



# MEMORANDUM – 2021-023

**TO** : THE TRADING PARTICIPANTS AND THE INVESTING PUBLIC

**SUBJECT** : CAPITAL MARKET OPERATIONS UNDER THE  
ENHANCED COMMUNITY QUARANTINE

**DATE** : 06 AUGUST 2021

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The Securities and Exchange Commission has issued a *Notice* regarding the operations of the capital markets under the Enhanced Community Quarantine or ECQ. For information and guidance, attached are the following:

- a) the subject *Notice* from the Commission;
- b) *Resolution No. 130-A, Series of 2021* issued by the Inter-Agency Task Force for the Management of Emerging Infectious Diseases; and
- c) *List of Capital Market Participants as of 05 August 2021* from the Commission.

*(original signed)*  
**DAISY P. ARCE**  
*President*

<b>ACD</b>	<b>FHRAD</b>	<b>IED</b>	<b>SD</b>



## NOTICE

**TO : CAPITAL MARKET INSTITUTIONS, PARTICIPANTS, AND EMPLOYEES**

**SUBJECT : CAPITAL MARKET OPERATIONS UNDER THE ENHANCED COMMUNITY QUARANTINE**

**DATE : 05 AUGUST 2021**

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Concerning the implementation of Enhanced Community Quarantine in the National Capital Region (NCR) from 06 August to 20 August 2021, please be advised that under the Omnibus Guidelines on the Implementation of Community Quarantine in the Philippines, as amended,<sup>1</sup> capital market participants are allowed to implement a skeleton workforce arrangement in their offices.<sup>2</sup>

The Omnibus Guidelines allow the following IDs as proof of Authorized Person Outside of Residence or APOR status:

1. IATF IDs issued by the regulatory agencies with jurisdiction over permitted establishments or persons,
2. valid IDs or other pertinent documentation issued by accrediting organizations or establishments allowed under ECQ, and,
3. if required by the LGU, local IDs for availing of essential goods and services. No other IDs or passes specifically exempting persons from community quarantine shall be required of workers of permitted establishments and/or offices without prejudice to requiring the presentation of other documents establishing the nature of their work.<sup>3</sup>

To help the capital markets with their continuous operations amidst the ECQ, the Commission issued the attached list of registered capital market institutions such as the following:

1. Philippine Stock Exchange, Inc.
2. Securities Clearing Corporation of the Philippines
3. Capital Market Integrity Corporation
4. Philippine Dealing and Exchange Corporation

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<sup>1</sup> Accessible at <<https://www.officialgazette.gov.ph/2021/05/20/omnibus-guidelines-on-the-implementation-of-community-quarantine-in-the-philippines-with-amendments-as-of-may-20-2021/>>.

<sup>2</sup> Section 2.4(c)(iv) of the Omnibus Guidelines on the Implementation of Community Quarantine, as amended.

<sup>3</sup> Section 2.13 of the Omnibus Guidelines on the Implementation of Community Quarantine, as amended.



5. Philippine Securities Settlement Corporation
6. Philippine Depository and Trust Corporation
7. Securities Broker Dealers
8. Securities Brokers
9. Securities Dealers
10. Brokers in Proprietary Shares
11. Government Securities Eligible Dealers
12. Investment Houses
13. Underwriters of Securities
14. Registrars of Qualified Buyers
15. Investment Companies or Mutual Fund Companies
16. Investment Company Advisers
17. Mutual Fund Distributors
18. REIT Fund Managers
19. REIT Property Managers
20. Crowdfunding Intermediary
21. ATS Operators
22. Transfer Agents

The said listing may be used as reference by employees, together with their office ID, to support their claim of employment in a capital market institution and qualify as an APOR. The concerned employees can access, save and/or print a copy of said list.

Please be guided accordingly.



REPUBLIC OF THE PHILIPPINES  
**INTER-AGENCY TASK FORCE**  
FOR THE MANAGEMENT OF EMERGING INFECTIOUS DISEASES

**RESOLUTION NO. 130-A**

Series of 2021

July 29, 2021

**WHEREAS**, Section 15 Article II of the 1987 Constitution states that the State shall protect and promote the right to health of the people and instill health consciousness among them;

**WHEREAS**, Section 2 (b) of Executive Order No. 168 (s.2014) mandates the Inter-Agency Task Force for the Management of Emerging Infectious Diseases (IATF) to prevent and/or minimize the entry of suspected or confirmed patients with emerging infectious diseases into the country;

**WHEREAS**, on September 16, 2020, the President issued Proclamation No. 1021 (s.2020) extending the period of the State of Calamity throughout the Philippines until September 21, 2021;

**WHEREAS**, the Department of Health (DOH), the University of the Philippines-Philippine Genome Center (UP-PGC), and the University of the Philippines-National Institutes of Health (UP-NIH) biosurveillance have detected B.1.1.7 (Alpha), B.1.351 (Beta), and P.1 (Gamma) variants of SARS-CoV-2 in the Philippines. The DOH, UP-PGH, and UP-NIH have likewise confirmed the presence of the B.1.617 (Delta) variant that was first detected in India;

**WHEREAS**, the continued implementation of proactive measures and restrictions must be put in place to slow down the surge in COVID-19 cases, stop further spread of variants, buy time for the health system to cope, and to protect more lives.

**NOW, THEREFORE, BE IT RESOLVED**, as it is hereby resolved, upon the recommendations of the Screening and Validation Committee, the IATF approves the following risk-level classifications of Provinces, Highly Urbanized Cities (HUCs), and Independent Component Cities (ICCs) effective 01 August 2021:

- A. The following provinces, HUCs, and ICCs shall be placed under Modified General Community Quarantine (MGCQ) until 31 August 2021, without prejudice to their reclassification as may be warranted and the declaration of localized Enhanced Community Quarantine in critical areas:



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1. For Luzon:

- a. Cordillera Administrative Region - Ifugao, Kalinga, Abra, Benguet, Mt. Province;
- b. Region I - La Union, Pangasinan, Dagupan City;
- c. Batanes;
- d. Region III - Aurora, Nueva Ecija, Pampanga, Tarlac, Zambales, Angeles City, Olongapo City;
- e. Region IV-B - Marinduque, Occidental Mindoro\*, Oriental Mindoro, Romblon, and Palawan\*; and
- f. Region V - Albay, Camarines Norte, Camarines Sur, Catanduanes, Masbate, Sorsogon.

2. For the Visayas:

- a. Region VII - Bohol, Siquijor; and
- b. Region VIII - Biliran, Leyte, Southern Leyte, Eastern Samar, Northern Samar, Western Samar\*, and Ormoc City\*.

3. For Mindanao:

- a. Region X - Bukidnon\*, Camiguin\*, Iligan, Lanao del Norte\*, Misamis Occidental\*;
- b. Bangsamoro Autonomous Region in Muslim Mindanao (BARMM) - Basilan, Maguindanao\*, Sulu, and Tawi-Tawi.

