



MEMORANDUM – 2022-020

TO : THE TRADING PARTICIPANTS
SUBJECT : SUBMISSION OF DATA FOR 2023 RISK ASSESSMENT
DATE : 04 November 2022

In connection with the risk assessment of all trading participants (“TP”) relative to the conduct of the 2023 Annual Regulatory Examination by the Capital Markets Integrity Corporation (“CMIC”), the TPs are directed to provide pertinent details in the Internal Control Questionnaire (ICQ) in this link: <https://tinyurl.com/CMICICQ2023>.

The completed ICQ and required documents must be submitted via electronic email to CMIC (acd@cmic.com.ph) not later than **18 November 2022**.

For relevant inquiries, please email acd@cmic.com.ph.

For your compliance.

(originally signed)
DAISY P. ARCE
President

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