



# MEMORANDUM – 2022-009

**To** : **All Trading Participants**

**Subject** : **Employee Movement**

**Date** : **03 June 2022**

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Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 31 May 2022.

For information and guidance.

*(original signed)*  
**DAISY P. ARCE**  
*President*

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DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
1-Jun-22	CLAVERIA	MARY GRACE A.	ACCOUNTANT	CHIEF ACCOUNTANT	AP SECURITIES, INC.	RE-DESIGNATION
30-May-22	CANANEA	ABIGAIL M.	-	OPERATIONS ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
27-May-22	PADERNAL	MARY GRACE B.	ACCOUNTING OFFICER	HEAD, FINANCIAL ACCOUNTING DEPARTMENT	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
27-May-22	DESEO JR.	ROGELIO C.	MARKET MAKER	FUNDS MANAGEMENT OFFICER	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
26-May-22	ESPERO	ALLAN N.	BUSINESS SYSTEMS ANALYST	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under
24-May-22	YAC	JUSTINE AERON B.	-	AGENT (SALESMAN)	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
18-May-22	DE MESA	KING PATRICK A.	JAM - RESEARCH ANALYST	-	RCBC SECURITIES, INC.	RESIGNATION (clearance under
16-May-22	DIMACULANGAN	DANILYN C.	-	TAX OFFICER	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
16-May-22	DOLLAGA	GIRLLY P.	-	DIVISION HEAD - CONTROLLERSHIP	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
15-May-22	ONG	MA. KORYNNE T.	RM ASSISTANT	-	BDO SECURITIES CORPORATION	RESIGNATION
15-May-22	EDILLO	MARIA THERESE ANN F.	HR OFFICER	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under
13-May-22	FLORES	STEFFI NICOLE P.	-	ASSISTANT CORPORATE SECRETARY	CTS GLOBAL EQUITY GROUP, INC. (FORMERLY: CITISECURITIES, INC.)	NEW EMPLOYMENT
13-May-22	ANSAY	ALLAN L.	TELEPHONE CLERK/BACKROOM STAFF	-	LUCKY SECURITIES, INC.	RESIGNATION
10-May-22	CABACES	NIKKO NOWELSON S.	ACCOUNTING ASSISTANT	-	INVESTORS SECURITIES, INC.	RESIGNATION (without clearance)
10-May-22	REVILLA	RAE VINCENT F.	BROKERAGE CLERK	SETTLEMENT CLERK	IMPERIAL, DE GUZMAN, ABALOS & CO., INC.	RE-DESIGNATION

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10-May-22	BAGUS	VENICA P.	SETTLEMENT CLERK	-	IMPERIAL, DE GUZMAN, ABALOS & CO., INC.	RESIGNATION
10-May-22	NUISA	AYLYN S.	-	DIGITAL CHANNELS AND MARKETING OFFICER	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
4-May-22	ALIMBUYUGUEN	DES A.	-	BUSINESS SYSTEMS ANALYST	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
2-May-22	SAMALEA	FRANCIS OLIVER O.	-	ACCOUNTING CLERK	FIDELITY SECURITIES, INC.	NEW EMPLOYMENT
2-May-22	GARCIA	MA. DAISY	CLERK	-	FIDELITY SECURITIES, INC.	DECEASED
2-May-22	INANORIA	REIGN ROSE L.	-	SALES & TRADING ASSOCIATE	CHINA BANK SECURITIES CORPORATION	NEW EMPLOYMENT
2-May-22	BAYANGOS	ED NICOLE P.	-	CERTIFIED SECURITIES REPRESENTATIVE	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
2-May-22	SANCHEZ	LUIS LORENZO M.	-	COMPLIANCE ANALYST	UBS SECURITIES PHILIPPINES INC.	NEW EMPLOYMENT
2-May-22	PEDRO	RAUL MARTIN A.	-	CHAIRMAN	SB EQUITIES, INC.	NEW EMPLOYMENT
2-May-22	ARREOLA	RONALD EMMANUEL A.	-	HEAD OF SECURITIES OPERATIONS	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
2-May-22	FRANCISCO	KRISTEL IRISH O.	-	ACCOUNT SERVICES OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
2-May-22	LEE HOK	PAUL JOHN T	-	TRADER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
2-May-22	IDOS	KURT RUSSEL M.	-	MARKET MAKER	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
1-May-22	OLAÑO	ABIGAIL P.	NEW ACCOUNTS ASSOCIATE	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under
1-May-22	ARGUEZA	RECHELLE C.	OPERATIONS ASSISTANT	-	CHINA BANK SECURITIES CORPORATION	RESIGNATION