*\*Areas requiring special attention by the Local Government Units and Regional IATF/RTF*

B. The following provinces, HUCs, and ICCs shall be placed under General Community Quarantine (GCQ) until 31 August 2021, without prejudice to their reclassification as may be warranted and the declaration of localized Enhanced Community Quarantine in critical areas:

1. For Luzon:

- a. Cordillera Administrative Region: Baguio City and Apayao;
- b. Region II: Santiago City, Quirino, Isabela and Nueva Vizcaya;
- c. Region IV-A: Batangas and Quezon; and
- d. Puerto Princesa.

2. For Visayas:

- a. Region VI: Guimaras, Negros Occidental.



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3. For Mindanao:

- a. Region IX: Zamboanga Sibugay, Zamboanga City, Zamboanga del Norte;
- b. Region XI: Davao Oriental, Davao del Sur;
- c. Region XII: Gen. Santos City, Sultan Kudarat, Sarangani, North Cotabato, and South Cotabato;
- d. Region XIII (CARAGA): Agusan Del Norte, Agusan del Sur, Surigao Del Norte, Surigao del Sur, and Dinagat Islands; and
- e. Bangsamoro Autonomous Region in Muslim Mindanao (BARMM) - Cotabato City.

*\*Areas requiring special attention by the Local Government Units and Regional IATF/RTF*

C. The following provinces, HUCs, and ICCs shall be placed under Modified Enhanced Community Quarantine (MECQ) until 15 August 2021, without prejudice to their reclassification as may be warranted and the declaration of localized Enhanced Community Quarantine in critical areas:

1. For Luzon: Ilocos Norte, and Bataan; and
2. For Visayas: Mandaue City, Lapu Lapu City, Cebu City\*\* and Cebu Province.\*\*

*\*\*subject to further appeals by their respective LGUs.*

D. Gingoog City of Misamis Oriental, Iloilo, Iloilo City, and Cagayan de Oro City shall be placed under Enhanced Community Quarantine (ECQ) until 07 August 2021, without prejudice to their reclassification as may be warranted and the declaration of localized Enhanced Community Quarantine in critical areas;

E. The following provinces, HUCs, and ICCs shall be placed under General Community Quarantine (GCQ) with heightened restrictions until 15 August 2021, without prejudice to their reclassification as may be warranted and the declaration of localized Enhanced Community Quarantine in critical areas:

1. For Luzon:
  - a. Ilocos Sur;
  - b. Cagayan,



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- c. Bulacan,
- d. Region IV-A: Cavite, Rizal, Laguna, Lucena City; and,
- e. Naga City.
2. For Visayas:
  - a. Region VI: Antique, Aklan, Bacolod City, and Capiz; and,
  - b. Negros Oriental;
3. For Mindanao:
  - a. Zamboanga del Sur;
  - b. Misamis Oriental;
  - c. Region XI: Davao City, Davao del Norte, Davao Occidental, and Davao de Oro; and
  - d. Butuan City.

The provinces, HUCs, and ICCs in the preceding paragraph shall observe and implement the following heightened restrictions:

1. Food preparation establishments such as commissaries, restaurants, and eateries may operate with their indoor dine-in services at the venue or seating capacity of twenty percent (20%), and with their *al fresco* or outdoor dine-in services at the venue or seating capacity of fifty percent (50%).
2. Personal care services, such as beauty salons, beauty parlors, barbershops, and nail spas, may operate up to thirty percent (30%) of venue or seating capacity. For this purpose, these establishments shall only provide services that can accommodate the wearing of face masks at all times by patrons/clients and service providers.
3. Meetings, Incentives, Conventions, and Exhibitions (MICE) events and social events in venue establishments shall not be allowed;
4. Outdoor tourist attractions, as may be defined by the Department of Tourism (DOT), shall remain to be allowed at thirty percent (30%) venue capacity with strict adherence to minimum public health standards;
5. Under the Safety Seal Certification Program, business establishments awarded Safety Seal Certifications shall be allowed to operate at an



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additional 10 percentage points beyond the prescribed on-site capacity or venue/seating capacity, whichever is applicable;

6. Indoor sports courts and venues and indoor tourist attractions shall not be allowed to operate;
7. Specialized markets of the DOT such as *Staycations* without age restrictions shall remain to be allowed at such capacities, protocols, and restrictions as may be imposed by the DOT;
8. Interzonal travel shall be allowed subject to restrictions of the local government unit of destination. Point-to-Point travel to areas under General Community Quarantine and Modified General Community Quarantine shall be allowed without age restrictions subject to an RT-PCR test-before-travel requirement for those below eighteen years old (18) and above sixty-five (65), and other protocols and restrictions as may be imposed by the DOT and the Local Government Unit of destination;
9. Particular to Cavite, Bulacan, Laguna, and Rizal, which together with the National Capital Region, shall form the NCR Plus Area, only Authorized Persons Outside their Residences as provided for under Section 7(2)(a) of the Omnibus Guidelines on the Implementation of Community Quarantine in the Philippines, as amended, shall be allowed to travel into and out of said NCR Plus Area;
10. Religious gatherings shall be allowed up to ten percent (10%) of the venue capacity. Provided that, there is no objection from the local government unit where the religious gathering may take place. Provided, further, that the LGU may increase the allowable venue capacity up to thirty percent (30%). The religious denominations should strictly observe their submitted protocols and the minimum public health standards.

Gatherings for necrological services, wakes, inurnment, funerals for those who died of causes other than COVID-19 shall be allowed, provided that the same shall be limited to immediate family members, upon satisfactory proof of their relationship with the deceased and with full compliance with the prescribed minimum public health standards for the duration of the activity; and





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11. Other provisions of the Omnibus Guidelines on the Implementation of Community Quarantine in the Philippines, as amended, not affected by the foregoing shall continue to be in effect including those on interzonal travel into and out of the aforementioned areas and the operation of public transportation. The use of active transportation shall likewise be promoted.

