



MEMORANDUM – 2022-002

To : All Trading Participants

Subject : Employee Movement

Date : 07 February 2022

Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 31 January 2022.

For information and guidance.

(original signed)
DAISY P. ARCE
President

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DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
31-Jan-22	CABUSLAY	MARIA HAIDEE A.	-	ACCOUNTING ASSISTANT	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
28-Jan-22	OMO	STEPHANIE B.	TRADING FLOOR ASSISTANT	OPERATIONS SUPERVISOR	AAA SOUTHEAST EQUITIES, INC.	RE-DESIGNATION
28-Jan-22	CAYABAN	MARK DOMINICK L.	ACCOUNT MANAGER	ACTING ASSOCIATED PERSON	AAA SOUTHEAST EQUITIES, INC.	RE-DESIGNATION
24-Jan-22	SORIANO	ANDREI JORGE G.	-	RESEARCH ANALYST	CHINA BANK SECURITIES CORPORATION	NEW EMPLOYMENT
24-Jan-22	MAGBUO	HEIREL ANNE P.	-	DOCUMENTATION & PROCESSING ASSISTANT	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
20-Jan-22	LOMOON	SHIRLEY D.	SALESMAN	-	TRANS-ASIA SECURITIES, INC.	RESIGNATION (cleared)
20-Jan-22	VILLEGAS	DAN LINCOLN T.	SALESMAN/TRADER	-	EASTERN SECURITIES DEVELOPMENT CORPORATION	RESIGNATION (clearance under process)
18-Jan-22	MANUEL	AMALIA LOURDES R.	CORPORATE SECRETARY	CHIEF EXECUTIVE OFFICER	MDR SECURITIES, INC.	RE-DESIGNATION
18-Jan-22	RECTO	MA. LOURDES S.	-	CORPORATE SECRETARY	MDR SECURITIES, INC.	NEW EMPLOYMENT
17-Jan-22	LIM	JAMIE ANNE L.	HR STAFF	OPERATIONS ASSISTANT	CTS GLOBAL EQUITY GROUP, INC.	RE-DESIGNATION
17-Jan-22	AGNIR	JAVIER JOSE R.	REGIONAL CONTRACT ASSOCIATE	-	CLSA PHILIPPINES, INC.	RESIGNATION (clearance under process)
17-Jan-22	MABIOG	PATRICIA MEI P.	-	ACCOUNTING ASSISTANT	MERCANTILE SECURITIES, CORPORATION	NEW EMPLOYMENT
17-Jan-22	SALTING	JULITA M.	-	INDEPENDENT NON EXECUTIVE DIRECTOR/CHAIRMAN OF AUDIT	MDR SECURITIES, INC.	NEW EMPLOYMENT
17-Jan-22	IRINCO	ELAH SALVE S.	-	OFFICE TRAINEE/STAFF	NEW WORLD SECURITIES CO., INC.	NEW EMPLOYMENT
15-Jan-22	JACOB	JAYFERSON L.	ACCOUNTANT	-	AP SECURITIES, INC.	RESIGNATION

