



# MEMORANDUM – 2022-019

**To** : **All Trading Participants**

**Subject** : **Employee Movement**

**Date** : **07 October 2022**

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Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 30 September 2022.

For information and guidance.

*(original signed)*

**DAISY P. ARCE**

*President*

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DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
1-Oct-22	ROQUE	RAINSTAR	INSTITUTIONAL SALES TRAINEE	INSTITUTIONAL SALES	BPI SECURITIES CORPORATION	RE-DESIGNATION
28-Sep-22	GARCIA	DIVINE GRACE G.	ASSISTANT TO THE VP OPERATION	-	EASTERN SECURITIES DEVELOPMENT CORPORATION	RESIGNATION (clearance under process)
26-Sep-22	DELOS REYES	PAULA KRISTINE B.	EQUITY INVESTMENT ADVISER/ SALESMAN	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (cleared)
25-Sep-22	GUINTO	BENEDICT ALLAN T.	RESEARCH ANALYST	-	BDO SECURITIES CORPORATION	RESIGNATION
23-Sep-22	BATHAN	BEATRIZ NAOMI B.	EQUITIES DEALER	-	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RESIGNATION (clearance under process)
22-Sep-22	OMEGA	ANALYN B.	SALESMAN / AUTHORIZED TRADER	-	R. COYIUTO SECURITIES, INC.	RESIGNATION (no clearance required)
22-Sep-22	BACANI	EDISON	AUTHORIZED AGENT	-	WEALTH SECURITIES, INC.	DECEASED
21-Sep-22	ESCALONA	JUAN PAOLO E.	-	EQUITIES DEALING ASSOCIATE	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	NEW EMPLOYMENT
19-Sep-22	RODRIGUEZ	MIGUEL JOAQUIN	INSTITUTIONAL DEALER	-	BPI SECURITIES CORPORATION	RESIGNATION
19-Sep-22	VILLAFRANCA	JAN ADRIEL M.	-	FINANCIAL ANALYST	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
19-Sep-22	AGAS	KRYSTEL DEA G.	-	ACCOUNTING ASSISTANT	SALISBURY BKT SECURITIES CORPORATION	NEW EMPLOYMENT
19-Sep-22	NINGALA	VAL CYRUS B.	SALES AGENT	-	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	RESIGNATION (cleared)

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
16-Sep-22	WHALLEY	MARTIN ANSEL	-	PRODUCT & BUSINESS DEVELOPMENT OFFICER	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
16-Sep-22	TEODORO	RICAMAYE	CLIENT RELATIONS ASSOCIATE	-	BPI SECURITIES CORPORATION	RESIGNATION
16-Sep-22	TORRES	ANGELO ANTONIO	HEAD - RESEARCH	-	BPI SECURITIES CORPORATION	RESIGNATION
16-Sep-22	PENARANDA	CHRISTINE GAYLE A.	-	MARKETING MANAGER	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
16-Sep-22	REYES	CLARISSA D.	-	OPERATIONS ASSISTANT	CHINA BANK SECURITIES CORPORATION	NEW EMPLOYMENT
16-Sep-22	BELLEZA	GERALDINE E.	SETTLEMENT STAFF	-	GLOBALINKS SECURITIES & STOCKS, INC.	RESIGNATION
16-Sep-22	DE GUZMAN	AIVY FAYE C.	ADMINISTRATOR	-	CLSA PHILIPPINES, INC.	RESIGNATION (clearance under process)
15-Sep-22	DELA CRUZ	ABIGAIL B.	-	HR-CSG HEAD	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
12-Sep-22	ESTOMATA	RENEE ALYSSON O.	-	SALES ASSISTANT	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
12-Sep-22	NATANGCOP	RASMIA D.	-	CLERK	S. J. ROXAS & COMPANY, INC.	NEW EMPLOYMENT
10-Sep-22	MAYO	MUTYA A.	SETTLEMENT STAFF	-	MERCANTILE SECURITIES, CORPORATION	RESIGNATION
10-Sep-22	TORRES	RINAMIE S.	BROKERAGE CLERK	-	IMPERIAL, DE GUZMAN, ABALOS & CO., INC.	RESIGNATION
9-Sep-22	TRAIFALGAR	ERICA T.	-	ADMINISTRATOR	CLSA PHILIPPINES, INC.	NEW EMPLOYMENT
9-Sep-22	RENON	BIANCA MAE R.	IT ASSISTANT	-	WEALTH SECURITIES, INC.	RESIGNATION

