



MEMORANDUM – 2022-004

To : **All Trading Participants**

Subject : **Employee Movement**

Date : **04 March 2022**

Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 28 February 2022.

For information and guidance.

(original signed)
DAISY P. ARCE
President

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DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
21-Feb-22	GARCIA	JOSE IGNACIO J.	-	EXECUTIVE DIRECTOR- IB & A	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	NEW EMPLOYMENT
18-Feb-22	MAGAN	CINDERELLA D.	-	CASHIERING STAFF	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
18-Feb-22	CAVITA	KRISTINE S.	CASHIERING STAFF	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (cleared)
17-Feb-22	OLARTE	KRISTINE D.	-	SETTLEMENT ASSISTANT	INVESTORS SECURITIES, INC.	NEW EMPLOYMENT
16-Feb-22	HERNANDEZ	GABRIEL IÑIGO M.	-	RESEARCH ANALYST	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Feb-22	AGUIRRE	RAMON JOSE E.	DIRECTOR, HEAD OF RESEARCH	EXECUTIVE DIRECTOR, HEAD OF RESEARCH	UBS SECURITIES PHILIPPINES INC.	RE-DESIGNATION
16-Feb-22	VILLARIAS	DIOVILLE M.	AUTHORIZED OFFICER, FINANCIAL	ASSOCIATE DIRECTOR, FINANCIAL ACCOUNTANT	UBS SECURITIES PHILIPPINES INC.	RE-DESIGNATION
16-Feb-22	MONGOTE	LESTER P.	AUTHORIZED OFFICER, HEAD OF GROUP CORPORATE SERVICES	ASSOCIATE DIRECTOR, HEAD OF GROUP CORPORATE SERVICES	UBS SECURITIES PHILIPPINES INC.	RE-DESIGNATION
16-Feb-22	YU	KENNETH LOGAN Y.	SALES TRADER	ASSOCIATE DIRECTOR, SALES TRADER	UBS SECURITIES PHILIPPINES INC.	RE-DESIGNATION
16-Feb-22	CUETO	JONEL K.	-	SYSTEMS ANALYST	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
16-Feb-22	BUGAYONG	PATRICK NEIL S.	-	ASSOCIATE RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
15-Feb-22	RANIS	MARIA PERPETUA M.	TRADER/SALESMAN	-	SECURITIES SPECIALISTS, INC.	RESIGNATION (clearance under process)
14-Feb-22	TORRES	TIRSO R.	-	TRADER/SALESMAN	SECURITIES SPECIALISTS, INC.	NEW EMPLOYMENT
14-Feb-22	TAGALOG	VIRGILIO D.	-	DRIVER	VC SECURITIES CORPORATION	NEW EMPLOYMENT

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11-Feb-22	VILLASIN	COLEEN FAYE J.	ON-BOARDING SPECIALIST	-	SB EQUITIES, INC.	RESIGNATION (cleared)
10-Feb-22	ANICETO	MYLES BENEDICT S.	SALES OFFICER	-	SB EQUITIES, INC.	RESIGNATION (cleared)
10-Feb-22	PADRE	MARYDEL M.	COMPLIANCE ASSISTANT	ASSOCIATED PERSON/COMPLIANCE OFFICER	AB CAPITAL SECURITIES, INC.	RE-DESIGNATION
9-Feb-22	DIAZ	ENRIQUE JAVIER R.	-	TREASURY ASSOCIATE	VSEC.COM INC.	NEW EMPLOYMENT
7-Feb-22	SALCEDO	ELVIRA L.	-	ASSOCIATED PERSON	SINCERE SECURITIES CORPORATION	NEW EMPLOYMENT
7-Feb-22	CASTILLO	CESAR D.	-	CUSTOMER SUPPORT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
7-Feb-22	PEREZ	JUSTIN MICHAEL D.	-	ASSOCIATE RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
4-Feb-22	WHALLEY	MARTIN ANSEL V.	ASST COMPLIANCE OFFICER	-	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	RESIGNATION (clearance under process)
4-Feb-22	CHEN	YAH SIN A.	-	CLIENT RELATIONS ASSOCIATE	BPI SECURITIES CORPORATION	RESIGNATION
2-Feb-22	AGAPAY	GRACE P.	ACCOUNTING ASSISTANT MANAGER	ACCOUNTING MANAGER	ABACUS SECURITIES CORPORATION	RE-DESIGNATION
2-Feb-22	VILLEGAS	DAN LINCOLN T.	-	JUNIOR DEALER	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	NEW EMPLOYMENT
2-Feb-22	PORMON	DENNISSA ROSE G.	-	BOOKKEEPER	STAR ALLIANCE SECURITIES CORPORATION	NEW EMPLOYMENT
2-Feb-22	CATALOGO	RESEL M.	-	OFFICE STAFF COORDINATOR	STAR ALLIANCE SECURITIES CORPORATION	NEW EMPLOYMENT
2-Feb-22	NEVADO	MARIA CRISTINA O.	-	ASSOCIATE RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT

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1-Feb-22	CABACUNGAN	INZAGHI RAFAEL D.	-	FIRST OFFICER - RESEARCH ANALYST	RCBC SECURITIES, INC.	NEW EMPLOYMENT
1-Feb-22	FRADEJAS	SHANE MAE L.	SETTLEMENT CLERK	-	R.S. LIM & COMPANY, INC.	RESIGNATION
1-Feb-22	SUDIANG	LORIE FE L.	TRAINEE	SETTLEMENT CLERK	R.S. LIM & COMPANY, INC.	RE-DESIGNATION
1-Feb-22	PINGAD	MARICAR M.	TRAINEE	OFFICE CLERK	R.S. LIM & COMPANY, INC.	RE-DESIGNATION
1-Feb-22	SALUDAY	MARILYN H.	TRAINEE	OFFICE CLERK	R.S. LIM & COMPANY, INC.	RE-DESIGNATION
1-Feb-22	CORTEZ	CZARLOTTE CYRILE C.	SETTLEMENTS HEAD	ASSISTANT MANAGER - SETTLEMENTS HEAD	AB CAPITAL SECURITIES, INC.	RE-DESIGNATION
1-Feb-22	SANTOS	MIRIAM R.	SENIOR ACCOUNTANT	ASSISTANT MANAGER - SENIOR ACCOUNTANT	AB CAPITAL SECURITIES, INC.	RE-DESIGNATION
1-Feb-22	SALAMERA	VERNICE A.	-	TRADING ASSISTANT	MANDARIN SECURITIES CORPORATION	NEW EMPLOYMENT
1-Feb-22	BROSAS	IRIS A.	OPERATIONS ASSISTANT	-	BDO SECURITIES CORPORATION	RESIGNATION
1-Feb-22	BROSAS	IRIS A.	-	OPERATIONS ASSISTANT	ARMSTRONG SECURITIES, INC.	NEW EMPLOYMENT
1-Feb-22	ASUNCION	ANNA BARBARA E.	ELECTRONIC TRADER	HEAD OF ELECTRONIC TRADING	SALISBURY BKT SECURITIES CORPORATION	RE-DESIGNATION
31-Jan-22	SALES	LORENZO JOSE Y.	SALESPERSON	-	R. NUBLA SECURITIES, INC.	RESIGNATION (cleared)
31-Jan-22	SIMPAO	THERESE GAIL T.	ACCOUNTING ASSISTANT	-	SB EQUITIES, INC.	RESIGNATION (cleared)
31-Jan-22	LEEHOX	PAUL JOHN T.	DEALER	-	MANDARIN SECURITIES CORPORATION	RESIGNATION
28-Jan-22	CHONGSON	EARVIN MAGIC J.	RELATIONSHIP MANAGER	-	BDO SECURITIES CORPORATION	RESIGNATION