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14-Jan-22	ANTONIO	RICH VICTOR G.	INDEPENDENT NON EXECUTIVE DIRECTOR/ CHAIRMAN OF AUDIT	-	MDR SECURITIES, INC.	RESIGNATION
13-Jan-22	ONG	JEAN R.	-	ASSOCIATED PERSON (AP APPLICANT)	CHINA BANK SECURITIES CORPORATION	NEW EMPLOYMENT
13-Jan-22	ONG	JEAN R.	OPERATIONS HEAD	-	UCPB SECURITIES, INC.	RESIGNATION (cleared)
13-Jan-22	RESPETO	JERIC JAYSON T.	SETTLEMENT	OPERATIONS HEAD - TRAINEE	UCPB SECURITIES, INC.	RE-DESIGNATION
12-Jan-22	GARCIA	NICOLE ISABEL T.	-	RESEARCH ANALYST	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
10-Jan-22	GADAINGAN	EDLYN I.	DOCUMENTATION SPECIALIST	CUSTODY & NOMINEE SERVICES CLERK	ABACUS SECURITIES CORPORATION	RE-DESIGNATION
10-Jan-22	ATERRADO	ELOISA ELAINE H.	-	HR BUSINESS RELATIONSHIP EXECUTIVE	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
10-Jan-22	DELA CRUZ	JOWARD F.	OFFICE STAFF	AD HOC OFFICE STAFF	TRANS-ASIA SECURITIES, INC.	RE-DESIGNATION
8-Jan-22	LOCSIN	FEDERICO MANUEL IV R.	CSS OFFICER/ CORPORATE SECRETARY	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (clearance under process)
7-Jan-22	SORIANO	ANDREI JORGE G.	JUNIOR RESEARCH ANALYST	-	AP SECURITIES, INC.	RESIGNATION
7-Jan-22	NG	ANTON HANS FRANCIS	RM ASSISTANT	-	BDO SECURITIES CORPORATION	RESIGNATION
6-Jan-22	DOCTORA	JOSE ANTONIO E.	MESSENGER	-	BERNAD SECURITIES, INC.	RESIGNATION
6-Jan-22	BALINGIT III	RAMON ALFREDO A.	TRADER TRAINEE	EQUITY SALES TRADER	BPI SECURITIES CORPORATION	RE-DESIGNATION
6-Jan-22	GARCIA	MA. RAQUEL R.	ASSOCIATED PERSON	ACTING NOMINEE/ ASSOCIATED PERSON	MDR SECURITIES, INC.	RE-DESIGNATION
5-Jan-22	RECTO	MANUEL D.	NOMINEE	-	MDR SECURITIES, INC.	DECEASED

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3-Jan-22	ATIENZA	KEVIN MIKHAIL P.	-	ASSOCIATED PERSON APPLICANT (AP APPLICANT)	VALUE QUEST SECURITIES CORPORATION	NEW EMPLOYMENT
3-Jan-22	ALMARIO	MYLENE T.	-	RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
3-Jan-22	GARCIA	JAN MICHAEL D.	-	RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
3-Jan-22	NUÑEZ	NICCHO R.	-	RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
3-Jan-22	LEEHOX	PAUL JOHN T.	-	DEALER	MANDARIN SECURITIES CORPORATION	NEW EMPLOYMENT
3-Jan-22	STO. DOMINGO	MA. AURORA C.	-	TRADING ASST.	ASIASEC EQUITIES, INC.	NEW EMPLOYMENT
3-Jan-22	GALINO	ANGELICA DARYLL B.	-	ACCOUNTANT	PREMIUM SECURITIES, INC.	NEW EMPLOYMENT
3-Jan-22	GO	DANIEL CHRISTOPHER P.	CSR EQUITIES TRADER	SENIOR CSR EQUITIES TRADER	CTS GLOBAL EQUITY GROUP, INC.	RE-DESIGNATION
3-Jan-22	AMBON	ANGELICA L.	-	HR SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
3-Jan-22	ALAGON	ANGEL ANN BERNALENE H.	-	COMPLIANCE ASST. SUPERVISOR	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
3-Jan-22	TICZON	EDICER G.	STAFF ACCOUNTANT	ACCOUNTING OFFICER	SALISBURY BKT SECURITIES CORPORATION	RE-DESIGNATION
3-Jan-22	LIGAMZON	JENNIE V.	ACCOUNTANT I	TREASURY OFFICER	SALISBURY BKT SECURITIES CORPORATION	RE-DESIGNATION
3-Jan-22	DAYAO	RAYMOND C.	IT TECHNICAL SUPPORT	HEAD OF IT	SALISBURY BKT SECURITIES CORPORATION	RE-DESIGNATION
3-Jan-22	DE VERA	ANAROSE R.	OPERATIONS & ADMIN ASSISTANT	SETTLEMENT ASSISTANT	SALISBURY BKT SECURITIES CORPORATION	RE-DESIGNATION
3-Jan-22	LASACA	RAFAELA V.	SALESMAN	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (clearance under process)