F. The National Capital Region shall remain to be under General Community Quarantine until 05 August 2021, without prejudice to their reclassification as may be warranted and the declaration of localized Enhanced Community Quarantine in critical areas, subject to the immediate observance and implementation of the following heightened restrictions:

1. Indoor dine-in services and *al fresco* dining of food preparation establishments such as commissaries, restaurants, and eateries shall not be allowed. The operation of these establishments shall be limited to take-out and delivery;
2. Personal care services, such as beauty salons, beauty parlors, barbershops, and nail spas, may operate up to thirty percent (30%) of venue or seating capacity. For this purpose, these establishments shall only provide services that can accommodate the wearing of face masks at all times by patrons/clients and service providers;
3. Indoor sports courts and venues; and indoor tourist attractions; specialized markets of the Department of the Tourism, may not operate;
4. Outdoor tourist attractions, as may be defined by the Department of Tourism (DOT), shall remain to be allowed at thirty percent (30%) venue capacity with strict adherence to minimum public health standards;
5. Only Authorized Persons Outside their Residences as provided for under Section 7(2)(a) of the Omnibus Guidelines on the Implementation of Community Quarantine in the Philippines, as amended, shall be allowed to travel into and out of the NCR Plus Area;
6. Only virtual religious gatherings shall be allowed. Gatherings for necrological services, wakes, inurnment, funerals for those who died of causes other than COVID-19 shall be allowed, provided that the same shall be limited to immediate family members, upon satisfactory proof of their relationship with the deceased and with full compliance with



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the prescribed minimum public health standards for the duration of the activity; and

7. Other provisions of the Omnibus Guidelines on the Implementation of Community Quarantine in the Philippines, as amended, not affected by the foregoing shall continue to be in effect including those on the operation of public transportation. The use of active transportation shall likewise be promoted.

Beginning 06 August 2021, the risk classification of the National Capital Region shall be escalated to Enhanced Community Quarantine until 20 August 2021, observing the provisions of the Omnibus Guidelines on the Implementation of Community Quarantine, as amended.

G. All areas shall continue to implement the following measures:

1. Shorten detection to isolation/quarantine interval to less than five (5) days:
  - a. Conduct active case finding in all areas, prioritizing those with clustering;
  - b. Close contacts of suspect, probable, and confirmed cases must be traced within twenty-four (24) hours of detection of a case;
  - c. Immediate isolation/quarantine and testing of cases and close contacts;
  - d. Rapid antigen tests may be used for confirmation of suspect/probable cases and close contacts;
  - e. Those who turn negative on rapid antigen test must undergo Reverse Transcription - Polymerase Chain Reaction (RT-PCR) test;
  - f. Local Government Units (LGUs) and Regional Epidemiological Surveillance Units (RESUs) must identify areas with case increases or clustering and facilitate immediate submission samples for sequencing from these areas through the Epidemiology Bureau and UP-Philippine Genome Center (within 7 days of sample collection);
  - g. The Department of Labor and Employment (DOLE) and Department of Trade and Industry (DTI) to ensure that workplaces and establishments conduct daily health and exposure screening, report identified cases and close contact to the LGU, and coordinate for investigation and response;
  - h. Workplaces to consider incentives that will encourage reporting and adherence to isolation/quarantine;



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2. Prioritize facility-based isolation and quarantine to prevent household transmission:
  - a. Increase number and utilization of isolation and quarantine facilities and Temporary Treatment and Monitoring Facilities (TTMFs);
  - b. Deploy additional staff, as needed, to ensure that cases and close contacts are closely monitored and infection prevention and control protocols are strictly followed;
3. Make readily available and accessible health care capacities and systems in preparation for case spikes:
  - a. Each health facility must have a COVID-19 Surge Plan that will detail needed resources and how it shall be operationalized;
  - b. Define additional metrics that will serve as early warning to signal a beginning “surge”, such as emergency department occupation rate and number of pending admissions;
  - c. Needed logistics as indicated in the COVID-19 Surge Plan have to be secured and pre-positioned/readily accessible, including review of available supplies of ventilation requirements, oxygen tanks, regulators and canisters, and stockpiles of personal protective equipment and medicines, as needed;
  - d. LGUs should have triage and referral systems that will enable assessment of cases for swift management at the appropriate facility;
4. Ramp up vaccination among Priority Groups A2 and A3 populations to reduce occurrence of more severe disease and fatalities. Parallel efforts to vaccinate A4 population, if resources are adequate, will provide protection for the economic sector. The NTF Vaccine Cluster is directed to ensure sufficient vaccine supply of up to Four Million Doses for the National Capital Region, and additional Two Million and Five Hundred Thousand Doses for Bulacan, Cavite, Laguna, and Rizal. All of the foregoing is subject to availability of vaccine supply. The provincial, city, and municipal local government units are likewise directed to intensify vaccination efforts during the period of Enhanced Community Quarantine;
5. Strictly enforce border control protocols at all ports of entry:
  - a. Both air and sea ports, including sea ports for cargo vessels;

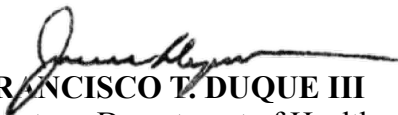


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- b. Isolation and quarantine facilities must strictly implement infection prevention and control protocols;
  - c. Close contacts among passengers have to be identified and closely monitored by the Bureau of Quarantine (BOQ);
  - d. LGU must ensure proper endorsement, monitoring, and quarantine completion;
6. Continuously assess COVID-19 situation at all levels:
- a. Regular assessment of trends of cases and admissions;
  - b. The Department of the Interior and Local Government (DILG) to monitor that the Prevent - Detect - Isolate - Treat - Reintegrate (PDITR) Strategy of the National Task Force Against COVID-19 is implemented and enhanced case finding and contact tracing as well as proper isolation/quarantine are done; and
7. Disseminate information on the variants of concern and expected actions to be taken by individuals, establishments and implementers:
- a. Correct information on the variants of concern to counter mis- and dis-information;
  - b. Clear call to action on:
    - i. Correct and consistent Minimum Public Health Standards (MPHS) implementation;
    - ii. Early consultation and adherence to isolation/quarantine guidelines;
    - iii. Implementation of adequate ventilation and safety protocols at workplaces and establishment; and
    - iv. Enhanced response by the LGUs to areas with case increases.

**RESOLVED FURTHER**, that the Chairperson and the Co-Chairperson shall be duly authorized to sign this Resolution for and on behalf of the Inter-Agency Task Force.

**APPROVED** during the 130th Inter-Agency Task Force Meeting, as reflected in the minutes of the meeting, held this July 29, 2021, via video conference.

  
**FRANCISCO T. DUQUE III**  
Secretary, Department of Health  
IATF Chairperson

  
**KARLO ALEXEI B. NOGRALES**  
Secretary, Office of the Cabinet Secretariat  
IATF Co-Chairperson



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**CERTIFICATION**

This is to certify that:

1. I am presently an Assistant Secretary of the Department of Health;
2. I am the Head of the Secretariat of the Inter-Agency Task Force (IATF) on the Management of Emerging Infectious Diseases created under Executive Order No. 168, (s.2014) and chaired by the Department of Health (DOH);
3. The IATF Secretariat holds office in the DOH Main Office, San Lazaro Compound, Tayuman, Sta. Cruz, Manila;
4. I am the custodian of the records of the IATF, including the Minutes of Meetings and Resolutions;
5. In the Regular Meeting of the IATF held on **29 July 2021** via teleconference during which a quorum was present and acted throughout, IATF Resolution No. **130-A** was unanimously approved and adopted;
6. The foregoing resolution has been signed by Secretary Francisco T. Duque III and Secretary Karlo Alexei B. Nograles upon the authority of the IATF Members;
7. The aforesaid resolution has not been altered, modified nor revoked and the same is now in full force and effect;
8. I am executing this Certification for whatever legitimate purpose this may serve.

