



## MEMORANDUM – 2022-014

**TO :** ALL TRADING PARTICIPANTS

**SUBJECT :** 2022 TRADING PARTICIPANTS' SEMINAR

**DATE :** 18 AUGUST 2022

The Capital Markets Integrity Corporation has organized a virtual seminar for Trading Participants (TPs) to be held on **7 - 9 September 2022** starting at **3:00 PM**. The seminar will cover the following topics:


- Updates on Anti-Money Laundering Act ("AMLA")
- Frequently Violated Rules and Common Audit Findings
- Update on Trading Rules
- Market Red Flags

Registration details shall be subsequently announced.

Moreover, regarding the AMLA topic, CMIC requests you to send in questions related to the said topic to [info@cmic.com.ph](mailto:info@cmic.com.ph). The questions submitted will enable the designated speaker to determine which provisions of AMLA to focus on.

The deadline for sending the questions is on 25 August 2022.

Thank you.

  
**DAISY P. ARCE**  
*President*