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3-Jan-22	VILLENAS	MIGUEL IÑIGO C.	-	INTERN	CLSA PHILIPPINES, INC.	NEW EMPLOYMENT
3-Jan-22	SOO	JIMMY S.	-	CORPORATE SECRETARY	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
3-Jan-22	ENCARNACION	MARIA CRISTINA B.	-	DIRECTOR	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
3-Jan-22	ELEAZAR	YOLANDA M.	-	DIRECTOR	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
3-Jan-22	DELA CRUZ	MELANIO C.	-	TREASURER & CFO	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
3-Jan-22	MOLANO	JAHZEEL F.	-	OFFICE TRAINEE	YU & COMPANY, INC.	NEW EMPLOYMENT
3-Jan-22	REYES	LAWRENCE JOY S.	MARKETING MANAGER	-	HDI SECURITIES, INC.	RESIGNATION (no clearance required)
3-Jan-22	MUSNGI	ZOREN PHILIP A.	-	RESEARCH ANALYST	SB EQUITIES, INC.	NEW EMPLOYMENT
1-Jan-22	AGUIRRE	MINERVA V.	ACCOUNTANT	-	PREMIUM SECURITIES, INC.	RESIGNATION
1-Jan-22	MACASPAC	ABIGAIL C.	TREASURY ASSISTANT	TELEPHONE CLERK/ PSE FLEXTRADE ENCODER	DA MARKET SECURITIES, INC.	RE-DESIGNATION
1-Jan-22	GAVIOLA	ANALYN B.	OFFICE CLERK - CONTRACTUAL	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (clearance under process)
1-Jan-22	TOLETE	MARIA SHARINE A.	HR BUSINESS RELATIONSHIP EXECUTIVE	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (clearance under process)
1-Jan-22	TAN	KIM ALEXIS N.	CLIENT RELATIONS SPECIALIST	CLIENT RELATIONS OFFICER	BPI SECURITIES CORPORATION	RE-DESIGNATION
1-Jan-22	VIGO	JAN HENRI T.	CLIENT RELATIONS ASSOCIATE	CLIENT RELATIONS SPECIALIST	BPI SECURITIES CORPORATION	RE-DESIGNATION
1-Jan-22	ADVINCULA	ELLEINA J.	ACCOUNT OPENING SPECIALIST	ACCOUNT OPENING OFFICER	BPI SECURITIES CORPORATION	RE-DESIGNATION

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1-Jan-22	GADUGDOG	CATHERINE U.	ACCOUNT OPENING ASSOCIATE	ACCOUNT OPENING SPECIALIST	BPI SECURITIES CORPORATION	RE-DESIGNATION
1-Jan-22	BULAHAN	AXCELLE MAY O.	ACCOUNT OPENING ASSOCIATE	ACCOUNT OPENING SPECIALIST	BPI SECURITIES CORPORATION	RE-DESIGNATION
1-Jan-22	BANCHIRAN	ELLIJAH MAE S.	KYC ASSOCIATE	KYC SPECIALIST	BPI SECURITIES CORPORATION	RE-DESIGNATION
1-Jan-22	IMPERIAL	BRYAN O.	ACCOUNTING SPECIALIST	ACCOUNTING OFFICER	BPI SECURITIES CORPORATION	RE-DESIGNATION
1-Jan-22	BALANI	PRANAV V.	RESEARCH ASSOCIATE	EQUITY ANALYST	PAPA SECURITIES CORPORATION	RE-DESIGNATION
1-Jan-22	CRUZ	JULES CYBER 1A22 G.	RESEARCH ASSOCIATE	EQUITY ANALYST	PAPA SECURITIES CORPORATION	RE-DESIGNATION
1-Jan-22	NAZARRO	JESTIN T.	EQUITY ANALYST	-	PAPA SECURITIES CORPORATION	RESIGNATION (cleared)
1-Jan-22	PASION	RYAN EMMANUEL D.	EQUITY ANALYST	-	PAPA SECURITIES CORPORATION	RESIGNATION (cleared)
1-Jan-22	REYES	RUBY E.	SECURITIES SETTLEMENT OFFICER	SECURITIES AND SETTLEMENTS HEAD II	IGC SECURITIES, INC.	RE-DESIGNATION
31-Dec-21	PATERSON	THOMAS JOHN	SALES TRADER	-	SALISBURY BKT SECURITIES CORPORATION	RESIGNATION
31-Dec-21	VENZUELA	KRISTINE ANN C.	CORPORATE SECRETARY	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (cleared)
31-Dec-21	LEANO	JASMINE P.	ASSISTANT CORPORATE SECRETARY	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (cleared)
31-Dec-21	CARANDANG	AIRENE P.	ASSISTANT VICE PRESIDENT, GROUP LEGAL OFFICER, DATA PRIVACY OFFICER	ASSISTANT VICE PRESIDENT, GROUP LEGAL OFFICER, DATA PRIVACY OFFICER & CORPORATE SECRETARY	UNICAPITAL SECURITIES, INC.	RE-DESIGNATION
31-Dec-21	RESUMA	ABIGAIL C.	RM ASSISTANT	-	BDO SECURITIES CORPORATION	RESIGNATION