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
8-Sep-22	TAN	JAN MICHAEL ELDRICH A.	SALESMAN	SALESMAN/ TRADER	MERCANTILE SECURITIES, CORPORATION	RE-DESIGNATION
8-Sep-22	GUEVARRA	RAFAEL DOMINIC B.	SALESMAN	SALESMAN/ TRADER	MERCANTILE SECURITIES, CORPORATION	RE-DESIGNATION
7-Sep-22	SANTOS	SARAH NICOLE T.	AGENT (SALESMAN)	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (clearance under process)
6-Sep-22	PEDRO	RAUL MARTIN A.	CHAIRMAN	CHAIRMAN/ NOMINEE	SB EQUITIES, INC.	RE-DESIGNATION
5-Sep-22	BAILEY	SIMON B.	DIRECTOR/PRESIDENT	DIRECTOR/PRESIDENT & NOMINEE	SALISBURY BKT SECURITIES CORPORATION	RE-DESIGNATION
5-Sep-22	ANGAT	KHRYSTEL JHANE C.	ACCOUNTING CLERK- CONTRACTUAL	TREASURY ASSISTANT	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	RE-DESIGNATION
5-Sep-22	AYAP	MA SHEENA MAE C.	-	OPERATIONS ASSISTANT	CHINA BANK SECURITIES CORPORATION	NEW EMPLOYMENT
5-Sep-22	ESPIRITU	CHARISSE N.	-	SETTLEMENTS OFFICER	CLSA PHILIPPINES, INC.	NEW EMPLOYMENT
5-Sep-22	KOBAYASHI	JANN MICHIKO O.	ASSOCIATE, CUSTOMER SUPPORT ASSOCIATE, SALESMAN	CONSULTANT, SALESMAN	UNICAPITAL SECURITIES, INC.	RE-DESIGNATION
5-Sep-22	ANGELES	LOUISE JUDIELLE R.	-	SALES ASSOCIATE	PAPA SECURITIES CORPORATION	NEW EMPLOYMENT
5-Sep-22	TANALA	ANGELICA P.	-	ACCOUNTING SPECIALIST	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
5-Sep-22	SABROSO	MARK JOSEPH N.	ACCOUNTING SPECIALIST	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (clearance under process)
5-Sep-22	BERMUDEZ	LADY ADELINE R.	-	EQUITY RESEARCH ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
5-Sep-22	PANGATUNGAN	MONNETH M.	-	BUSINESS DEVELOPMENT OFFICER (CEBU)	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
2-Sep-22	DY	ANDRE MIKAEL L.	SALESPERSON	-	CLSA PHILIPPINES, INC.	RESIGNATION (clearance under process)
1-Sep-22	SILVANO	JAYMART RUSELL E.	-	GENERAL CLERK	MERCANTILE SECURITIES, CORPORATION	NEW EMPLOYMENT

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1-Sep-22	PATORARA	ISAAH MARIE P.	OFFICE CLERK	SETTLEMENT STAFF	MERCANTILE SECURITIES, CORPORATION	RE-DESIGNATION
1-Sep-22	CAYAB	MYLENE R.	OPERATIONS ASSISTANT	-	CHINA BANK SECURITIES CORPORATION	RESIGNATION
1-Sep-22	DIMAL	CHRISTIAN DAVE E.	-	JUNIOR SOFTWARE DEVELOPER	DRAGONFI SECURITIES, INC. (formerly TRI-STATE SECURITIES, INC.)	NEW EMPLOYMENT
1-Sep-22	LIM	CECILIA JUNE S.	-	SALESMAN	DRAGONFI SECURITIES, INC. (formerly TRI-STATE SECURITIES, INC.)	NEW EMPLOYMENT
1-Sep-22	TIU	PATRICIA LILEI S.	-	FINANCIAL WRITER	DRAGONFI SECURITIES, INC. (formerly TRI-STATE SECURITIES, INC.)	NEW EMPLOYMENT
1-Sep-22	GUARIN	JAN DERRICK S.	-	RESEARCH ANALYST	CLSA PHILIPPINES, INC.	NEW EMPLOYMENT
1-Sep-22	AMOYEN	LAUREN FAITH G.	-	ACCOUNTING STAFF	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
1-Sep-22	TORREGROSA	OLIVER D.	MESSENGER	-	DA MARKET SECURITIES, INC.	RESIGNATION (cleared)
1-Sep-22	CARLOS	VERONICA RENEE G.	-	RESEARCH ASSISTANT	UBS SECURITIES PHILIPPINES INC.	NEW EMPLOYMENT
1-Sep-22	BACANI	TERINA BEATRICE S.	-	FINANCIAL REPORTING AND TAX SPECIALIST	UBS SECURITIES PHILIPPINES INC.	NEW EMPLOYMENT
1-Sep-22	BRITOS	ABIGAIL T.	SETTLEMENT & PROCESSING ASSISTANT	SETTLEMENT & PROCESSING OFFICER	BDO SECURITIES CORPORATION	RE-DESIGNATION
1-Sep-22	BONDOC	BENJAMIN JOSE B.	RETAIL SALES HEAD	SALES AND MARKETING HEAD	BDO SECURITIES CORPORATION	RE-DESIGNATION
1-Sep-22	BARACHINA	MARIAN S.	-	ONLINE BUSINESS HEAD	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
31-Aug-22	YARTE	GRAZIELI C.	CLERK	-	S. J. ROXAS & COMPANY, INC.	RESIGNATION
31-Aug-22	CERUJANO	JACKIE LOU N.	ACCOUNTING CLERK	-	ALPHA SECURITIES CORPORATION	RESIGNATION (clearance under process)

