



# MEMORANDUM – 2022-022

**To** : **All Trading Participants**

**Subject** : **Employee Movement**

**Date** : **02 December 2022**

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Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 30 November 2022.

For information and guidance.

*(original signed)*

**DAISY P. ARCE**

*President*

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DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
31-Dec-22	BRITO	MA. FRANCESCA JENNINA B.	RESEARCH ASSOCIATE	-	UBS SECURITIES PHILIPPINES INC.	RESIGNATION
30-Nov-22	PAMANI	THELMA S.	TRADER & RESEARCHER	-	WESTLINK GLOBAL EQUITIES, INC.	RESIGNATION (cleared)
28-Nov-22	LEE	ZYRA EVE L.	-	AGENT	ASIASEC EQUITIES, INC.	NEW EMPLOYMENT
28-Nov-22	CANSANA	BALTAZAR S.	-	AGENT	ASIASEC EQUITIES, INC.	NEW EMPLOYMENT
23-Nov-22	LABADDAY	NINA GRACE O.	-	OPERATION ADMIN ASSISTANT - CONTRACTUAL	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	NEW EMPLOYMENT
23-Nov-22	WONG	FRANCIS Y.	TRADER/SALESMAN	-	AP SECURITIES, INC.	RESIGNATION
23-Nov-22	ANDOG	GERARD RENZ R.	-	EQUITY SALES	PHILIPPINE EQUITY PARTNERS, INC.	NEW EMPLOYMENT
21-Nov-22	ANDOG	GERARD RENZ R.	MARKETING ASST/TRADER	-	ASIASEC EQUITIES, INC.	RESIGNATION
19-Nov-22	GO SEE IT	IRENE A.	ACCOUNTING OFFICER, CENTRAL ACCOUNTING DIVISION ("CAD"), CBC	-	CHINA BANK SECURITIES CORPORATION	RESIGNATION (no clearance required)
19-Nov-22	CUYANGOAN	VIVIAN D.	ACCOUNTING OFFICER, CENTRAL ACCOUNTING DIVISION ("CAD"), CBC	-	CHINA BANK SECURITIES CORPORATION	RESIGNATION (no clearance required)
17-Nov-22	CAYABYAB	JESSA S.	-	HR ASSOCIATE	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
17-Nov-22	ANG	CHARMAINE MIKAELA U.	-	SENIOR RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
17-Nov-22	SEÑOREN	JOYCE MAE J.	-	SENIOR RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
17-Nov-22	PACANAN	CARMELA C.	TELEPHONE CLERK	SALESMAN/ TRADER	LUCKY SECURITIES, INC.	RE-DESIGNATION
17-Nov-22	SO	CLYDE JARVIS U.	FINANCIAL ANALYST	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance withheld)
16-Nov-22	SO	CLYDE JARVIS U.	-	FINANCIAL ANALYST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
16-Nov-22	JUANITEZ	ROBEDETTE S.	-	OPERATIONS ASSISTANT	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Nov-22	LIM	BEVERLY Z.	-	ASSOCIATE RM	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Nov-22	MADRID	JONATHAN GABIEL G.	-	RESEARCH ANALYST	PHILIPPINE EQUITY PARTNERS, INC.	NEW EMPLOYMENT
15-Nov-22	SOTELO	JOEANN MAY D.	-	OFFICE CLERK	R.S. LIM & COMPANY, INC.	NEW EMPLOYMENT
15-Nov-22	SEDENIO	CHRISTINE JOY	DIGITAL MARKETING	-	F. YAP SECURITIES, INC.	RESIGNATION (clearance under process)
14-Nov-22	FLORES	CARL ANDREI C.	-	CUSTOMER SUPPORT ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT

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13-Nov-22	DELA PEÑA	MA. CRISTINA T.	TREASURER	-	H.E. BENNETT SECURITIES, INC.	RESIGNATION (no clearance required)
11-Nov-22	TE	ATTY. DORIS S.	CORPORATE SECRETARY	-	LANDBANK SECURITIES, INC. (formerly UCPB SECURITIES, INC.)	RESIGNATION (did not apply for clearance)
11-Nov-22	ADAJAR	ATTY. MELISSA L.	-	CORPORATE SECRETARY	LANDBANK SECURITIES, INC. (formerly UCPB SECURITIES, INC.)	NEW EMPLOYMENT
11-Nov-22	ARCEO	KRISTENE FAYE V.	MARKETING ASSISTANT	-	ABACUS SECURITIES CORPORATION	RESIGNATION
11-Nov-22	ONG	AMOS TIMOTHY B.	-	RESEARCH ANALYST	CLSA PHILIPPINES, INC.	NEW EMPLOYMENT
10-Nov-22	YULO	GLORIA W.	-	CERTIFIED SECURITIES REPRESENTATIVE	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
10-Nov-22	OLASIMAN	CHRISTINE JANE P.	-	ADMINISTRATIVE ASST.	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
10-Nov-22	DEL ROSARIO	ANGELICA GRACE P.	-	ADMINISTRATIVE ASST.	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
10-Nov-22	DE GUZMAN	VINCENT ERIC P.	ASSOCIATE RM	-	BDO SECURITIES CORPORATION	RESIGNATION
8-Nov-22	PADRIGON	AUDREY P.	SECURITIES CUSTODIAN	OPERATIONS OFFICER	BDO SECURITIES CORPORATION	RE-DESIGNATION
7-Nov-22	MAMARAC	MARIA JANET M.	-	ACCOUNTING ASSISTANT	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
7-Nov-22	CRUZ	MARIAH FLORENCE CZARAH P.	-	VP - HEAD OF INSTITUTIONAL SALES	RCBC SECURITIES, INC.	NEW EMPLOYMENT
7-Nov-22	TAN	MERRY JOY F.	CASH MANAGEMENT OFFICER	-	GUILD SECURITIES, INC.	RESIGNATION (clearance under process)
7-Nov-22	PALERO	WILLIAM II C.	-	CASH MANAGEMENT OFFICER	GUILD SECURITIES, INC.	NEW EMPLOYMENT
7-Nov-22	TIBAYAN	ALLYSSA D.	-	ACCOUNTING ASSISTANT	A. T. DE CASTRO SECURITIES CORPORATION	NEW EMPLOYMENT
7-Nov-22	PALABRICA	HEIDI MARIE S.	BUSINESS OPERATIONS ASSOCIATE	-	CHINA BANK SECURITIES CORPORATION	RESIGNATION
7-Nov-22	AGUILUZ XVII	AMABLE MIGUEL AUGUSTO K.	-	ASSOCIATE RM	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
7-Nov-22	TORIO	JOSEPH E.	-	DEPARTMENT HEAD - FUNDS MANAGEMENT	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
3-Nov-22	SALVADOR	BILLY RAY R.	SALESMAN	-	SUNSECURITIES, INC.	RESIGNATION (cleared)
2-Nov-22	BICAR	CARLA MAY S.	-	TREASURY ASSISTANT - CONTRACTUAL	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	NEW EMPLOYMENT
2-Nov-22	FRAC	JOESELYN E.	TRADING FLOOR ASSISTANT	SETTLEMENT OFFICER	SUPREME STOCKBROKERS, INC.	RE-DESIGNATION
2-Nov-22	GARCIA	MARY GRACE A.	SETTLEMENT OFFICER	SALESMAN	SUPREME STOCKBROKERS, INC.	RE-DESIGNATION