**IN WITNESS WHEREOF**, I have hereunto affixed my signature this **29th** day of July 2021, Manila.

  
ATTY. CHARADE B. MERCADO-GRANDE  
*Assistant Secretary of Health*  
*Head Secretariat, IATF*

**CAPITAL MARKET PARTICIPANTS AS OF 05 AUGUST 2021**

	<b>Company Name</b>	<b>License Type</b>
1	ABACUS CAPITAL & INVESTMENT CORPORATION	Investment House
2	ASIAN ALLIANCE INVESTMENT CORP.	Investment House
3	BA INVESTMENTS AND HOLDINGS, INC.	Investment House
4	CIMB BANCOM CAPITAL CORPORATION	Investment House
5	CITICORP CAPITAL PHILIPPINES, INC.	Investment House
6	CLSA EXCHANGE CAPITAL, INC.	Investment House
7	INVESTMENT & CAPITAL CORPORATION OF THE PHILIPPINES	Investment House
8	PBC CAPITAL INVESTMENT CORPORATION	Investment House
9	PENTA CAPITAL & INVESTMENT CORPORATION	Investment House
10	PHILIPPINE REGIONAL INVESTMENT DEVELOPMENT CORPORATION	Investment House
11	PNB CAPITAL AND INVESTMENT CORPORATION	Investment House
12	SB CAPITAL INVESTMENT CORPORATION	Investment House
13	STATE INVESTMENT HOUSE, INC.	Investment House
14	UBS INVESTMENTS PHILIPPINES INC.	Investment House
15	YORKVILLE CAPITAL AND INVESTMENT CORP.	Investment House

	<b>Company Name</b>	<b>License Type</b>
1	AB CAPITAL & INVESTMENT CORPORATION	Investment House Engaged in Dealing Government Securities
2	AMALGAMATED INVESTMENT BANCORPORATION	Investment House Engaged in Dealing Government Securities
3	BDO CAPITAL & INVESTMENT CORPORATION	Investment House Engaged in Dealing Government Securities
4	BPI CAPITAL CORPORATION	Investment House Engaged in Dealing Government Securities
5	CHINA BANK CAPITAL CORPORATION	Investment House Engaged in Dealing Government Securities
6	FIRST METRO INVESTMENT CORPORATION	Investment House Engaged in Dealing Government Securities
7	MAYBANK ATR KIM ENG CAPITAL PARTNERS, INC.	Investment House Engaged in Dealing Government Securities
8	MULTINATIONAL INVESTMENT BANCORPORATION	Investment House Engaged in Dealing Government Securities
9	PHILIPPINE COMMERCIAL CAPITAL, INC.	Investment House Engaged in Dealing Government Securities
10	RCBC CAPITAL CORPORATION	Investment House Engaged in Dealing Government Securities
11	UNICAPITAL, INC.	Investment House Engaged in Dealing Government Securities

	<b>Company Name</b>	<b>License Type</b>
1	ASIA UNITED BANK CORPORATION	Underwriters of Securities Engaged in Dealing Government Securities
2	AUSTRALIA AND NEW ZEALAND BANKING GROUP LIMITED	Underwriters of Securities Engaged in Dealing Government Securities
3	CHINA BANKING CORPORATION	Underwriters of Securities Engaged in Dealing Government Securities
4	DEUTSCHE BANK AG MANILA BRANCH	Underwriters of Securities Engaged in Dealing Government Securities
5	DEVELOPMENT BANK OF THE PHILIPPINES	Underwriters of Securities Engaged in Dealing Government Securities
6	EAST WEST BANKING CORPORATION	Underwriters of Securities Engaged in Dealing Government Securities

7	ING BANK N.V. MANILA BRANCH	Underwriters of Securities Engaged in Dealing Government Securities
8	LAND BANK OF THE PHILIPPINES	Underwriters of Securities Engaged in Dealing Government Securities
9	STANDARD CHARTERED BANK	Underwriters of Securities Engaged in Dealing Government Securities
10	THE HONGKONG AND SHANGHAI BANKING CORPORATION LTD.-PHILIPPINE BRANCH	Underwriters of Securities Engaged in Dealing Government Securities
11	UNIONBANK OF THE PHILIPPINES	Underwriters of Securities Engaged in Dealing Government Securities
12	UNITED COCONUT PLANTERS BANK	Underwriters of Securities Engaged in Dealing Government Securities

	Company Name	License Type
1	BANK OF CHINA LIMITED	Government Securities Eligible Dealer
2	BANK OF COMMERCE	Government Securities Eligible Dealer
3	BANK OF MAKATI (A SAVINGS BANK), INC.	Government Securities Eligible Dealer
4	BANK OF THE PHILIPPINE ISLANDS	Government Securities Eligible Dealer
5	BDO PRIVATE BANK, INC.	Government Securities Eligible Dealer
6	BDO UNIBANK, INC.	Government Securities Eligible Dealer
7	CHINA BANK SAVINGS, INC.	Government Securities Eligible Dealer
8	CITIBANK N.A.	Government Securities Eligible Dealer
9	CITYSTATE SAVINGS BANK, INC.	Government Securities Eligible Dealer
10	CTBC BANK (PHILIPPINES) CORP.	Government Securities Eligible Dealer
11	HSBC SAVINGS BANK (PHILIPPINES), INC.	Government Securities Eligible Dealer
12	JPMORGAN CHASE BANK, NATIONAL ASSOCIATION	Government Securities Eligible Dealer
13	MALAYAN BANK SAVINGS & MORTGAGE BANK, INC.	Government Securities Eligible Dealer
14	MAYBANK PHILIPPINES, INCORPORATED	Government Securities Eligible Dealer
15	METROPOLITAN BANK AND TRUST COMPANY	Government Securities Eligible Dealer
16	OVERSEAS FILIPINO BANK, INC. A SAVINGS BANK OF LANDBANK	Government Securities Eligible Dealer
17	PHILIPPINE BANK OF COMMUNICATIONS	Government Securities Eligible Dealer
18	PHILIPPINE BUSINESS BANK, INC. (A SAVINGS BANK)	Government Securities Eligible Dealer
19	PHILIPPINE NATIONAL BANK	Government Securities Eligible Dealer
20	PHILIPPINE SAVINGS BANK	Government Securities Eligible Dealer
21	RIZAL COMMERCIAL BANKING CORPORATION	Government Securities Eligible Dealer
22	ROBINSONS BANK CORPORATION	Government Securities Eligible Dealer
23	SECURITY BANK CORPORATION	Government Securities Eligible Dealer
24	STERLING BANK OF ASIA INC. (A SAVINGS BANK)	Government Securities Eligible Dealer
25	UCPB SAVINGS BANK, INC.	Government Securities Eligible Dealer