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28-Jan-22	DIAZ	CHRISTIAN CARL P.	COMPLIANCE OFFICER	COMPLIANCE OFFICER/ASSOCIATED PERSON	VSEC.COM INC.	RE-DESIGNATION
27-Jan-22	PAMOR	MARJORIE M.	ASSOCIATED PERSON	ASSOCIATED PERSON/ DATA PROTECTION OFFICER	WEALTH SECURITIES, INC.	RE-DESIGNATION
25-Jan-22	LIM	JULIA ELAINE S.	-	GRAPHIC DESIGNER/BRANDING AND CREATIVE ASSISTANT	VSEC.COM INC.	NEW EMPLOYMENT
25-Jan-22	VILLANUEVA	RENEE CLAUDIA T.	PRODUCT & BUSINESS DEVELOPMENT OFFICER	HEAD, PRODUCT & BUSINESS DEVELOPMENT	BPI SECURITIES CORPORATION	RE-DESIGNATION
24-Jan-22	DORONILA	KENT IAN A.	SETTLEMENT HEAD	SETTLEMENT CLERK	UPCC SECURITIES CORPORATION	RE-DESIGNATION
22-Jan-22	TANJUAKIO	ALAN P.	INDEPENDENT DIRECTOR	-	UCPB SECURITIES, INC.	RESIGNATION (did not apply for clearance)
22-Jan-22	CHU	PAOLO EMMANUEL N.	RELATIONSHIP MANAGER	-	BDO SECURITIES CORPORATION	RESIGNATION
21-Jan-22	JOVEN	ELIASA JEAN E.	ACCOUNTING ASSISTANT	-	SALISBURY BKT SECURITIES CORPORATION	RESIGNATION (cleared)
19-Jan-22	VERZO	JESSICA H.	TRAINEE	SETTLEMENT ASSISTANT	APEX PHILS. EQUITIES CORPORATION	RE-DESIGNATION
17-Jan-22	MASCARDO	GEMMALYN C.	POSTING CLERK	-	BENJAMIN CO CA & COMPANY, INC.	RESIGNATION (did not apply for clearance)
17-Jan-22	ENRIQUEZ	LORENZO LUIS J.	CUSTODY & NOMINEE SERVICES CLERK	-	ABACUS SECURITIES CORPORATION	RESIGNATION (clearance under process)
15-Jan-22	LOPEZ	PAOLA BEATRICE C.	-	RESEARCH ANALYST	SB EQUITIES, INC.	NEW EMPLOYMENT
13-Jan-22	LEE	JOSE T.	TREASURER/DIRECTOR	-	DAVID GO SECURITIES CORPORATION	RESIGNATION
13-Jan-22	GO	VICTOR C.	DIRECTOR	TREASURER	DAVID GO SECURITIES CORPORATION	RE-DESIGNATION
10-Jan-22	DIA	MARY FRANCE S.	-	SALESPERSON	R. NUBLA SECURITIES, INC.	NEW EMPLOYMENT

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10-Jan-22	ATERRADO	ELOISA ELAINE H.	-	HR BUSINESS RELATIONSHIP EXECUTIVE	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	NEW EMPLOYMENT
8-Jan-22	LOCSIN	FEDERICO MANUEL IV R.	CSS OFFICER/ CORPORATE SECRETARY	-	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	RESIGNATION (clearance under process)
7-Jan-22	ARELLANO	MA. THERESA P.	-	AUTHORIZED SALESMAN	INVESTORS SECURITIES, INC.	NEW EMPLOYMENT
1-Jan-22	GAVIOLA	ANALYN B.	OFFICE CLERK - CONTRACTUAL	-	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	RESIGNATION (clearance under process)
1-Jan-22	TOLETE	MARIA SHARINE A.	HR BUSINESS RELATIONSHIP EXECUTIVE	-	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	RESIGNATION (clearance under process)