



MEMORANDUM – 2022-006

To : All Trading Participants

Subject : Employee Movement

Date : 01 April 2022

Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 31 March 2022.

For information and guidance.

(original signed)
DAISY P. ARCE
President

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DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
26-Mar-22	GARCIA	MICAELA LORAIN C.	CUSTOMER SERVICE SPECIALIST	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
26-Mar-22	TRINIDAD	AILA MARIE D.	ACCOUNTING SPECIALIST	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
24-Mar-22	CHU	JEANETTE Y.	CORPORATE SECRETARY/TRADER	INTERNAL AUDITOR	NEW WORLD SECURITIES CO., INC.	RE-DESIGNATION
21-Mar-22	DELA PAZ	KIMBERLY B.	-	STOCK SETTLEMENTS ASSOCIATE	VSEC.COM, INC.	NEW EMPLOYMENT
17-Mar-22	CAYABAN	MARK DOMINICK	ACTING ASSOCIATED PERSON	COMPLIANCE OFFICER / ASSOCIATED PERSON	AAA SOUTHEAST EQUITIES, INC.	RE-DESIGNATION
16-Mar-22	GAHOL	MARIEN M.	-	HR ASSISTANT	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
15-Mar-22	DE VERA	ANAROSE R.	SETTLEMENT ASSISTANT	-	SALISBURY BKT SECURITIES CORPORATION	RESIGNATION
14-Mar-22	ONG	JEAN R.	ASSOCIATED PERSON, COMPLIANCE AND RISK OFFICER	-	CHINA BANK SECURITIES CORPORATION	RESIGNATION (cleared)
14-Mar-22	DE LA CRUZ	JOWARD F.	AD HOC OFFICE STAFF	-	TRANS-ASIA SECURITIES, INC.	RESIGNATION (cleared)

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14-Mar-22	ONG	JEAN R.	-	ASSOCIATED PERSON	UCPB SECURITIES, INC.	NEW EMPLOYMENT
14-Mar-22	ENRIQUEZ	ERIKA ANA G.	-	SETTLEMENTS ANALYST	CLSA PHILIPPINES, INC.	NEW EMPLOYMENT
14-Mar-22	DESEO JR	ROGELIO C.	DEALER	MARKET MAKER	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
12-Mar-22	CASTILO	MICHAEL FRANCIS U.	MARKET MAKER	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
11-Mar-22	ZARATE	FAYE ELOISA T.	DEALER	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
10-Mar-22	TORREGROSA	OLIVER D.	-	MESSENGER	DA MARKET SECURITIES, INC.	NEW EMPLOYMENT
8-Mar-22	ANSON	KRYSTLE U.	-	CONTENT COPYWRITER	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
8-Mar-22	DANTES	RICARDO C.	-	BUSINESS SYSTEMS ANALYST	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
8-Mar-22	BONITE	CHRISTINE ANTONETTE R.	-	HUMAN RESOURCES ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT

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7-Mar-22	FAUSTO	MARTIN JOSEPH F.	-	CERTIFIED INVESTMENT SOLICITOR	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
4-Mar-22	SIBAYAN	JAKE VINCENT J.	ACCOUNTING ASSISTANT	-	INVESTORS SECURITIES, INC.	RESIGNATION
4-Mar-22	YU	LILIAN	DIRECTOR	-	CHINA BANK SECURITIES CORPORATION	RESIGNATION (did not apply for clearance)
3-Mar-22	IDOS	KURT RUSSEL M.	SALESMAN	-	TIMSON SECURITIES, INC.	RESIGNATION (cleared)
2-Mar-22	BUSTAMANTE	PAULO V.	-	MESSENGER/COLLECTOR	R. NUBLA SECURITIES, INC.	NEW EMPLOYMENT
1-Mar-22	PADLAN	JODY JAMES S.	OFFICER-IN-CHARGE	-	UCPB SECURITIES, INC.	RESIGNATION (did not apply for clearance)
1-Mar-22	CASTRONUEVO	SYLVES C.	ASSOCIATED PERSON/ COMPLIANCE OFFICER	-	UCPB SECURITIES, INC.	RESIGNATION (did not apply for clearance)
1-Mar-22	SAPUSAO	PATRICIA P.	-	RISK MANAGEMENT STAFF	CTS GLOBAL EQUITY GROUP, INC. (FORMERLY: CITISECURITIES, INC.)	NEW EMPLOYMENT
1-Mar-22	VALDEZ	HENDRICK JOHN L.	-	IT STAFF	CTS GLOBAL EQUITY GROUP, INC. (FORMERLY: CITISECURITIES, INC.)	NEW EMPLOYMENT