	Company Name	License Type
1	AB CAPITAL & INVESTMENT CORPORATION	Investment Company Adviser
2	ATR ASSET MANAGEMENT, INC.	Investment Company Adviser
3	BPI INVESTMENT MANAGEMENT, INC.	Investment Company Adviser
4	CLIMBS INVESTMENT MANAGEMENT AND ADVISORY CORPORATION	Investment Company Adviser
5	COCOLIFE ASSET MANAGEMENT COMPANY, INC.	Investment Company Adviser
6	COL INVESTMENT MANAGEMENT, INC.	Investment Company Adviser
7	FIRST METRO ASSET MANAGEMENT (FMAMI), INC.	Investment Company Adviser
8	FIRST METRO INVESTMENT CORPORATION	Investment Company Adviser
9	MBG INVESTMENT MANAGEMENT, INC.	Investment Company Adviser
10	PHILEQUITY MANAGEMENT, INC.	Investment Company Adviser
11	SUN LIFE ASSET MANAGEMENT COMPANY, INC.	Investment Company Adviser

	Company Name	License Type
1	AB CAPITAL SECURITIES INC.	Mutual Fund Distributor

2	AFFINITY CAPITAL CORP.	Mutual Fund Distributor
3	ATR ASSET MANAGEMENT, INC.	Mutual Fund Distributor
4	BANK OF THE PHILIPPINE ISLANDS	Mutual Fund Distributor
5	BDO SECURITIES CORPORATION	Mutual Fund Distributor
6	BPI CAPITAL CORPORATION	Mutual Fund Distributor
7	BPI INVESTMENT MANAGEMENT, INC.	Mutual Fund Distributor
8	CITICORP FINANCIAL SERVICES AND INSURANCE BROKERAGE PHILIPPINES, INC.	Mutual Fund Distributor
9	COL FINANCIAL GROUP, INC.	Mutual Fund Distributor
10	FIRST METRO SECURITIES BROKERAGE CORPORATION	Mutual Fund Distributor
11	NOVEL SECURITIES, INC.	Mutual Fund Distributor
12	PHILIPPINE EQUITY PARTNERS, INC.	Mutual Fund Distributor
13	RAMPVER FINANCIALS INC.	Mutual Fund Distributor
14	UNICAPITAL SECURITIES, INC.	Mutual Fund Distributor
15	WEALTH SECURITIES, INC.	Mutual Fund Distributor

	<b>Company Name</b>	<b>License Type</b>
1	A.T. DE CASTRO SECURITIES CORPORATION	Broker/Dealer in Securities
2	AAA SOUTHEAST EQUITIES, INC.	Broker/Dealer in Securities
3	AB CAPITAL SECURITIES INC.	Broker/Dealer in Securities
4	ABACUS SECURITIES CORPORATION	Broker/Dealer in Securities
5	ALAKOR SECURITIES CORPORATION	Broker/Dealer in Securities
6	ALPHA SECURITIES CORPORATION	Broker/Dealer in Securities
7	AP SECURITIES INCORPORATED	Broker/Dealer in Securities
8	APEX (PHILIPPINES) EQUITIES CORPORATION	Broker/Dealer in Securities
9	ARMSTRONG SECURITIES, INC.	Broker/Dealer in Securities
10	ASIASEC EQUITIES INC.	Broker/Dealer in Securities
11	ASTRA SECURITIES CORPORATION	Broker/Dealer in Securities
12	AURORA SECURITIES, INC.	Broker/Dealer in Securities
13	B A SECURITIES, INC.	Broker/Dealer in Securities
14	B.H. CHUA SECURITIES CORPORATION	Broker/Dealer in Securities
15	BDO NOMURA SECURITIES, INC.	Broker/Dealer in Securities
16	BDO SECURITIES CORPORATION	Broker/Dealer in Securities
17	BELSON SECURITIES, INC.	Broker/Dealer in Securities
18	BENJAMIN CO CA & COMPANY, INC.	Broker/Dealer in Securities
19	BERNAD SECURITIES, INC.	Broker/Dealer in Securities
20	BPI SECURITIES CORPORATION	Broker/Dealer in Securities
21	CAMPOS, LANUZA & COMPANY, INC.	Broker/Dealer in Securities
22	CENTURY SECURITIES CORPORATION	Broker/Dealer in Securities
23	CHINA BANK SECURITIES CORPORATION	Broker/Dealer in Securities
24	CLSA PHILIPPINES, INC.	Broker/Dealer in Securities
25	COHERCO SECURITIES, INC.	Broker/Dealer in Securities
26	COL FINANCIAL GROUP, INC.	Broker/Dealer in Securities
27	CREDIT SUISSE SECURITIES (PHILIPPINES) INC.	Broker/Dealer in Securities
28	CTS GLOBAL EQUITY GROUP, INC.	Broker/Dealer in Securities
29	CUALOPING SECURITIES CORPORATION	Broker/Dealer in Securities
30	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	Broker/Dealer in Securities
31	DEUTSCHE REGIS PARTNERS, INC.	Broker/Dealer in Securities
32	DIVERSIFIED SECURITIES, INC.	Broker/Dealer in Securities
33	E. CHUA CHIACO SECURITIES, INC.	Broker/Dealer in Securities
34	EAGLE EQUITIES, INC.	Broker/Dealer in Securities
35	EASTERN SECURITIES DEVELOPMENT CORPORATION	Broker/Dealer in Securities
36	EASTWEST CAPITAL CORPORATION	Broker/Dealer in Securities
37	EQUITIWORLD SECURITIES, INC.	Broker/Dealer in Securities
38	EVERGREEN STOCK BROKERAGE & SECURITIES, INC.	Broker/Dealer in Securities



