



# MEMORANDUM – 2022-001

**To** : **All Trading Participants**

**Subject** : **Employee Movement**

**Date** : **07 January 2022**

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Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 31 December 2021.

For information and guidance.

*(original signed)*  
**DAISY P. ARCE**  
*President*

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DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
3-Jan-22	JAVIER	EDILBERTO D.	-	CORPORATE SECRETARY	FIRST ORIENT SECURITIES, INC.	NEW EMPLOYMENT
31-Dec-21	BUNDALIAN	NOEL Z.	CORPORATE SECRETARY	-	FIRST ORIENT SECURITIES, INC.	RESIGNATION
27-Dec-21	PIRZADA	PRINCESS MARTHA G.	HR STAFF	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
26-Dec-21	DE GUZMAN	REGINA SIMONA B.	-	CSS OFFICER/ CORPORATE SECRETARY	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
26-Dec-21	GUMPAL	ANGELI ANNE L.	CSS OFFICER/ CORPORATE SECRETARY	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
22-Dec-21	WY-CACAYAN	KRISTINA S.	ASSOCIATED PERSON AND COMPLIANCE AND RISK DIRECTOR	-	CHINA BANK SECURITIES CORPORATION	RESIGNATION
21-Dec-21	ANGAT	KHRYSTEL JHANE C.	-	ACCOUNTING CLERK – CONTRACTUAL	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
20-Dec-21	TOMALIN	MAUREEN D.	SR. ACCOUNTANT	ACCOUNTING SUPERVISOR	ABACUS SECURITIES CORPORATION	RE-DESIGNATION
16-Dec-21	PIRZADA	PRINCESS MARTHA G.	-	HR STAFF	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
15-Dec-21	LANUZA	GERARDO DOMENICO ANTONIO V.	VICE PRESIDENT/ TREASURER/ DIRECTOR	-	CAMPOS, LANUZA & COMPANY, INC.	RESIGNATION
15-Dec-21	LANUZA	ANDREA MICHAELA Y.	VICE-PRESIDENT/ASSOCIATED PERSON	-	CAMPOS, LANUZA & COMPANY, INC.	RESIGNATION
13-Dec-21	GORORDO	MARIA KATRINA S.	-	SALES ASSOCIATE	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
10-Dec-21	BOQUIRON	GIANTANA R.	SALES AND MARKETING ASSOCIATE	-	CHINA BANK SECURITIES CORPORATION	RESIGNATION (cleared)
9-Dec-21	LAYESE	REGINE P.	COMPLIANCE ANALYST	-	UBS SECURITIES PHILIPPINES, INC.	RESIGNATION
7-Dec-21	YAP	WALTER FRANCIS A.	MARKETING REALATIONSHIP HEAD	-	BDO SECURITIES CORPORATION	RESIGNATION