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1-Mar-22	GALANG	MARK JASON G.	-	MESSENGER	SECURITIES SPECIALISTS, INC.	NEW EMPLOYMENT
1-Mar-22	VALDERRAMA	KENNETH D.	JAM - RETAIL SALES AND ONLINE MARKETING OFFICER	-	RCBC SECURITIES, INC.	RESIGNATION (clearance under process)
1-Mar-22	MAÑAGO	GIULIO RINALDO N.	-	RETAIL SALES AND ONLINE MARKETING ASSISTANT	RCBC SECURITIES, INC.	NEW EMPLOYMENT
1-Mar-22	SANTOS	NICOLO R.	RESEARCH ANALYST	EQUITY TRADER	THE FIRST RESOURCES MANAGEMENT AND SECURITIES CORPORATION	RE-DESIGNATION
1-Mar-22	MAGSINO	ARNEL GABRIEL MARTIN L.	DEALER	-	CLSA PHILIPPINES, INC.	RESIGNATION (cleared)
1-Mar-22	CABACES	NIKKO NOWELSON S.	-	ACCOUNTING ASSISTANT	INVESTORS SECURITIES, INC.	NEW EMPLOYMENT
1-Mar-22	ZALAMEA	JAIME ANDRES M.	-	RESEARCH ANALYST	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
1-Mar-22	MANGAHAS	JOANA GRACE	-	OFFICE STAFF	TRANS-ASIA SECURITIES, INC.	NEW EMPLOYMENT
1-Mar-22	LEE	VANESSA ANNE	SETTLEMENTS-IN-CHARGE	-	KING'S POWER SECURITIES, INC.	RESIGNATION

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1-Mar-22	SYQUITACO	KRIZIA ANN S.	MANAGER FOR INSTITUTIONAL SALES/ SALESPERSON	SALESPERSON	PAPA SECURITIES CORPORATION	RE-DESIGNATION
1-Mar-22	ZANDUETA	ZERGE B.	HEAD, PRODUCT, PRIVATE CLIENTS GROUP	PORTFOLIO MANAGER, PCG	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Mar-22	MENDOZA	MADETH D.	PRODUCT & BUSINESS DEVELOPMENT OFFICER	-	BPI SECURITIES CORPORATION	RESIGNATION
1-Mar-22	DE LA PAZ	LIANNE MARIE G.	INDEPENDENT DIRECTOR	-	CLSA PHILIPPINES, INC.	RESIGNATION (no clearance required)
1-Mar-22	CLAVERIA	ATTY. FLORENCE ROSALIND R.	-	OFFICER-IN-CHARGE	UCPB SECURITIES, INC.	NEW EMPLOYMENT
1-Mar-22	DE VERA	CHARMAINE M	-	GENERAL CLERK	R. NUBLA SECURITIES, INC.	NEW EMPLOYMENT
1-Mar-22	LINA	MARIA ANGELICA BEA T.	-	SALES AGENT	SB EQUITIES, INC.	NEW EMPLOYMENT
28-Feb-22	DE LEON	BRYAN V.	MARKETING ASST.	-	ASIASEC EQUITIES, INC.	RESIGNATION
28-Feb-22	CASTRO	REYNALDO M.	MESSENGER	-	SECURITIES SPECIALISTS, INC.	RESIGNATION (cleared)

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28-Feb-22	GASCON	SILVER FHAI S.	IT STAFF	-	MERCANTILE SECURITIES, CORPORATION	RESIGNATION
28-Feb-22	PARLADE	RYAN VINCENT L.	-	EQUITY ANALYST	THE FIRST RESOURCES MANAGEMENT AND SECURITIES CORPORATION	NEW EMPLOYMENT
28-Feb-22	GASCON	SILVER FHAI S.	IT STAFF	-	MERCANTILE SECURITIES, CORPORATION	RESIGNATION
28-Feb-22	FATEG	JOCELYN T.	COMPLIANCE OFFICER/ASSOCIATED PERSON	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (clearance under process)
28-Feb-22	AVIÑANTE	BERNARD C.	VICE PRESIDENT - INSTITUTIONAL SALES/ AUTHORIZED AGENT	AUTHORIZED AGENT	WEALTH SECURITIES, INC.	RE-DESIGNATION
28-Feb-22	DIAZ	ARLENE K.	AUTHORIZED AGENT	VICE PRESIDENT - INSTITUTIONAL SALES/ AUTHORIZED AGENT	WEALTH SECURITIES, INC.	RE-DESIGNATION
24-Feb-22	SANTOS	CARLA LUISA R.	EQUITIES SALES ANALYST/ NNASST - ASSISTANT	SALESPERSON	CREDIT SUISSE SECURITIES (PHILIPPINES), INC.	RE-DESIGNATION
22-Feb-22	LIAO	JARENZ S.	-	INVESTMENT ANALYST	F. YAP SECURITIES, INC.	NEW EMPLOYMENT
22-Feb-22	MANESE	CARMEN O.	-	INDEPENDENT DIRECTOR	LOPEZ, LOCSIN, LEDESMA & COMPANY, INC.	NEW EMPLOYMENT

