



MEMORANDUM – 2022-008

To : **All Trading Participants**

Subject : **Employee Movement**

Date : **05 May 2022**

Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 30 April 2022.

For information and guidance.

(original signed)
DAISY P. ARCE
President

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DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
1-May-22	LUCAÑAS	KARYL S.	SETTLEMENT OFFICER	SETTLEMENT OFFICER/ DPO	GLOBALINKS SECURITIES & STOCKS, INC.	RE-DESIGNATION
29-Apr-22	MORADA	ANN BRECHELLE M.	ACCOUNTING ASSISTANT	-	APEX PHILIPPINES EQUITIES CORPORATION	RESIGNATION
29-Apr-22	DEL ROSARIO	DIANE KAYE M.	-	ACCOUNTING ASSISTANT	APEX PHILIPPINES EQUITIES CORPORATION	NEW EMPLOYMENT
27-Apr-22	CIMAFRANCA, JR.	FILEMON S.	DIRECTOR	-	UCPB SECURITIES, INC.	RETIREMENT
27-Apr-22	DY	KATRINA THERESE L.	DIRECTOR	-	UCPB SECURITIES, INC.	RETIREMENT
27-Apr-22	VILLAREAL	MARIO RUDY P.	DIRECTOR	-	UCPB SECURITIES, INC.	RETIREMENT
27-Apr-22	GERON	LIDUVINO S.	-	DIRECTOR	UCPB SECURITIES, INC.	NEW EMPLOYMENT
27-Apr-22	CLIMACO JR.	JULIO D.	-	DIRECTOR	UCPB SECURITIES, INC.	NEW EMPLOYMENT
27-Apr-22	PANGILINAN	ELCID C.	-	DIRECTOR	UCPB SECURITIES, INC.	NEW EMPLOYMENT
27-Apr-22	BONGOLAN	GONZALO BENJAMIN A.	-	DIRECTOR	UCPB SECURITIES, INC.	NEW EMPLOYMENT
27-Apr-22	MONTELOYOLA	RAMON R.	-	DIRECTOR	UCPB SECURITIES, INC.	NEW EMPLOYMENT
27-Apr-22	LIM	SYLVIA C.	-	DIRECTOR	UCPB SECURITIES, INC.	NEW EMPLOYMENT
27-Apr-22	VALDIVIA	MA. CIELITO D.	-	DIRECTOR	UCPB SECURITIES, INC.	NEW EMPLOYMENT
27-Apr-22	KALABUD	KHURSHID U.	-	DIRECTOR	UCPB SECURITIES, INC.	NEW EMPLOYMENT
27-Apr-22	TESORERO	LUCILA E.	-	DIRECTOR	UCPB SECURITIES, INC.	NEW EMPLOYMENT
25-Apr-22	CABURNAY	TIMOTHY GRECO M.	-	DIGITAL CHANNELS AND MARKETING ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
25-Apr-22	BATARA	JOAN MARIE T.	-	EQUITY RESEARCH ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
25-Apr-22	PALAD	RODOLFO T.	-	MARKETING ASSISTANT	VC SECURITIES CORPORATION	NEW EMPLOYMENT