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7-Dec-21	REYES	KIMBERLY MARIE S.	TRADER TRAINEE	EQUITY SALES TRADER	BPI SECURITIES CORPORATION	RE-DESIGNATION
2-Dec-21	CERUJANO	JACKIE LOU	-	ACCOUNTING CLERK	ALPHA SECURITIES CORPORATION	NEW EMPLOYMENT
1-Dec-21	MAGNAYE	RENANTE C.	TREASURY OFFICER	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (clearance under process)
1-Dec-21	LAZATIN	VICTOR P.	-	INDEPENDENT DIRECTOR	MANDARIN SECURITIES CORPORATION	NEW EMPLOYMENT
1-Dec-21	GABUTINA	GINA	INDEPENDENT DIRECTOR	-	FIDELITY SECURITIES, INC.	RESIGNATION
1-Dec-21	PE JR.	IGNACIO	INDEPENDENT DIRECTOR	-	FIDELITY SECURITIES, INC.	RESIGNATION
1-Dec-21	GARCIA	ENRIQUE G.	-	INDEPENDENT DIRECTOR	FIDELITY SECURITIES, INC.	NEW EMPLOYMENT
1-Dec-21	VILLALON	NESTOR C.	-	INDEPENDENT DIRECTOR	FIDELITY SECURITIES, INC.	NEW EMPLOYMENT
1-Dec-21	FONTANILLA	JOSE-PAOLO DEOGRACIAS C.	-	HEAD OF RESEARCH	PAPA SECURITIES CORPORATION	NEW EMPLOYMENT
1-Dec-21	SUDIANG	LORIE FE L.	-	TRAINEE	R.S. LIM & COMPANY, INC.	NEW EMPLOYMENT
1-Dec-21	PINGAD	MARICAR M.	-	TRAINEE	R.S. LIM & COMPANY, INC.	NEW EMPLOYMENT
1-Dec-21	SALUDAY	MARILYN H.	-	TRAINEE	R.S. LIM & COMPANY, INC.	NEW EMPLOYMENT
1-Dec-21	FONTANILLA	JOSE-PAOLO DEOGRACIAS C.	ANALYST	-	CLSA PHILIPPINES, INC.	RESIGNATION (clearance under process)
1-Dec-21	TANAP	RUBEN ALOVEN C.	SETTLEMENT ASSISTANT	ACCOUNTING ASSISTANT	ALPHA SECURITIES CORPORATION	RE-DESIGNATION
1-Dec-21	SIERRA	MARIECHU N.	ACCOUNTING ASSISTANT	SETTLEMENT ASSISTANT	ALPHA SECURITIES CORPORATION	RE-DESIGNATION

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1-Dec-21	DE GUZMAN	ROJIE F.	-	JANITOR - CONTRACTUAL	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
1-Dec-21	GALOPE	REA S.	TRANSFERS CLERK	OPERATIONS STAFF	A & A SECURITIES, INC.	RE-DESIGNATION
30-Nov-21	ILAO	MARK JASSON C.	HEAD OF FUND SOURCE	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
30-Nov-21	REYES	EDNA D.	INDEPENDENT DIRECTOR	-	MANDARIN SECURITIES CORPORATION	RESIGNATION
30-Nov-21	LEE	ZYRA EVE	SENIOR DEALER	-	MANDARIN SECURITIES CORPORATION	RESIGNATION
30-Nov-21	SORIANO	JOAHNNA S.	EXECUTIVE VICE PRESIDENT	-	PAPA SECURITIES CORPORATION	RESIGNATION (cleared)
30-Nov-21	GAN	BRADFORD T.	SALESPERSON	-	R. NUBLA SECURITIES, INC.	RESIGNATION (cleared)
30-Nov-21	SO	ISIDRO	OFFICER-IN-CHARGE - RETAIL SALES	ANALYST	R. NUBLA SECURITIES, INC.	RE-DESIGNATION
29-Nov-21	MANUEL	AMALIA LOURDES R.	CORPORATE SECRETARY	CORPORATE SECRETARY/ SALESMAN	MDR SECURITIES, INC.	RE-DESIGNATION
25-Nov-21	TJAHJAMULJO	ANDRE	-	DIRECTOR	UBS SECURITIES PHILIPPINES, INC.	NEW EMPLOYMENT
25-Nov-21	ASH	MARTA M.	DIRECTOR	-	UBS SECURITIES PHILIPPINES, INC.	RESIGNATION
22-Nov-21	CELIS	RODA T.	DIRECTOR	-	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RESIGNATION (no clearance required)
22-Nov-21	CO	JOSHUA JAMES A.	RESEARCH ANALYST	-	BDO SECURITIES CORPORATION	RESIGNATION
22-Nov-21	SEVILLA	LOURDES D.	-	SETTLEMENT HEAD	UPCC SECURITIES CORPORATION	NEW EMPLOYMENT
19-Nov-21	GARCIA	MA. RAQUEL R.	CORPORATE SECRETARY	ASSISTANT CORPORATE SECRETARY	MDR SECURITIES, INC.	RE-DESIGNATION

