



MEMORANDUM – 2022-021

To : **All Trading Participants**

Subject : **Employee Movement**

Date : **04 November 2022**

Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 31 October 2022.

For information and guidance.

(original signed)

DAISY P. ARCE

President

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DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
26-Oct-22	CALMA	CATHERINE JOYCE M.	-	ACCOUNTING STAFF	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
19-Oct-22	DEL ROSARIO	ABIGAIL TINA M.	-	NON-EXECUTIVE DIRECTOR	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	NEW EMPLOYMENT
18-Oct-22	PEÑALBA	IVY CARISSE	COMPLIANCE OFFICER	COMPLIANCE OFFICER AND ASSOCIATED PERSON	BPI SECURITIES CORPORATION	RE-DESIGNATION
17-Oct-22	DE GUZMAN	PATRICK JOHN A.	BACK OFFICE ADMINISTRATOR	-	GUILD SECURITIES, INC.	RESIGNATION
17-Oct-22	ALFONSO	BELMA A.	HEAD, ONLINE TRADING / TRADER	AGENT / SALESMAN	PNB SECURITIES, INC.	RE-DESIGNATION
17-Oct-22	VERGARA	MELVIN ANDREW O.	ASSOCIATED PERSON	-	GUILD SECURITIES, INC.	RESIGNATION (cleared)
17-Oct-22	VALERA	EDUARDO CESAR V.	-	BUSINESS OPERATIONS ASSOCIATE	CHINA BANK SECURITIES CORPORATION	NEW EMPLOYMENT
17-Oct-22	YAMSUAN	LORD ANDRE JAMES N.	-	DIGITAL CHANNELS AND MARKETING ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
15-Oct-22	BOLIMA	ARTHUR V.	SETTLEMENTS ASSISTANT	-	A. T. DE CASTRO SECURITIES CORPORATION	RESIGNATION
15-Oct-22	PEREZ	JENARD MATTHEW G.	SAM - INSTITUTIONAL SALES OFFICER	-	RCBC SECURITIES, INC.	RESIGNATION (clearance under process)
15-Oct-22	ADULA	DAISY F.	ACCOUNT ADMINISTRATOR	-	BDO SECURITIES CORPORATION	RESIGNATION

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13-Oct-22	MAKALINTAL	VALERIE ANDRIE Q.	-	ACCOUNTING ASSISTANT	GUILD SECURITIES, INC.	NEW EMPLOYMENT
13-Oct-22	AFURONG	JUANITO JR. L.	-	COMPLIANCE ASSOCIATE	GUILD SECURITIES, INC.	NEW EMPLOYMENT
12-Oct-22	DE LUNA	MEIRA BEATRIS	RESEARCH ANALYST	INSTITUTIONAL DEALER TRAINEE	BPI SECURITIES CORPORATION	RE-DESIGNATION
10-Oct-22	PATORARA	ISAAH MARIE P.	SETTLEMENT STAFF	ACCOUNTING STAFF	MERCANTILE SECURITIES, CORPORATION	RE-DESIGNATION
10-Oct-22	CARPO	BEVERLY A.	-	SETTLEMENT STAFF	MERCANTILE SECURITIES, CORPORATION	NEW EMPLOYMENT
7-Oct-22	LATUMBO	GERRY C.	LICENSED SALESMAN	-	VENTURE SECURITIES, INC.	RESIGNATION (cleared)
3-Oct-22	CORPUZ	KATRINA ANTONETTE V.	-	EQUITY RESEARCH JUNIOR ASSOCIATE	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
3-Oct-22	NUÑEZ	ANNA NOREEN A.	-	TAX AND ACCOUNTING COMPLIANCE SUPERVISOR	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
3-Oct-22	BUAN	CHRISTINE ANGELOU C.	-	CONTENT WRITER	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
3-Oct-22	JACA	CHRISTIAN ROBIN D.	-	BUSINESS DEVELOPMENT MANAGER	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
3-Oct-22	SAMPAYO	NILO C.	ASSOCIATED PERSON	-	ARMSTRONG SECURITIES, INC.	RESIGNATION