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22-Apr-22	CO	RONALD EMMANUEL A.	OPERATIONS AND IT DIVISION HEAD	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
21-Apr-22	SANCHEZ	LUIS LORENZO	COMPLIANCE ASSOCIATE	-	BPI SECURITIES CORPORATION	RESIGNATION
19-Apr-22	GARCIA	MA. JONIBEL F.	CUSTOMER INTELLIGENCE AND INSIGHTS OFFICER	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
18-Apr-22	ECHIVERRI	KATHRYN RACHELLE D.	-	HEAD OF FUND SOURCE	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
18-Apr-22	MARQUEZ	LORENZO MIGUEL T.	-	PORTFOLIO ASSOCIATE, PCG	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
18-Apr-22	GUEVARRA	RAFAEL DOMINIC B.	-	TRADING ASSISTANT	MERCANTILE SECURITIES CORPORATION	NEW EMPLOYMENT
18-Apr-22	DE JESUS	DRISANA MAIRI S.	-	JAM - ONLINE MARKETING AND SOCIAL MEDIA OFFICER	RCBC SECURITIES, INC.	NEW EMPLOYMENT
18-Apr-22	YASAY	RISSA JOY E.	-	ACCOUNTING ASSISTANT	SB EQUITIES, INC.	NEW EMPLOYMENT
18-Apr-22	PLATINO	RANILLO A.	-	MESSENGER	S. J. ROXAS & COMPANY, INC.	NEW EMPLOYMENT
16-Apr-22	CAMSON	SHEMAE B.	-	CASH MANAGEMENT OFFICER	GUILD SECURITIES, INC.	NEW EMPLOYMENT
16-Apr-22	TOMADA	CARA SANCHIA M.	MARKETING ASSOCIATE	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
16-Apr-22	LANGANLANGAN	MC HAROLD D.	DEPARTMENT HEAD - FINANCIAL ACCOUNTING	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
15-Apr-22	FETIZA	ANGEL MAE A.	ACCOUNTING CLERK	-	WEALTH SECURITIES, INC.	RESIGNATION
11-Apr-22	PORMALEJO	JUDITH M.	COMPLIANCE OFFICER	-	GLOBALINKS SECURITIES & STOCKS, INC.	RESIGNATION
11-Apr-22	FLORES	JERALD S.	-	CUSTODY & NOMINEE SERVICES CLERK	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
11-Apr-22	FORMALES	MINNIE I.	VICE PRESIDENT, GROUP COMPLIANCE HEAD, ASSOCIATED PERSON	-	UNICAPITAL SECURITIES, INC.	RESIGNATION
11-Apr-22	LITIATCO	CECILLE T.	-	SECTION HEAD - CUSTOMER SUPPORT	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT

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9-Apr-22	ENRIQUEZ	IRISH RAYCHELLE ANN T.	NEW ACCOUNTS ASSOCIATE	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
8-Apr-22	CHAN	CLARISSE C.	COMPLIANCE ANALYST	-	CLSA PHILIPPINES, INC.	RESIGNATION (clearance under process)
5-Apr-22	FERNANDEZ	MARIA CONCEPCION Y.	FIRST VICE PRESIDENT, NOMINEE, ACTING OFFICER-IN-CHARGE	FIRST VICE PRESIDENT, NOMINEE AND HEAD OF SALES	UNICAPITAL SECURITIES, INC.	RE-DESIGNATION
3-Apr-22	RAQUEL	RAMEL T.	MESSENGER	-	S. J. ROXAS & COMPANY, INC.	RESIGNATION
1-Apr-22	TANG	JOSEPH SAMUEL H.	HEAD, INSTITUTIONAL SALES, INSTITUTIONAL BUSINESS GROUP	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
1-Apr-22	GO	DANIEL CHRISTOPHER P.	SENIOR CSR EQUITIES TRADER	HEAD OF SHORT-TERM TRADING	CTS GLOBAL EQUITY GROUP, INC. (FORMERLY: CITISECURITIES, INC.)	RE-DESIGNATION
1-Apr-22	PATAJO	MIGUEL DOMINGO B.	SENIOR CSR EQUITIES TRADER	TRADING MANAGER	CTS GLOBAL EQUITY GROUP, INC. (FORMERLY: CITISECURITIES, INC.)	RE-DESIGNATION
1-Apr-22	TERMULO	BENIGNO JR. C.	CSR EQUITIES TRADER	HEAD OF TRADER RELATIONS	CTS GLOBAL EQUITY GROUP, INC. (FORMERLY: CITISECURITIES, INC.)	RE-DESIGNATION
1-Apr-22	SANCHEZ	PETER JUSTIN D.	CSR EQUITIES TRADER	HEAD OF TRADER DEVELOPMENT	CTS GLOBAL EQUITY GROUP, INC. (FORMERLY: CITISECURITIES, INC.)	RE-DESIGNATION
1-Apr-22	NARAG	LIZA P.	COMPROLLER/BOOKKEEPER	COMPROLLER	A & A SECURITIES, INC.	RE-DESIGNATION
1-Apr-22	JUANILLO	JESSEL R.	OFFICE TRAINEE	OFFICE CLERK	YU AND COMPANY, INC.	RE-DESIGNATION
1-Apr-22	MOLANO	JAHZEEL F.	OFFICE TRAINEE	ACCOUNTING STAFF	YU AND COMPANY, INC.	RE-DESIGNATION
1-Apr-22	CAPISTRANO	CHELSEA E.	ADMIN CLERK	ACCOUNTING STAFF	YU AND COMPANY, INC.	RE-DESIGNATION
1-Apr-22	ANICETO	MYLES BENEDICT S.	-	DEALER	CLSA PHILIPPINES, INC.	NEW EMPLOYMENT
1-Apr-22	BASBAS	JACQUELINE ANNE T.	SALES OFFICER	-	COL FINANCIAL GROUP, INC.	RESIGNATION
1-Apr-22	TANHUI	KIMBERLY K.	RESEARCH ANALYST	-	BDO SECURITIES CORPORATION	RESIGNATION

