



# MEMORANDUM – 2023-002

**To** : All Trading Participants

**Subject** : Employee Movement

**Date** : 06 January 2023

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Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 31 December 2022.

For information and guidance.

*(original signed)*  
**DAISY P. ARCE**  
*President*

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DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
31-Dec-22	GALVEZ	VANESSA ELAINE P.	DEPARTMENT HEAD - PRODUCT DEVELOPMENT	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
31-Dec-22	SAMSON	ROBERTO MARCO N.	SECTION HEAD - MINDANAO BUSINESS DEVELOPMENT	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
23-Dec-22	TOLENTINO	MARY ANN A.	-	ASSOCIATED PERSON	GUILD SECURITIES, INC.	NEW EMPLOYMENT
23-Dec-22	MAPILI	RENDELL J.	ACCOUNTANT	-	THE FIRST RESOURCES MGMT. SECURITIES CORP.	RESIGNATION (clearance under process)
19-Dec-22	GAMET	KAREEN C.	-	ACCOUNTING ASSISTANT – CONTRACTUAL	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	NEW EMPLOYMENT
16-Dec-22	LAURENTE	JEAN B.	IT APPLICATIONS DEVELOPMENT ASSISTANT	IT APPLICATIONS DEVELOPMENT SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Dec-22	FREIRES	GOLDA L.	-	RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
15-Dec-22	IMPERIAL	BENJAMIN JORGE S.	FINANCIAL ANALYST	CSR EQUITIES TRADER	CTS GLOBAL EQUITY GROUP, INC.	RE-DESIGNATION
13-Dec-22	MANUEL	ARTURO E. JR.	-	INDEPENDENT DIRECTOR	STRATEGIC EQUITIES CORPORATION	NEW EMPLOYMENT
12-Dec-22	PENALBER	RYAN SALVADO B.	CUSTOMER SUPPORT OFFICER	-	BDO SECURITIES CORPORATION	RESIGNATION
7-Dec-22	PARADIES	ROBERT A.	INDEPENDENT DIRECTOR	-	STRATEGIC EQUITIES CORPORATION	RESIGNATION
3-Dec-22	FUENTES	ERWIN ROMMEL C.	MANAGER - HEAD OF RESEARCH	-	RCBC SECURITIES, INC.	RESIGNATION (clearance under process)
1-Dec-22	DELA CRUZ	RANDEN C.	-	ACCOUNTING ASSISTANT	AP SECURITIES, INC.	NEW EMPLOYMENT
1-Dec-22	BONGALBAL	RENEE A.	-	MESSENGER	AP SECURITIES, INC.	NEW EMPLOYMENT
1-Dec-22	CAASI	FORTUNATO C.	-	MESSENGER	AP SECURITIES, INC.	NEW EMPLOYMENT

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1-Dec-22	PARDILLA	HERBERT T.	-	MESSENGER	AP SECURITIES, INC.	NEW EMPLOYMENT
1-Dec-22	EBONIA	JOY MARIELE	-	OPERATIONS ASSISTANT	CHINA BANK SECURITIES CORPORATION	NEW EMPLOYMENT
1-Dec-22	FONTANILLA	ROSEANNE JELYN D.	TRADER/ PSE FLEXTRADE ENCODER	-	DA MARKET SECURITIES, INC.	RESIGNATION (cleared)
1-Dec-22	ALICER	NISHA MA. NIMFA S.	CHIEF ANALYST, STRATEGIES/ TRADER, PSE FLEXTRADE ENCODER	TRADER, PSE FLEXTRADE ENCODER	DA MARKET SECURITIES, INC.	RE-DESIGNATION
1-Dec-22	RESUTA	MA. BIANCA A.	-	TRADING FLOOR CLERK	F. YAP SECURITIES, INC.	NEW EMPLOYMENT
1-Dec-22	GANNABAN	BRENDA A.	-	JAM - EQUITY RESEARCH ANALYST	RCBC SECURITIES, INC.	NEW EMPLOYMENT
1-Dec-22	LIAO	CHELSEA M.	-	MARKETING MANAGER	DRAGONFI SECURITIES, INC. (formerly TRI-STATE SECURITIES, INC.)	NEW EMPLOYMENT
1-Dec-22	MIRANDA	JANRALD RAE M.	IT APPLICATIONS DEVELOPMENT ASSISTANT	IT APPLICATIONS DEVELOPMENT SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Dec-22	VILORIA	IVAN KRISTOFFER L.	-	RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
1-Dec-22	GUINTO	LICHELE MARIE G.	-	RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
1-Dec-22	ALVARADO	JOHN RENZ S.	JAM-RESEARCH ANALYST	AM-RESEARCH ANALYST	RCBC SECURITIES, INC.	RE-DESIGNATION
1-Dec-22	SIOSON	FAITH DOMINIQUE D.	-	STAFF	CHINA BANK SECURITIES CORPORATION	NEW EMPLOYMENT
1-Dec-22	LIM	RONALD RENZ V.	ACCOUNTING OFFICER	DEPARTMENT HEAD	CHINA BANK SECURITIES CORPORATION	RE-DESIGNATION
1-Dec-22	MORABE	MELVIN Z.	EXECUTIVE ASSISTANT TO THE CEO/FIELD REPRESENTATIVE	GENERAL SERVICES ASSOCIATE	GUILD SECURITIES, INC.	RE-DESIGNATION