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31-Dec-21	ESPARTERO	EVELYN V.	ASST. OPERATIONS MANAGER	-	INVESTORS SECURITIES, INC.	RESIGNATION (cleared)
31-Dec-21	ALAGON	ANGEL ANN BERNALENE H.	ASSOCIATED PERSON	-	SINCERE SECURITIES CORPORATION	RESIGNATION (cleared)
31-Dec-21	CASTILLO	CESAR D.	SALES AGENT	-	SB EQUITIES, INC.	RESIGNATION (cleared)
31-Dec-21	CO CHIEN JR.	VICENTE T.	BOARD OF DIRECTOR	-	ABACUS SECURITIES CORPORATION	RESIGNATION
31-Dec-21	DE VERA	CRISELDA P.	ACTING CORPORATE SECRETARY	-	ABACUS SECURITIES CORPORATION	RESIGNATION
31-Dec-21	HUANG	JACK T.	BOARD OF DIRECTOR	-	ABACUS SECURITIES CORPORATION	RESIGNATION
31-Dec-21	CRUZ	MA. GERALDINE P.	SETTLEMENT CLERK	-	ARMSTRONG SECURITIES, INC.	RESIGNATION
31-Dec-21	CRUZ	RUFFIE NYHL S.	COPYWRITER	-	ABACUS SECURITIES CORPORATION	RESIGNATION (clearance under process)
29-Dec-21	ALVAREZ	CLARE D.	NOMINEE	NOMINEE / CHAIRMAN / CEO	GUILD SECURITIES, INC.	RE-DESIGNATION
29-Dec-21	MABIOG	PATRICIA MEI P.	ACCOUNTING ASST.	-	GLOBALINKS SECURITIES & STOCKS, INC.	RESIGNATION
28-Dec-21	MAYO	MUTYA A.	ACCOUNTING ASSISTANT	SETTLEMENT	MERCANTILE SECURITIES, CORPORATION	RE-DESIGNATION
28-Dec-21	GONZALES	JENNIFER U.	SETTLEMENT HEAD	-	MERCANTILE SECURITIES, CORPORATION	RESIGNATION
25-Dec-21	LEEHOX	PAUL JOHN T.	SALESMAN/TRADER	-	EASTERN SECURITIES DEVELOPMENT CORPORATION	RESIGNATION (clearance under process)
15-Dec-21	TOBORO	SAMANTHA IRISH B.	-	OPERATIONS ASSISTANT	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
15-Dec-21	FUENTES	ERWIN ROMMEL C.	-	MANAGER - HEAD OF RESEARCH	RCBC SECURITIES, INC.	NEW EMPLOYMENT

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15-Dec-21	RUIZ	RAUL P.	PRESIDENT / DIRECTOR / HEAD OF RESEARCH	PRESIDENT / DIRECTOR	RCBC SECURITIES, INC.	RE-DESIGNATION
1-Dec-21	FONTANILLA	JOSE-PAOLO DEOGRACIAS C.	ANALYST	-	CLSA PHILIPPINES, INC.	RESIGNATION (cleared)