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1-Apr-22	JUSTINIANO	JOSE IVAN T.	MANAGING DIRECTOR	MANAGING DIRECTOR/ AP	GLOBALINKS SECURITIES & STOCKS, INC.	RE-DESIGNATION
1-Apr-22	CABRITO	ANNABELLE O.	SETTLEMENT CLERK	-	UPCC SECURITIES CORPORATION	RESIGNATION
1-Apr-22	ROSAÑES	ANDREW T.	LICENSED SALESMAN	SALES AGENT	VENTURE SECURITIES, INC	RE-DESIGNATION
1-Apr-22	TAN	CYNTHIA C.	AUTHORIZED AGENT	-	WEALTH SECURITIES, INC.	RESIGNATION
1-Apr-22	ANG	PERRY ERVINE O.	-	INSTITUTIONAL BUSINESS GROUP ASSOCIATE	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
1-Apr-22	GO	ERNESTO	SR. VICE PRESIDENT	SALESMAN/ TRADER	PAN ASIA SECURITIES CORPORATION	RE-DESIGNATION
31-Mar-22	ANG	RAMONA L.	PRESIDENT/ DIRECTOR/ SALESMAN	DIRECTOR/ SALESMAN	GLOBALINKS SECURITIES & STOCKS, INC.	RE-DESIGNATION
31-Mar-22	CIMAFRANCA	JUSTIN JOB K.	RESEARCH ANALYST	-	CREDIT SUISSE SECURITIES (PHILIPPINES), INC.	RESIGNATION (no clearance required)
30-Mar-22	PASCUA	ADAN EVAN O.	-	VP- GROUP COMPLIANCE OFFICER	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
29-Mar-22	MENTA	DARYLL M.	NEW ACCOUNTS ASSOCIATE	DEALER	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
28-Mar-22	RAMOS	MARK B.	-	SYSTEMS AND DATABASE ADMINISTRATOR	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
26-Mar-22	CONEJERO	EMMA RAE Y.	-	BROKERAGE CLERK	IMPERIAL, DE GUZMAN, ABALOS & CO., INC.	NEW EMPLOYMENT
23-Mar-22	CRUZ	JONATHAN D.	-	SENIOR RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
21-Mar-22	SANCHEZ	JOY HILLEVI	-	ASSOCIATED PERSON	GOLDEN TOWER SECURITIES & HOLDINGS, INC.	NEW EMPLOYMENT
19-Mar-22	YU	BIANCA LAURENN R.	ACCOUNTANT	-	VC SECURITIES CORPORATION	RESIGNATION (cleared)
16-Mar-22	BONDOC	BENJAMIN JOSE B.	-	RETAIL SALES HEAD	BDO SECURITIES CORPORATION	NEW EMPLOYMENT

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16-Mar-22	MANGUERRA	DENICE ANN P.	-	SETTLEMENT CLERK	SINCERE SECURITIES CORPORATION	NEW EMPLOYMENT
16-Mar-22	CARBONELL	RONALD ROZZ S.	-	GENERAL ACCOUNTANT	GUILD SECURITIES, INC.	NEW EMPLOYMENT
11-Mar-22	CUIZON	MADELYN E.	-	ACCOUNT SERVICES OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
8-Mar-22	ANGEL	JOSEPH ANGELO D.	DATA PRIVACY OFFICER	-	MACQUARIE CAPITAL SECURITIES (PHILIPPINES), INC.	RESIGNATION (cleared)
7-Mar-22	SUPAN	JENA REGINA A.	-	ASSOCIATE RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
17-Jan-22	AGNIR	JAVIER JOSE R.	REGIONAL CONTRACT ASSOCIATE	-	CLSA PHILIPPINES, INC.	UPDATE: RESIGNATION (cleared)