



# MEMORANDUM – 2022-016

TO : ALL TRADING PARTICIPANTS

SUBJECT : 2022 TRADING PARTICIPANTS' SEMINAR

DATE : 26 AUGUST 2022

Further to CMIC Memoranda 2022-014 and 2022-015 relative to the 2022 Trading Participants' Seminar to be held from 7 September 2022 to 9 September 2022, attached is the seminar program. For your information.

DAISY P. ARCE  
*President*

# **PROGRAMME**

## **2022 TRADING PARTICIPANTS' SEMINAR**

**DAY 1**  
**September 7, 2022**

- 3:00 PM**      **Invocation**
- 3:05 PM**      **Philippine National Anthem**
- 3:10 PM**      **Opening Remarks**  
Atty. Daisy Arce  
President  
Capital Markets Integrity Corporation
- 3:15 PM**      **Frequently Violated Rules and Common Audit Findings**  
Atty. Jose Martin General  
AVP & Head, Investigation and Enforcement Department  
Capital Markets Integrity Corporation
- 4:00 PM**      **Q & A**  
**Awarding of Certificate**
- 4:15 PM**      **Break**
- 4:25 PM**      **RBCA Review**  
Ms. Anna Bernadette Cordero  
Head, Audit and Compliance Department  
Capital Markets Integrity Corporation
- 5:30 PM**      **Q & A/Awarding of Certificate**

# PROGRAMME

## 2022 TRADING PARTICIPANTS' SEMINAR

### DAY 2

September 8, 2022

**3:00 PM**      **Invocation**

**3:05 PM**      **Updates on Trading Rules**

Mr. Roel Villanueva

AVP & Head, Market Operations Division

The Philippine Stock Exchange, Inc.

**4:00 PM**      **Break**

**4:10 PM**      **Market Red Flags**

Ms. Grace Calubaquib

AVP & Head, Surveillance Department

Capital Markets Integrity Corporation

**4:50 PM**      **Q & A /Awarding of Certificate**

# **PROGRAMME**

## **2022 TRADING PARTICIPANTS' SEMINAR**

**DAY 3**  
**September 9, 2022**

**3:00 PM      Invocation**

**3:05 PM      Updates on Anti-Money Laundering Act ("AMLA")**

Atty. Daniel Luis Macalino

Chief Counsel, Anti-Money Laundering Division

Securities and Exchange Commission

**4:15 PM      Q & A/Awarding of Certificate**

**4:45 PM      Closing Remarks**

Atty. Daisy Arce

President

Capital Markets Integrity Corporation