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26-Aug-22	GORORDO	MARIA KATRINA S.	SALES ASSOCIATE	SALESMAN	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	RE-DESIGNATION
22-Aug-22	MABIOG	MORRIN ABI P.	-	SETTLEMENT ASSISTANT	DA MARKET SECURITIES, INC.	NEW EMPLOYMENT
22-Aug-22	ANDAYA	JOSEPH A.	-	SYSTEM ADMINISTRATOR	WEALTH SECURITIES, INC.	NEW EMPLOYMENT
16-Aug-22	CADAY	JOSE G.	-	MESSENGER	ALAKOR SECURITIES CORPORATION	NEW EMPLOYMENT
16-Aug-22	RAYNES	LANI ROSE A.	-	SALES AGENT TRAINEE	SB EQUITIES, INC.	NEW EMPLOYMENT
16-Aug-22	LIM	RONAL RENZ V.	-	ACCOUNTING OFFICER FINANCIAL CONTROL & ACCOUNTING DIVISION CBC	CHINA BANK SECURITIES CORPORATION	NEW EMPLOYMENT
16-Aug-22	OBISPO	ANALIZA M.	-	ACCOUNTING OFFICER FINANCIAL CONTROL & ACCOUNTING DIVISION CBC	CHINA BANK SECURITIES CORPORATION	NEW EMPLOYMENT
15-Aug-22	WEE	PATRICK Y.	INDEPENDENT DIRECTOR	-	STAR ALLIANCE SECURITIES CORPORATION	DECEASED
15-Aug-22	ILAGAN	MA. RIZALIE L.	OFFICE MANAGER	OPERATIONS AND EXTERNAL COMMUNICATIONS MANAGER	FIRST INTEGRATED CAPITAL SECURITIES, INC.	RE-DESIGNATION
15-Aug-22	GAUCE	PETRONILO L.	SETTLEMENT CLERK	STOCK CUSTODIAN/ DPO/ SETTLEMENT CLERK	FIRST INTEGRATED CAPITAL SECURITIES, INC.	RE-DESIGNATION
15-Aug-22	RAMIREZ	REUWELL A.	FIELD REPRESENTATIVE	OFFICE CLERK/ FIELD REPRESENTATIVE	FIRST INTEGRATED CAPITAL SECURITIES, INC.	RE-DESIGNATION
15-Aug-22	SALAMERA	VERNICE A.	TRADING ASSISTANT	-	MANDARIN SECURITIES CORPORATION	RESIGNATION
15-Aug-22	MATUGUINAS	DONIE D.	-	BANKING OPERATIONS SPECIALIST	CREDIT SUISSE SECURITIES (PHILIPPINES), INC.	NEW EMPLOYMENT

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12-Aug-22	LUGTU	JOHN ANGELO M.	RESEARCH ANALYST	-	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	UPDATE: RESIGNATION (cleared)
9-Aug-22	TURINGAN	MARJORIE V.	CONSULTANT	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (clearance under process)
8-Aug-22	SIA	KELLY H.	INDEPENDENT DIRECTOR	-	R. NUBLA SECURITIES, INC.	RESIGNATION (cleared)
8-Aug-22	ICARANOM	RHODORA G.	-	INDEPENDENT DIRECTOR	R. NUBLA SECURITIES, INC.	NEW EMPLOYMENT
7-Aug-22	MORGADO	ALDEN P.	FINANCE MANAGER	-	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	UPDATE: RESIGNATION (cleared)
5-Aug-22	CONSUNJI	JOELLA MARIE O.	RESEARCH ASSOCIATE	-	PHILIPPINE EQUITY PARTNERS, INC.	RESIGNATION (cleared)
1-Aug-22	ANDRES	JOHN KENNETH O.	ACCOUNTING ASSOCIATE	GENERAL ACCOUNTANT	THE FIRST RESOURCES MGMT. SECURITIES CORP.	RE-DESIGNATION
1-Aug-22	SURIO	EVET E.	-	OFFICE CLERK	STANDARD SECURITIES CORPORATION	NEW EMPLOYMENT