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30-Apr-22	ARCANO	KENNETH RALPH B.	CERTIFIED SECURITIES REPRESENTATIVE	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under
30-Apr-22	NAVOA	PREMADONA M.	ACCOUNTING HEAD	-	GUILD SECURITIES, INC.	RESIGNATION (no clearance required)
30-Apr-22	CAMSON	SHEMAE B.	CASH MANAGEMENT OFFICER	-	GUILD SECURITIES, INC.	RESIGNATION (no clearance required)
30-Apr-22	OSMAN	LOVIE C.	ACCOUNTING CLERK	-	STAR ALLIANCE SECURITIES CORP.	RESIGNATION
30-Apr-22	OLBES	EDUARDO M.	CHAIRMAN/ NOMINEE	-	SB EQUITIES, INC.	RESIGNATION
29-Apr-22	MARQUEZ	ALYSSA ROSE V.	SETTLEMENTS ASSOCIATE	SETTLEMENTS OFFICER	CLSA PHILIPPINES, INC.	RE-DESIGNATION
29-Apr-22	AVILA	JED JAENA A.	CUSTOMER SUPPORT ASSOCIATE	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (cleared)
28-Apr-22	RAMOS	DIOSCORO I.	-	INDEPENDENT DIRECTOR	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
28-Apr-22	CAHIGAS	MICHAEL R.	-	RISK OFFICER & DATA PRIVACY OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
28-Apr-22	DAVID	IRENE O.	-	COMPLIANCE OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
28-Apr-22	BUENAVENTURA	JOSE T.	INDEPENDENT DIRECTOR	-	BDO SECURITIES CORPORATION	RESIGNATION
28-Apr-22	ABRAHAM	MARIA THERESA I.	ASSOCIATED PERSON/ COMPLIANCE OFFICER	ASSOCIATED PERSON	BDO SECURITIES CORPORATION	RE-DESIGNATION
28-Apr-22	SAMPAYO	NILO C.	ASSOCIATED PERSON/ COMPLIANCE OFFICER	ALTERNATE ASSOCIATED PERSON	BDO SECURITIES CORPORATION	RE-DESIGNATION
27-Apr-22	TINIMBANG	LORIS C.	MARKETING RELATIONSHIP OFFICER	CUSTOMER SUPPORT OFFICER	BDO SECURITIES CORPORATION	RE-DESIGNATION
27-Apr-22	VILLARUBIA	RAMON RABINNI P.	CUSTOMER SUPPORT OFFICER	OPERATIONS OFFICER	BDO SECURITIES CORPORATION	RE-DESIGNATION

