURITIES, INC.	RESIGNATION
31-Oct-21	REYES	NATASHA LUNA T.	INTERN	-	CLSA PHILIPPINES, INC.	RESIGNATION (no clearance required)
30-Oct-21	LACHICA	GERALEN C.	RETAIL SALES OFFICER	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (clearance under process)
30-Oct-21	DELFIN	ADRIAN S.	JUNIOR DEALER	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (clearance under process)
29-Oct-21	LOPEZ	FRANCIS CARLO G.	SALES AGENT	-	VENTURE SECURITIES, INC.	RESIGNATION (cleared)

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
29-Oct-21	MOSTOLES	JAZELINE JANE G.	STAFF (SPECIAL PROJECT)	-	HDI SECURITIES, INC.	RESIGNATION (no clearance required)
29-Oct-21	FERNANDEZ	DENISSE ALYSSA P.	MARKETING ASSISTANT	-	HDI SECURITIES, INC.	RESIGNATION (no clearance required)
29-Oct-21	MAAMO	KYLE L.	EQUITY ANALYST	-	THE FIRST RESOURCES MGMT. SECURITIES CORP.	RESIGNATION (cleared)
29-Oct-21	GARCIA	MA. RAQUEL R.	SALESMAN/VICE PRESIDENT	VICE PRESIDENT	MDR SECURITIES, INC.	RE-DESIGNATION
29-Oct-21	BUNDOC, JR.	BERNARDO V.	SALESMAN	-	MDR SECURITIES, INC.	RESIGNATION
28-Oct-21	SHUNTARO	NAGASHIMA	DIRECTOR	-	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RESIGNATION (no clearance required)
28-Oct-21	MASAHIRO	YAMAUCHI	-	DIRECTOR	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	NEW EMPLOYMENT
27-Oct-21	DE LA PAZ	LIANNE MARIE G.	-	INDEPENDENT DIRECTOR	CLSA PHILIPPINES, INC.	NEW EMPLOYMENT
25-Oct-21	ACOP	FRANCESCA LOUISE H.	-	EQUITY ANALYST	REGINA CAPITAL DEVT. CORPORATION	NEW EMPLOYMENT
25-Oct-21	MONTEROYO	MIGUEL D.	-	TREASURY ASSISTANT	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
25-Oct-21	SALCEDO	SET S.	JUNIOR PROGRAMMER	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (clearance under process)
20-Oct-21	DE JESUS	FIRENZO D.	-	RESEARCH ANALYST	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
20-Oct-21	INGUITO	LOPE S.	-	INDEPENDENT DIRECTOR	ASIASEC EQUITIES, INC.	NEW EMPLOYMENT
19-Oct-21	LASTRILLA	MARIA CRISTINA L.	ASSISTANT	-	CREDIT SUISSE SECURITIES (PHILIPPINES), INC.	RESIGNATION (no clearance required)
18-Oct-21	NAVARRO	ERLINDA L.	TRADING ASSISTANT	-	IGC SECURITIES, INC.	RESIGNATION

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16-Oct-21	DELA CRUZ	CARYL C.	-	ACCOUNTING STAFF	REGINA CAPITAL DEVT. CORPORATION	NEW EMPLOYMENT
16-Oct-21	FUENTES	HALLEAH JOY	-	TRANSFER CLERK	F. YAP SECURITIES, INC.	NEW EMPLOYMENT
15-Oct-21	GO	CLEMENT T.	SALES-AGENT	-	SB EQUITIES, INC.	DECEASED
15-Oct-21	DE JESUS	FIRENZO D.	RESEARCH ANALYST	-	SB EQUITIES, INC.	RESIGNATION (cleared)
15-Oct-21	TEMBREVILLA	JUSTIN LAWRENCE J.	-	SR. RESEARCH ANALYST	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	NEW EMPLOYMENT
15-Oct-21	FRANCISCO	PETER J.	TREASURER	TREASURER AND DIRECTOR	GUILD SECURITIES, INC.	RE-DESIGNATION
15-Oct-21	ALVAREZ	RAYMOND CHER L.	SETTLEMENT CLERK	CASH SETTLEMENT OFFICER	GUILD SECURITIES, INC.	RE-DESIGNATION
15-Oct-21	MARTINEZ	EDMUND DANIEL P.	VICE PRESIDENT - DIGITAL MARKETING AND WEALTH	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (clearance under process)
11-Oct-21	GREGORIO	DALMACIO H.	INDEPENDENT DIRECTOR	-	ASIASEC EQUITIES, INC.	RESIGNATION
6-Oct-21	ABERGONZADO	KOKKI P.	TREASURY ASSISTANT	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
5-Oct-21	FERNANDEZ	ARVIN ROMEL R.	DEALER	-	CLSA PHILIPPINES, INC.	RESIGNATION (cleared)
1-Oct-21	HERNANDEZ	RAPHAEL JOURDAN M.	SALES- DEALER		SB EQUITIES, INC.	RESIGNATION (cleared)
1-Oct-21	QUANICO	MA. KARLA BUENAFE M.	-	ACCOUNT SERVICES HEAD	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
1-Oct-21	CO	JOSHUA JAMES A.	-	RESEARCH ANALYST	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
1-Oct-21	BALDOSTAMON	LEAR B.	INVESTMENT COUNSELOR	-	BDO SECURITIES CORPORATION	RESIGNATION

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30-Sep-21	NOCUM	JAZEL M.	ACCOUNTING STAFF	-	REGINA CAPITAL DEVT. CORPORATION	RESIGNATION
29-Sep-21	BERNAL	MADLINE S.	SECURITIES SETTLEMENT SUPERVISOR	-	ABACUS SECURITIES CORPORATION	RESIGNATION (cleared)
14-Sep-21	NOGRALES	MARSEILLE N.	SALESMAN	-	CLSA PHILIPPINES, INC.	RESIGNATION (cleared)
4-Sep-21	LEONOR	JENN-ARAH B.	COMPLIANCE ANALYST	-	CLSA PHILIPPINES, INC.	RESIGNATION (cleared)