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3-Oct-22	CHUA	JAMES T.	SALESMAN	-	ARMSTRONG SECURITIES, INC.	RESIGNATION
3-Oct-22	NINGALA	VAL CYRUS B.	-	EQUITY SALES TRADER SENIOR ASSOCIATE	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
3-Oct-22	DE VERA	ANGELICA	-	SETTLEMENT STAFF	MERCANTILE SECURITIES, CORPORATION	NEW EMPLOYMENT
3-Oct-22	PEÑA	EIRA DOMINIQUE V.	-	CSR/ INDEPENDENT TRADER	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
3-Oct-22	TIU	JESSIE LEVIE A.	-	TRADING ASSOCIATE	SB EQUITIES, INC.	NEW EMPLOYMENT
3-Oct-22	CHUCAS	MAGNOLIA P.	-	CUSTOMER SUPPORT ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
3-Oct-22	VILOG	PETER C.	-	TRANSACTION SERVICES HEAD	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
1-Oct-22	DEL ROSARIO	QUENNIE ANNE D.	ACCOUNTING SPECIALIST	ACCOUNTING SUPERVISOR	CTS GLOBAL EQUITY GROUP, INC.	RE-DESIGNATION
1-Oct-22	GUINTO	BENEDICT ALLAN	-	RESEARCH ANALYST	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
1-Oct-22	COLLADO	MARK JAYSON R.	COLLECTION AND DISPATCH COORDINATOR	SETTLEMENT SPECIALIST	SB EQUITIES, INC.	RE-DESIGNATION
1-Oct-22	SAMPAYO	NILO C.	ALTERNATE ASSOCIATED PERSON	-	BDO SECURITIES CORPORATION	RESIGNATION

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
30-Sep-22	JACELA	DIONISIO Y.	SALESMAN	-	MERCANTILE SECURITIES, CORPORATION	RESIGNATION
30-Sep-22	DULFO	LITA J.	ASSOCIATED PERSON/ DATA PROTECTION OFFICER	-	WEALTH SECURITIES, INC.	RESIGNATION
30-Sep-22	SANTOS	LAURA D.	RESEARCH ANALYST	-	WEALTH SECURITIES, INC.	RESIGNATION
30-Sep-22	SANTOS	MYLES BENEDICT A.	DEALER	SALESPERSON	CLSA PHILIPPINES, INC.	RE-DESIGNATION
27-Sep-22	CARPO	BEVERLY A.	OPERATIONS ASSISTANT	-	AAA SOUTHEAST EQUITIES, INC.	RESIGNATION (cleared)
23-Sep-22	BATHAN	BEATRIZ NAOMI B.	EQUITIES DEALER	-	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	UPDATE: RESIGNATION (cleared)
22-Sep-22	DELA CRUZ	MARJORIE S.	ASSOCIATED PERSON AND COMPLIANCE & RISK ASSOCIATE	-	CHINA BANK SECURITIES CORPORATION	RESIGNATION
22-Sep-22	DELA CRUZ	MARJORIE S.	-	ASSOCIATED PERSON	ARMSTRONG SECURITIES, INC.	NEW EMPLOYMENT
20-Sep-22	MALINAO	MANUEL ANGELO P.	-	TRADER	ARMSTRONG SECURITIES, INC.	NEW EMPLOYMENT
20-Sep-22	BELLEZA	GERALDINE E.	-	SETTLEMENT OFFICER	ARMSTRONG SECURITIES, INC.	NEW EMPLOYMENT
16-Sep-22	TROPA	BABY LYN	-	OPERATIONS SPECIALIST	SB EQUITIES, INC.	NEW EMPLOYMENT

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16-Sep-22	GARCIA	KERWYN D.	-	CORPORATE SECRETARY	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
15-Sep-22	MARANO	MARGARETH MAY N.	TRADING ASSOCIATE	SALES AND MARKETING ASSOCIATE	CHINA BANK SECURITIES CORPORATION	RE-DESIGNATION
15-Sep-22	SUAREZ	VAL ANTONIO B.	CORPORATE SECRETARY	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (cleared)
8-Sep-22	TOBIAS	DALISAY S.	INDEPENDENT DIRECTOR	-	FIRST INTEGRATED CAPITAL SECURITIES, INC.	DECEASED
8-Sep-22	LORENO	JHON CARLO P.	-	INDEPENDENT DIRECTOR	FIRST INTEGRATED CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
10-Aug-22	ESPINO	MARC CHRISTOPHER J.	RESEARCH ANALYST	-	CLSA PHILIPPINES, INC.	UPDATE: RESIGNATION (cleared)
1-Aug-22	SURIO	EVET E.	-	OFFICE CLERK	STANDARD SECURITIES CORPORATION	NEW EMPLOYMENT
15-Jul-22	HERNANDO	REJIENALLE D.	ASSISTANT VICE PRESIDENT & HEAD OF HUMAN RESOURCES CORPORATE SERVICES GROUP	-	ABACUS SECURITIES CORPORATION	RESIGNATION
4-Jul-22	FAJARDO	BENNETTE EDWARD JOHN G.	RESEARCH ANALYST	-	CLSA PHILIPPINES, INC.	UPDATE: RESIGNATION (cleared)
1-Jul-22	LAGUNDAY	JOVY NICHOLSON M.	SENIOR IT ANALYST	-	CLSA PHILIPPINES, INC.	UPDATE: RESIGNATION (cleared)