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26-Apr-22	RAYNES	LANI ROSE A.	INSTITUTIONAL SALES SUPPORT/ ADMIN	SALES SUPPORT OFFICER	SB EQUITIES, INC.	RE-DESIGNATION
26-Apr-22	AGUILAR	CARMEN JANLEI B.	INSTITUTIONAL SALES SUPPORT/ ADMIN	SALES SUPPORT OFFICER	SB EQUITIES, INC.	RE-DESIGNATION
26-Apr-22	CANELA	NATALIE JOY F.	INSTITUTIONAL SALES SUPPORT/ ADMIN	SALES SUPPORT OFFICER	SB EQUITIES, INC.	RE-DESIGNATION
26-Apr-22	CASTILLO	MARISSA S.	TRADER/ ENCODER	TRADING ASSISTANT	SB EQUITIES, INC.	RE-DESIGNATION
26-Apr-22	MIJARES	DIANALYN P.	MIDDLE OFFICE ANALYST	OPERATION SPECIALIST	SB EQUITIES, INC.	RE-DESIGNATION
26-Apr-22	LEGASPI	RAQUEL A.	MIDDLE OFFICE MANAGER	ADMIN AND OPERATION SUPPORT HEAD	SB EQUITIES, INC.	RE-DESIGNATION
26-Apr-22	LOPEZ	ROWENA P.	SETTLEMENT ASSISTANT	SETTLEMENT SPECIALIST	SB EQUITIES, INC.	RE-DESIGNATION
26-Apr-22	CLAVINEZ	MA. ANDREA CLAUDETTE C.	SECURITIES SETTLEMENT OFFICER	SETTLEMENT SPECIALIST	SB EQUITIES, INC.	RE-DESIGNATION
26-Apr-22	COLLADO	MARK JASON R.	COLLECTION AND DISPATCH	COLLECTION AND DISPATCH COORDINATOR	SB EQUITIES, INC.	RE-DESIGNATION
25-Apr-22	RANIS	MARIA PERPETUA M.	TRADER/SALESMAN	-	SECURITIES SPECIALISTS, INC.	UPDATE: RESIGNATION (cleared)
25-Apr-22	MONTEROYO	MIGUEL D.	TREASURY ASSISTANT	-	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	RESIGNATION (clearance under
22-Apr-22	ALVAREZ	RAYMOND CHER L.	CASH SETTLEMENT CLERK	-	GUILD SECURITIES, INC.	RESIGNATION (no clearance required)
21-Apr-22	BONGAR	CHELLISA MARI D.	GRAPHIC DESIGN ASSOCIATE	-	UNICAPITAL SECURITIES, INC.	RESIGNATION
18-Apr-22	GUEVARRA	RAFAEL DOMINIC B.	-	TRADING ASSISTANT	MERCANTILE SECURITIES CORPORATION	NEW EMPLOYMENT
18-Apr-22	FAVILA	CHLOIE JUSTINE A.	-	ASSOCIATE RELATION MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT

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15-Apr-22	LEE	KAREN F.	DEALER/TRADER	-	SALISBURY BKT SECURITIES CORPORATION	RESIGNATION
11-Apr-22	FORMALES	MINNIE I.	VICE PRESIDENT, GROUP COMPLIANCE HEAD, ASSOCIATED	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (cleared)
11-Apr-22	FLORENTINO	GERALD O.	-	DIRECTOR	CHINA BANK SECURITIES CORPORATION	NEW EMPLOYMENT
11-Apr-22	FORMALES	MINNIE I.	-	ASSOCIATED PERSON	CHINA BANK SECURITIES CORPORATION	NEW EMPLOYMENT
8-Apr-22	CHAN	CLARISSE C.	-	ASST. COMPLIANCE OFFICER	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	NEW EMPLOYMENT
8-Apr-22	CHAN	CLARISSE C.	COMPLIANCE ANALYST	-	CLSA PHILIPPINES, INC.	RESIGNATION (cleared)
1-Apr-22	ESQUIVEL	JANEL INGRID KIERSTEN C.	OPERATIONS- MANAGER	OPERATIONS- SENIOR MANAGER	UNICAPITAL SECURITIES, INC.	RE-DESIGNATION
1-Apr-22	TABIJE	CARINA N.	VICE PRESIDENT, GROUP ACCOUNTING HEAD, ASSOCIATED PERSON-ACCOUNTING	FIRST VICE PRESIDENT, GROUP ACCOUNTING HEAD, ASSOCIATED PERSON	UNICAPITAL SECURITIES, INC.	RE-DESIGNATION
24-Mar-22	CHU	JEANETTE Y.	CORPORATE SECRETARY/TRADER	INTERNAL AUDITOR/CORPORATE SECRETARY/TRADER	NEW WORLD SECURITIES CO., INC.	RE-DESIGNATION