39	F. YAP SECURITIES, INC.	Broker/Dealer in Securities
40	FIDELITY SECURITIES, INC.	Broker/Dealer in Securities
41	FIRST INTEGRATED CAPITAL SECURITIES, INC.	Broker/Dealer in Securities
42	FIRST METRO SECURITIES BROKERAGE CORPORATION	Broker/Dealer in Securities
43	FIRST ORIENT SECURITIES, INC.	Broker/Dealer in Securities
44	G.D. TAN & CO., INC.	Broker/Dealer in Securities
45	GLOBALINKS SECURITIES & STOCKS, INC.	Broker/Dealer in Securities
46	GOLDEN TOWER SECURITIES AND HOLDINGS, INC.	Broker/Dealer in Securities
47	GOLDSTAR SECURITIES, INC.	Broker/Dealer in Securities
48	GUILD SECURITIES, INC.	Broker/Dealer in Securities
49	H.E. BENNETT SECURITIES, INC.	Broker/Dealer in Securities
50	HDI SECURITIES, INC.	Broker/Dealer in Securities
51	I.B. GIMENEZ SECURITIES, INC	Broker/Dealer in Securities
52	IGC SECURITIES, INC.	Broker/Dealer in Securities
53	IMPERIAL, DE GUZMAN, ABALOS & CO., INC.	Broker/Dealer in Securities
54	INTRA-INVEST SECURITIES, INC.	Broker/Dealer in Securities
55	INVESTORS SECURITIES, INC.	Broker/Dealer in Securities
56	J.M. BARCELON & CO., INC.	Broker/Dealer in Securities
57	J.P. MORGAN SECURITIES PHILIPPINES, INC.	Broker/Dealer in Securities
58	JAKA SECURITIES CORPORATION	Broker/Dealer in Securities
59	JSG SECURITIES, INC.	Broker/Dealer in Securities
60	KING'S POWER SECURITIES, INC.	Broker/Dealer in Securities
61	LARRGO SECURITIES CO., INC.	Broker/Dealer in Securities
62	LITONJUA SECURITIES, INC.	Broker/Dealer in Securities
63	LOPEZ, LOCSIN, LEDESMA & CO., INC.	Broker/Dealer in Securities
64	LUCKY SECURITIES, INC.	Broker/Dealer in Securities
65	LUYS SECURITIES CO., INC.	Broker/Dealer in Securities
66	MACQUARIE CAPITAL SECURITIES (PHILIPPINES), INC.	Broker/Dealer in Securities
67	MANDARIN SECURITIES CORPORATION	Broker/Dealer in Securities
68	MARIAN SECURITIES, INC.	Broker/Dealer in Securities
69	MAYBANK ATR KIM ENG SECURITIES, INC.	Broker/Dealer in Securities
70	MDR SECURITIES, INC.	Broker/Dealer in Securities
71	MERCANTILE SECURITIES CORPORATION	Broker/Dealer in Securities
72	MERIDIAN SECURITIES, INC.	Broker/Dealer in Securities
73	MOUNT PEAK SECURITIES, INC.	Broker/Dealer in Securities
74	MVG SECURITIES, INC.	Broker/Dealer in Securities
75	NOVEL SECURITIES, INC.	Broker/Dealer in Securities
76	OPTIMUM SECURITIES CORPORATION	Broker/Dealer in Securities
77	PAN ASIA SECURITIES CORPORATION	Broker/Dealer in Securities
78	PAPA SECURITIES CORPORATION	Broker/Dealer in Securities
79	PHIL-PROGRESS SECURITIES CORPORATION	Broker/Dealer in Securities
80	PHILIPPINE EQUITY PARTNERS, INC.	Broker/Dealer in Securities
81	PHILSTOCKS FINANCIAL, INC	Broker/Dealer in Securities
82	PLATINUM SECURITIES, INC.	Broker/Dealer in Securities
83	PNB SECURITIES, INC.	Broker/Dealer in Securities
84	PREMIUM SECURITIES, INC.	Broker/Dealer in Securities
85	QUALITY INVESTMENTS & SECURITIES CORP.	Broker/Dealer in Securities
86	R. COYUTO SECURITIES, INC.	Broker/Dealer in Securities
87	R. NUBLA SECURITIES, INC.	Broker/Dealer in Securities
88	R.S. LIM & COMPANY, INC.	Broker/Dealer in Securities
89	RCBC SECURITIES, INC.	Broker/Dealer in Securities
90	REGINA CAPITAL DEVELOPMENT CORPORATION	Broker/Dealer in Securities
91	RTG & CO., INC.	Broker/Dealer in Securities
92	S.J. ROXAS & CO., INC.	Broker/Dealer in Securities
93	SALISBURY BKT SECURITIES CORPORATION	Broker/Dealer in Securities

94	SARANGANI SECURITIES, INC.	Broker/Dealer in Securities
95	SB EQUITIES, INC.	Broker/Dealer in Securities
96	SECURITIES SPECIALISTS, INC.	Broker/Dealer in Securities
97	SINCERE SECURITIES CORPORATION	Broker/Dealer in Securities
98	SOLAR SECURITIES, INC.	Broker/Dealer in Securities
99	STANDARD SECURITIES CORPORATION	Broker/Dealer in Securities
100	STAR ALLIANCE SECURITIES CORPORATION	Broker/Dealer in Securities
101	STRATEGIC EQUITIES CORPORATION	Broker/Dealer in Securities
102	SUMMIT SECURITIES, INC.	Broker/Dealer in Securities
103	SUNSECURITIES, INC.	Broker/Dealer in Securities
104	SUPREME STOCKBROKERS, INC.	Broker/Dealer in Securities
105	THE FIRST RESOURCES MANAGEMENT AND SECURITIES CORPORATION	Broker/Dealer in Securities
106	TIMSON SECURITIES INC	Broker/Dealer in Securities
107	TOWER SECURITIES, INC.	Broker/Dealer in Securities
108	TRANS-ASIA SECURITIES, INC.	Broker/Dealer in Securities
109	TRI-STATE SECURITIES, INC.	Broker/Dealer in Securities
110	TRITON SECURITIES CORPORATION	Broker/Dealer in Securities
111	UBS SECURITIES PHILIPPINES, INC.	Broker/Dealer in Securities
112	UCPB SECURITIES, INC.	Broker/Dealer in Securities
113	UNICAPITAL SECURITIES, INC.	Broker/Dealer in Securities
114	UOB-KAY HIAN SECURITIES (PHILIPPINES), INC.	Broker/Dealer in Securities
115	UPCC SECURITIES CORPORATION	Broker/Dealer in Securities
116	VALUE QUEST SECURITIES CORPORATION	Broker/Dealer in Securities
117	VC SECURITIES CORPORATION	Broker/Dealer in Securities
118	VENTURE SECURITIES, INC.	Broker/Dealer in Securities
119	VICSAL SECURITIES & STOCK BROKERAGE, INC.	Broker/Dealer in Securities
120	VSEC.COM, INC.	Broker/Dealer in Securities
121	WEALTH SECURITIES, INC.	Broker/Dealer in Securities
122	WESTLINK GLOBAL EQUITIES, INC.	Broker/Dealer in Securities
123	WONG SECURITIES CORPORATION	Broker/Dealer in Securities
124	YAO & ZIALCITA, INC.	Broker/Dealer in Securities
125	YU & COMPANY, INC.	Broker/Dealer in Securities
126	ANSALDO, GODINEZ & CO., INC.	Broker/Dealer in Securities

	Company Name	License Type
1	A & A SECURITIES, INC.	Broker in Securities
2	ASIA PACIFIC CAPITAL EQUITIES AND SECURITIES CORPORATION	Broker in Securities
3	CITICORP FINANCIAL SERVICES AND INSURANCE BROKERAGE PHILIPPINES, INC.	Broker in Securities
4	DA MARKET SECURITIES, INC.	Broker in Securities
5	DAVID GO SECURITIES CORPORATION	Broker in Securities
6	NEW WORLD SECURITIES CO., INC.	Broker in Securities
7	TANSENGCO & COMPANY, INC.	Broker in Securities
8	YAPSTER E-TRADE, INC.	Broker in Securities