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19-Nov-21	MANUEL	AMALIA LOURDES R.	ASSOCIATED PERSON/ ASSISTANT CORPORATE SECRETARY	CORPORATE SECRETARY	MDR SECURITIES, INC.	RE-DESIGNATION
16-Nov-21	RODRIGUEZ	MIGUEL JOAQUIN E.	DEALER TRAINEE	INSTITUTIONAL DEALER	BPI SECURITIES CORPORATION	RE-DESIGNATION
15-Nov-21	REYES	JANELL P.	-	FILING CLERK	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
15-Nov-21	RACINES	EDWARD A.	ADMINISTRATIVE ASSISTANT	OPERATION ASSISTANT	BDO SECURITIES CORPORATION	RE-DESIGNATION
15-Nov-21	BRITOS	ABIGAIL A.	ADMINISTRATIVE ASSISTANT	SETTLEMENTS & PROCESSING ASSISTANT	BDO SECURITIES CORPORATION	RE-DESIGNATION
11-Nov-21	MARANAN	IAN MERVIN R.	-	COMPLIANCE ANALYST	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	NEW EMPLOYMENT
10-Nov-21	ALMADRONES	AGNES V.	-	TREASURY OFFICER	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
10-Nov-21	CRUZ	ELIZABETH C.	SECURITY SETTLEMENT	-	ABACUS SECURITIES CORPORATION	RESIGNATION (clearance under process)
9-Nov-21	BAYBAYON	JAMES B.	JANITOR - CONTRACTUAL	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
8-Nov-21	HOLGANZA	WILLIAM JEFFERSON B.	-	RETAIL SALES OFFICER	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
8-Nov-21	ARCEO	GIANINNA BIANCA A.	-	JUNIOR DEALER	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
8-Nov-21	MARANAN	IAN MERVIN R.	DOCUMENTATION SPECIALIST	-	ABACUS SECURITIES CORPORATION	RESIGNATION (cleared)
4-Nov-21	SIMBULAN	JUSTIN LOUIS L.	FINANCE STAFF - CONTRACTUAL	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (clearance under process)
4-Nov-21	SIMBULAN	JUSTIN LOUIS L.	FINANCE STAFF - CONTRACTUAL	-	MAYBANK ATR KIM ENG SECURITIES, INC.	UPDATE: RESIGNATION (cleared)
3-Nov-21	BRITO	MA. FRANCESCA JENNINA B.	-	RESEARCH ASSOCIATE	UBS SECURITIES PHILIPPINES, INC.	NEW EMPLOYMENT

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
3-Nov-21	FUENTES	HALLEAH JOY	TRANSFER CLERK	-	F. YAP SECURITIES, INC.	RESIGNATION (clearance under process)
3-Nov-21	PALAD	CONNIE B.	INDEPENDENT DIRECTOR	-	ALPHA SECURITIES CORPORATION	RESIGNATION
3-Nov-21	GENER	CAROLINE R.	-	INDEPENDENT DIRECTOR	ALPHA SECURITIES CORPORATION	NEW EMPLOYMENT
2-Nov-21	PALISOC	MARIA KRISTIN JOY C.	-	EXECUTIVE SECRETARY/ADMINISTRATIVE	CHINA BANK SECURITIES CORPORATION	NEW EMPLOYMENT
2-Nov-21	BASILLA	MARIA YSABEL	-	SALES- DEALER	SB EQUITIES, INC.	NEW EMPLOYMENT
2-Nov-21	ARQUINES	FAITH D.	-	ACCOUNTING ASSOCIATE	PNB SECURITIES, INC.	NEW EMPLOYMENT
30-Oct-21	LACHICA	GERALEN C.	RETAIL SALES OFFICER	-	MAYBANK ATR KIM ENG SECURITIES, INC.	UPDATE: RESIGNATION (cleared)
30-Oct-21	DELFIN	ADRIAN S.	JUNIOR DEALER	-	MAYBANK ATR KIM ENG SECURITIES, INC.	UPDATE: RESIGNATION (cleared)
15-Oct-21	MARTINEZ	EDMUND DANIEL P.	VICE PRESIDENT - DIGITAL MARKETING AND WEALTH MANAGEMENT	-	UNICAPITAL SECURITIES, INC.	UPDATE: RESIGNATION (cleared)