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2-Nov-22	ABUHON	FLORIE MAY L.	-	ASSOCIATE RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
2-Nov-22	BRINGINO	JIMMY S.	-	ACCOUNTING ASSOCIATE	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
1-Nov-22	DAYRIT	ROGELIO	-	MESSENGER	ARMSTRONG SECURITIES, INC.	NEW EMPLOYMENT
31-Oct-22	SANTOS	NICOLO R.	EQUITY TRADER	-	THE FIRST RESOURCES MGMT. SECURITIES CORP.	RESIGNATION
31-Oct-22	MAYORES	COLEEN S.	FO-ADMIN ASSISTANT	FO-ACCOUNT OPENING OFFICER	RCBC SECURITIES, INC.	RE-DESIGNATION
31-Oct-22	BANGAYAN	RON BRYAN L.	ACCOUNTING STAFF	CLIENT CARE OFFICER (CCO)	AP SECURITIES, INC.	RE-DESIGNATION
29-Oct-22	SANCHEZ	JADE ALEXIS C.	ACCOUNTING ASSOCIATE	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
29-Oct-22	LIWANAG	MARITHESS D.	CLIENT EXPERIENCE ASSOCIATE	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
29-Oct-22	PINEDA	JOSE MARIANO JR. C.	I.T. SUPPORT	ACTING ASSOCIATED PERSON	GUILD SECURITIES, INC.	RE-DESIGNATION
28-Oct-22	PASCUA	ADAN EVAN O.	VP- GROUP COMPLIANCE OFFICER	-	UNICAPITAL SECURITIES, INC.	RESIGNATION
24-Oct-22	LANTIN	JOBELLE P.	-	CUSTOMER SUPPORT MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT

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24-Oct-22	GIRADO	KEANU G.	-	CUSTOMER SERVICE ASSISTANT	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
24-Oct-22	HABANA	EMMYLOU JOYCE P.	-	SETTLEMENT ASSISTANT	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
20-Oct-22	BATHAN	BEATRIZ NAOMI B.	-	DEALER	CLSA PHILIPPINES, INC.	NEW EMPLOYMENT
17-Oct-22	OLADO	RUTH MARA GRACE S.	-	TREASURY ASSISTANT - CONTRACTUAL	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	NEW EMPLOYMENT
17-Oct-22	AMADO	LORENZO ANGELO D.	-	FINANCIAL MARKETS ASSOCIATE	DRAGONFI SECURITIES, INC. (formerly TRI-STATE SECURITIES, INC.)	NEW EMPLOYMENT
17-Oct-22	ESPARES	NICHOLE ALEXIS M.	-	ACCOUNTING ASSISTANT	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
17-Oct-22	CABUSLAY	MARIA HAIDEE A.	ACCOUNTING ASSOCIATE	-	ABACUS SECURITIES CORPORATION	RESIGNATION
16-Oct-22	MANCERA	MARIA CELINA R.	-	SENIOR RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
15-Oct-22	FORMENTERA	JOE MEL L.	CUSTOMER SERVICE REPRESENTATIVE	-	AB CAPITAL SECURITIES, INC.	RESIGNATION F(clearance under process)
10-Oct-22	FAUSTO	RALPH JONATHAN B.	-	RESEARCH ANALYST	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
10-Oct-22	SAPITAN	KELSEY MAYE S.	-	CUSTOMER SERVICE & PROJECT ASSOCIATE	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT

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7-Oct-22	HOLANDEZ	MARY THERESE CELESTE S.	HUMAN RESOURCES ADMINISTRATOR SERVICES ASSISTANT	-	ABACUS SECURITIES CORPORATION	RESIGNATION
27-Sep-22	SERRANO	CHARESS B.	-	ACCOUNTING ASSISTANT	LUCKY SECURITIES, INC.	NEW EMPLOYMENT
16-Sep-22	DE GUZMAN	AIVY FAYE C.	ADMINISTRATOR	-	CLSA PHILIPPINES, INC.	UPDATE: RESIGNATION (cleared)
2-Sep-22	DY	ANDRE MIKAEL L.	SALESPERSON	-	CLSA PHILIPPINES, INC.	UPDATE: RESIGNATION (cleared)
27-Aug-22	MARQUEZ	ALYSSA ROSE V.	SETTLEMENT OFFICER	-	CLSA PHILIPPINES, INC.	UPDATE: RESIGNATION (cleared)