	Company Name	License Type
1	LEGACY EQUITIES, INC.	Dealer in Securities

	Company Name	License Type
1	G & W CLUBSHARES, INC.	Broker in Proprietary Shares
2	G G & A CLUB SHARES BROKERS, INC.	Broker in Proprietary Shares
3	GOLFERS CLUB SHARES, INC.	Broker in Proprietary Shares
4	PRESTIGE GOLF ACCESS & CLUBSHARES, INC.	Broker in Proprietary Shares

	Company Name	License Type
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1	AFS PHILIPPINES, INC.	Voice Broker to Securities
2	GFI GROUP (PHILIPPINES) INC.	Voice Broker to Securities
3	TRADITION FINANCIAL SERVICES PHILIPPINES, INC.	Voice Broker to Securities
4	TULLETT PREBON (PHILIPPINES) INC.	Voice Broker to Securities

	Company Name	License Type
1	AREIT FUND MANAGERS INC.	REIT Fund Manager
2	DDMP REIT FUND MANAGERS INC.	REIT Fund Manager
3	FREIT FUND MANAGERS INC.	REIT Fund Manager
4	RL FUND MANAGEMENT INC.	REIT Fund Manager
5	MREIT FUND MANAGERS, INC.	REIT Fund Manager

	Company Name	License Type
1	AREIT PROPERTY MANAGERS, INC.	REIT Property Manager
2	DDMP REIT PROPERTY MANAGERS INC.	REIT Property Manager
3	PRO OFFICE WORK SERVICES, INC.	REIT Property Manager
4	RL PROPERTY MANAGEMENT, INC.	REIT Property Manager
5	MREIT PROPERTY MANAGERS, INC.	REIT Property Manager

	Company Name	License Type
1	AB STOCK TRANSFERS CORPORATION	Transfer Agent
2	METROPOLITAN BANK AND TRUST COMPANY	Transfer Agent
3	PHILIPPINE DEPOSITORY & TRUST CORPORATION	Transfer Agent
4	PHILIPPINE NATIONAL BANK	Transfer Agent
5	PHILIPPINE TRUST COMPANY ALSO KNOWN AS PHILTRUST BANK	Transfer Agent
6	RIZAL COMMERCIAL BANKING CORPORATION	Transfer Agent
7	SMC STOCK TRANSFER SERVICE CORPORATION	Transfer Agent
8	STOCK TRANSFER SERVICE, INC.	Transfer Agent
9	UNION BANK OF THE PHILIPPINES	Transfer Agent
10	ATR ASSET MANAGEMENT, INC.	Transfer Agent
11	BDO UNIBANK, INC.	Transfer Agent
12	BANK OF THE PHILIPPINE ISLANDS- BPI STOCK TRANSFER OFFICE	Transfer Agent
13	BPI INVESTMENT MANAGEMENT, INC.	Transfer Agent
14	DEUTSCHE BANK AG	Transfer Agent
15	PRIME STOCK TRANSFER SERVICES, INC.	Transfer Agent
16	PROFESSIONAL STOCK TRANSFER, INC.	Transfer Agent
17	SUN LIFE ASSET MANAGEMENT COMPANY, INC.	Transfer Agent

	Company Name	License Type
1	THE PHILIPPINE STOCK EXCHANGE, INC.	EXCHANGE/SRO
2	CAPITAL MARKETS INTEGRITY CORPORATION	SRO
3	PHILIPPINE DEALING & EXCHANGE CORP.	EXCHANGE/SRO
4	PHILIPPINE DEPOSITORY & TRUST CORP.	DEPOSITORY
5	PHILIPPINE DEALING & EXCHANGE CORP.	N/A
6	PHILIPPINE DEALING SYSTEM HOLDINGS CORP.	N/A
7	SECURITIES CLEARING CORPORATION OF THE PHILIPPINES	CLEARING HOUSE

	Company	License Type
1	REGINA CAPITAL DEVELOPMENT CORPORATION	ATS Operator

	Company	License Type
1	BDO CAPITAL & INVESTMENT CORP.	Registrar of Qualified Buyers
2	BPI CAPITAL CORPORATION	Registrar of Qualified Buyers
3	CHINA BANKING CORPORATION	Registrar of Qualified Buyers
4	CTBC BANK (PHILIPPINES) CORP.	Registrar of Qualified Buyers
5	CITIBANK N.A.	Registrar of Qualified Buyers

6	CITICORP FINANCIAL SERVICES & INSURANCE BROKERAGE PHILIPPINES, INC. (CFSI)	Registrar of Qualified Buyers
7	EASTWEST BANKING CORPORATION	Registrar of Qualified Buyers
8	FIRST METRO INVESTMENT CORPORATION	Registrar of Qualified Buyers
9	HONGKONG & SHANGHAI BANKING CORP.	Registrar of Qualified Buyers
10	ING BANK N.V. Manila Branch	Registrar of Qualified Buyers
11	MAYBANK PHILIPPINES INC.	Registrar of Qualified Buyers
12	METROPOLITAN BANK & TRUST COMPANY	Registrar of Qualified Buyers
13	MULTINATIONAL INVESTMENT BANCORPORATION	Registrar of Qualified Buyers
14	PHILIPPINE COMMERCIAL CAPITAL INC.	Registrar of Qualified Buyers
15	PHILIPPINE NATIONAL BANK	Registrar of Qualified Buyers
16	PHILIPPINE VETERANS BANK	Registrar of Qualified Buyers
17	RIZAL COMMERCIAL BANKING CORPORATION	Registrar of Qualified Buyers
18	REGINA CAPITAL DEVELOPMENT CORPORATION	Registrar of Qualified Buyers
19	SECURITY BANK CORPORATION	Registrar of Qualified Buyers
20	UNICAPITAL INCORPORATED	Registrar of Qualified Buyers
21	UNION BANK	Registrar of Qualified Buyers
22	VICSAL INVESTMENT INC.	Registrar of Qualified Buyers
23	PNB CAPITAL AND INVESTMENT CORP.	Registrar of Qualified Buyers