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22-Feb-22	CANTOS	KATRINE FAE F.	-	INDEPENDENT DIRECTOR	LOPEZ, LOCSIN, LEDESMA & COMPANY, INC.	NEW EMPLOYMENT
22-Feb-22	AGUIRRE	JUAN ANTONIO L.	-	DIRECTOR	LOPEZ, LOCSIN, LEDESMA & COMPANY, INC.	NEW EMPLOYMENT
22-Feb-22	BAILEY	SIMON B.	MANAGING DIRECTOR	PRESIDENT	SALISBURY BKT SECURITIES CORPORATION	RE-DESIGNATION
17-Feb-22	SAN JOSE	MARLON CLOYD	-	ACCOUNTING ASSISTANT	SINCERE SECURITIES CORPORATION	NEW EMPLOYMENT
16-Feb-22	PIOL	ALVIN L.	OIC, GENERAL ADMIN & DOCUMENTATION UNIT	DISBURSEMENT CLERK AND GENERAL ADMIN	RCBC SECURITIES, INC.	RE-DESIGNATION
16-Feb-22	TINIMBANG	AYRA KATRINA S.	AM - INSTITUTIONAL SALES OFFICER	AM - RETAIL SALES AND ONLINE MARKETING OFFICER	RCBC SECURITIES, INC.	RE-DESIGNATION
16-Feb-22	SAMBAT	GENEVA A.	FO - IC, DISBURSEMENT UNIT	FO - SECTION HEAD OF GENERAL ADMIN AND DISBURSEMENT	RCBC SECURITIES, INC.	RE-DESIGNATION
16-Feb-22	JAVATE	JED C.	GENERAL CLERK	GENERAL ADMIN	RCBC SECURITIES, INC.	RE-DESIGNATION
16-Feb-22	OANDASAN	ORVILLE D.	SYSTEM ADMINISTRATOR/VERIFIER	SYSTEM ADMINISTRATOR	RCBC SECURITIES, INC.	RE-DESIGNATION

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16-Feb-22	LORICA	SIMEON JR. A.	AVP - HEAD TRADER	AVP - EQUITIES TRADER	RCBC SECURITIES, INC.	RE-DESIGNATION
16-Feb-22	REYES	JOSUA B.	-	IT STAFF	MERCANTILE SECURITIES, CORPORATION	NEW EMPLOYMENT
15-Feb-22	CABRAL	OLIVIA MARIE B.	-	ACCOUNTING ASSISTANT	PAPA SECURITIES CORPORATION	NEW EMPLOYMENT
15-Feb-22	REYES	ANGELA SHAIRA J.	JUNIOR ACCOUNTANT	-	ABACUS SECURITIES CORPORATION	RESIGNATION (cleared)
14-Feb-22	SIMPAO	MARVIN ROY S.	COMPLIANCE OFFICER	ASSOCIATED PERSON	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	RE-DESIGNATION
11-Feb-22	TAN	ANDREA LUISA S.	-	ASSISTANT TO THE SETTLEMENT HEAD	EASTERN SECURITIES DEVELOPMENT CORPORATION	NEW EMPLOYMENT
8-Feb-22	LANUZA	CHIARA ROSARIO JULIA V.	-	VICE-PRESIDENT, TREASURER AND DIRECTOR	CAMPOS, LANUZA & COMPANY, INC.	NEW EMPLOYMENT
4-Feb-22	WHALLEY	MARTIN ANSEL V.	ASST COMPLIANCE OFFICER	-	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	UPDATE: RESIGNATION (cleared)
2-Feb-22	SALES	LORENZO JOSE Y.	-	SALES ASSISTANT	CLSA PHILIPPINES, INC.	NEW EMPLOYMENT

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8-Jan-22	LOCSIN	FEDERICO MANUEL IV R.	DEALER/ SALESMAN	-	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	UPDATE: RESIGNATION (cleared)
1-Jan-22	TOLETE	MARIA SHARINE A.	HR BUSINESS RELATIONSHIP EXECUTIVE	-	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	UPDATE: RESIGNATION (cleared)
1-Dec-21	MAGNAYE	RENANTE C.	TREASURY OFFICER	-	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	UPDATE: RESIGNATION (cleared)