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1-Dec-22	DURANA	PRECILITA B.	ACCOUNTING ASSISTANT/OFFICE MANAGER	SECURITIES SETTLEMENT ASSOCIATE	GUILD SECURITIES, INC.	RE-DESIGNATION
1-Dec-22	TAN	LORENS DARIN D.	-	TRAINEE	I. B. GIMENEZ SECURITIES, INC.	NEW EMPLOYMENT
30-Nov-22	FRANDO	MOSES	SALESMAN	-	JSG SECURITIES, INC.	RESIGNATION
29-Nov-22	BAYLOSIS	JOSHUA L.	DATA CONTROLLER	-	ABACUS SECURITIES CORPORATION	RESIGNATION
29-Nov-22	ONITA	MELISSA P.	ACCOUNTING ASSISTANT	-	LUCKY SECURITIES, INC.	RESIGNATION
28-Nov-22	DAZO	RICA U.	-	RISK ADMIN ASSISTANT - CONTRACTUAL	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	NEW EMPLOYMENT
25-Nov-22	ANG	BENEDICT BRYAN V.	TREASURER AND SETTLEMENT HEAD	TREASURER	ASTRA SECURITIES CORPORATION	RE-DESIGNATION
25-Nov-22	VELASCO	MARICEL R.	ACCOUNTANT	SETTLEMENT CLERK	ASTRA SECURITIES CORPORATION	RE-DESIGNATION
21-Nov-22	SIM	JACO L.	ASSISTANT FOR RESEARCH	TRADER/ SALESMAN	EASTERN SECURITIES DEVELOPMENT CORPORATION	RE-DESIGNATION
19-Nov-22	APA-AP	VIVINCIO F.	IT CONSULTANT	-	UPCC SECURITIES CORPORATION	RESIGNATION
16-Nov-22	JALIQUE	JAMMILLE R.	-	COLLECTION AND DISPATCH COORDINATOR	SB EQUITIES, INC.	NEW EMPLOYMENT
16-Nov-22	PULLAN	ROEL B.	-	AP APPLICANT	VALUE QUEST SECURITIES CORPORATION	NEW EMPLOYMENT
15-Nov-22	FORMALEJO	TRECCIA MAE L.	OFFICE CLERK	-	MERCANTILE SECURITIES, CORPORATION	RESIGNATION
14-Nov-22	CABANTOG	EDUARDO D.L.	DIRECTOR/ CHAIRMAN	DIRECTOR/ CHAIRMAN/ PRESIDENT	GLOBALINKS SECURITIES & STOCKS, INC.	RE-DESIGNATION
12-Nov-22	ATIENZA	KEVIN MIKHAIL P.	AP APPLICANT	-	VALUE QUEST SECURITIES CORPORATION	RESIGNATION (cleared)

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
10-Nov-22	AVILA	JUDITH ANNE	CLIENT RELATIONS ASSOCIATE	KYC ASSOCIATE	BPI SECURITIES CORPORATION	RE-DESIGNATION
10-Nov-22	BANCHIRAN	ELLIJAH MAE	KYC SPECIALIST	RISK SPECIALIST	BPI SECURITIES CORPORATION	RE-DESIGNATION
10-Nov-22	ASI	PAMELA MAE	SECURITIES SETTLEMENT SPECIALIST	SECURITIES SETTLEMENT OFFICER	BPI SECURITIES CORPORATION	RE-DESIGNATION
10-Nov-22	DELA CRUZ	EMELINE	SECURITIES SETTLEMENT SPECIALIST	SECURITIES SETTLEMENT OFFICER	BPI SECURITIES CORPORATION	RE-DESIGNATION
10-Nov-22	REYES-TORRES	RENEEANN MITZ	CASH SETTLEMENT SPECIALIST	CASH SETTLEMENT OFFICER	BPI SECURITIES CORPORATION	RE-DESIGNATION
9-Nov-22	DARAOAY	ADLAE G.	-	ACCOUNTING ASSOCIATE	THE FIRST RESOURCES MGMT. SECURITIES CORP.	NEW EMPLOYMENT
7-Nov-22	BALDERAMA	KEVIN FRANCIS B.	-	MESSENGER - PROJECT-BASED	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
4-Nov-22	APA-AP	VIVINCIO F.	-	IT CONSULTANT	UPCC SECURITIES CORPORATION	NEW EMPLOYMENT
3-Nov-22	YNARES	MARIE NOELLE U.	-	TEAM HEAD	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
2-Nov-22	TANG	JOSEPH SAMUEL H.	-	CONSULTANT	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
2-Nov-22	MACEREN	IVAN MAXWELL P.	-	ASSOCIATE RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
28-Oct-22	PASCUA	ADAN EVAN O.	VP - GROUP COMPLIANCE OFFICER	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (cleared)
7-Oct-22	FAUSTO	RALPH JONATHAN B.	EQUITY RESEARCH ANALYST	-	UNICAPITAL SECURITIES, INC.	RESIGNATION
22-Sep-22	DE LA CRUZ	MARJORIE	ASSOCIATED PERSON AND COMPLIANCE AND RISK ASSOCIATE	-	CHINA BANK SECURITIES CORPORATION	UPDATE: RESIGNATION (cleared)