	Company	License Type
1	INVESTREE PHILIPPINES INC.	Crowdfunding Intermediary

	Company	License Type
1	AFFINITY GLOBAL MULTI-ASSET FUND, INC.	Mutual Fund Company
2	AIB MONEY MARKET MUTUAL FUND, INC.	Mutual Fund Company
3	ALFM DOLLAR BOND FUND, INC.	Mutual Fund Company
4	ALFM EURO BOND FUND, INC.	Mutual Fund Company
5	ALFM GLOBAL MULTI ASSET FUND	Mutual Fund Company
6	ALFM GROWTH FUND, INC.	Mutual Fund Company
7	ALFM MONEY MARKET FUND, INC.	Mutual Fund Company
8	ALFM PESO BOND FUND, INC.	Mutual Fund Company
9	ATRAM ALPHA OPPORTUNITY FUND, INC.	Mutual Fund Company
10	ATRAM ASIAPLUS EQUITY FUND, INC.	Mutual Fund Company
11	ATRAM CORPORATE BOND FUND, INC.	Mutual Fund Company
12	ATRAM DYNAMIC ALLOCATION FUND, INC.	Mutual Fund Company
13	ATRAM PHILIPPINE BALANCE FUND, INC.	Mutual Fund Company
14	ATRAM PHILIPPINE EQUITY OPPORTUNITY FUND	Mutual Fund Company
15	ATRAM TOTAL RETURN BOND FUND	Mutual Fund Company
16	CLIMBS SHARE CAPITAL EQUITY INVESTMENT CORPORATION	Mutual Fund Company
17	COCOLIFE DOLLAR FUND BUILDER, INC.	Mutual Fund Company
18	COCOLIFE FIXED INCOME FUND, INC.	Mutual Fund Company
19	COL EQUITY INDEX UNITIZED MUTUAL FUND, INC.	Mutual Fund Company
20	COL CASH MANAGEMENT UMF , INC.	Mutual Fund Company
21	EKKLESIA MUTUAL FUND, INC.	Mutual Fund Company
22	FILIPINO FUND, INC.	Mutual Fund Company
23	FIRST METRO CONSUMER FUND ON MSCI PHILIPPINES IMI, INC.	Mutual Fund Company
24	FIRST METRO PHILIPPINE EQUITY EXCHANGE TRADED FUND, INC.	Mutual Fund Company
25	FIRST METRO SAVE & LEARN BALANCE FUND. INC.	Mutual Fund Company
26	FIRST METRO SAVE & LEARN DOLLAR BOND FUND, INC.	Mutual Fund Company
27	FIRST METRO SAVE & LEARN EQUITY FUND	Mutual Fund Company
28	FIRST METRO SAVE & LEARN FIXED INCOME FUND	Mutual Fund Company
29	FIRST METRO SAVE & LEARN MONEY MARKET FUND, INC.	Mutual Fund Company
30	FIRST METRO SAVE AND LEARN ASIA FOCUS EQUITY FUND, INC.	Mutual Fund Company
31	FIRST METRO SAVE AND LEARN F.O.C.C.U.S DYNAMIC FUND, INC.	Mutual Fund Company
32	FIRST METRO SAVE AND LEARN PHILIPPINE INDEX FUND (SALPHIN), INC.	Mutual Fund Company
33	MAA PRIVILEGE DOLLAR FIXED INCOME FUND , INC.	Mutual Fund Company
34	MAA PRIVILEGE EURO FIXED INCOME FUND , INC.	Mutual Fund Company

35	MBG EQUITY INVESTMENT FUND, INC.	Mutual Fund Company
36	NCM MUTUAL FUND, INC.	Mutual Fund Company
37	PAMI ASIA BALANCED FUND, INC.	Mutual Fund Company
38	PAMI EQUITY INDEX FUND, INC.	Mutual Fund Company
39	PAMI GLOBAL BOND FUND, INC.	Mutual Fund Company
40	PAMI HORIZON FUND, INC.	Mutual Fund Company
41	PHILAM BOND FUND, INC.	Mutual Fund Company
42	PHILAM DOLLAR BOND FUND, INC.	Mutual Fund Company
43	PHILAM FUND, INC.	Mutual Fund Company
44	PHILAM MANAGED INCOME FUND, INC.	Mutual Fund Company
45	PHILAM STRATEGIC GROWTH FUND, INC.	Mutual Fund Company
46	PHILEQUITY ALPHA ONE FUND, INC.	Mutual Fund Company
47	PHILEQUITY DIVIDEND YIELD FUND, INC.	Mutual Fund Company
48	PHILEQUITY DOLLAR INCOME FUND, INC.	Mutual Fund Company
49	PHILEQUITY FUND, INC.	Mutual Fund Company
50	PHILEQUITY MSCI PHILIPPINES INDEX FUND, INC	Mutual Fund Company
51	PHILEQUITY PESO BOND FUND, INC.	Mutual Fund Company
52	PHILEQUITY PSE INDEX FUND, INC.	Mutual Fund Company
53	PHILEQUITY STRATEGIC GROWTH FUND, INC.	Mutual Fund Company
54	PHILEQUITY GLOBAL BOND FUND, INC.	Mutual Fund Company
55	PHILIPPINE STOCK INDEX FUND, INC.	Mutual Fund Company
56	SOLDIVO BOND FUND, INC.	Mutual Fund Company
57	SOLDIVO STRATEGIC FUND, INC.	Mutual Fund Company
58	SOLIDARITAS FUND, INC.	Mutual Fund Company
59	SUN LIFE OF CANADA PROSPERITY BALANCED FUND, INC.	Mutual Fund Company
60	SUN LIFE OF CANADA PROSPERITY BOND FUND, INC.	Mutual Fund Company
61	SUN LIFE OF CANADA PROSPERITY PHIL. EQUITY FUND	Mutual Fund Company
62	SUN LIFE PROSPERITY DOLLAR WELLSRING FUND, INC.	Mutual Fund Company
63	SUN LIFE PROSPERITY ACHIVER FUND 2028, INC.	Mutual Fund Company
64	SUN LIFE PROSPERITY ACHIVER FUND 2038, INC.	Mutual Fund Company
65	SUN LIFE PROSPERITY ACHIVER FUND 2048, INC.	Mutual Fund Company
66	SUN LIFE PROSPERITY DOLLAR ABUNDANCE FUND	Mutual Fund Company
67	SUN LIFE PROSPERITY DOLLAR ADVANTAGE FUND, INC.	Mutual Fund Company
68	SUN LIFE PROSPERITY DOLLAR STARTER FUND, INC.	Mutual Fund Company
69	SUN LIFE PROSPERITY DYNAMIC FUND, INC.	Mutual Fund Company
70	SUN LIFE PROSPERITY GS FUND, INC.	Mutual Fund Company
71	SUN LIFE PROSPERITY MONEY MARKET FUND, INC.	Mutual Fund Company
72	SUN LIFE PROSPERITY PHILIPPINE STOCK INDEX FUND, INC.	Mutual Fund Company
73	SUN LIFE PROSPERITY WORLD EQUITY INDEX FEEDER FUND, INC.	Mutual Fund Company
74	SUN LIFE PROSPERITY WORLD VOYAGER FUND, INC.	Mutual Fund Company
75	UNITED FUND, INC.	Mutual Fund Company