



MEMORANDUM – 2023-004

To : **All Trading Participants**

Subject : **Employee Movement**

Date : **03 March 2023**

Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 28 February 2023.

For information and guidance.

(original signed)
DAISY P. ARCE
President

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DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
4-Mar-23	ANGAT	KHRYSTEL JHANE C.	TREASURY ASSISTANT	-	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	RESIGNATION (cleared)
1-Mar-23	KUA	REANA JEAN	ACCOUNTING ASSOCIATE	-	BPI SECURITIES CORPORATION	RESIGNATION
28-Feb-23	CAPINPIN	RONALYN A.	OFFICE ASSISTANT	-	PREMIUM SECURITIES, INC.	RESIGNATION
23-Feb-23	DE LUNA	MEIRA BEATRIS	INSTITUTIONAL DEALER TRAINEE	INSTITUTIONAL DEALER	BPI SECURITIES CORPORATION	RE-DESIGNATION
22-Feb-23	QUERIJERO	KATHLEEN ALEXIS L.	CUSTOMER SERVICE ASSOCIATE	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
20-Feb-23	FELICILDA	HERMI T.	-	TRADING ASST	ASIASEC EQUITIES, INC.	NEW EMPLOYMENT
20-Feb-23	MADRINIAN	CAMILLE R.	-	USI OPERATIONS ASSOCIATE	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
18-Feb-23	BANDAO	MICHELLE B.	HR SPECIALIST	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
17-Feb-23	SAMBILLO	MA. MARGARET L.	ASSOCIATE - DELIVERY DISPATCH ASSOCIATE	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (cleared)
16-Feb-23	ROQUE-OLSON	MARIBEL D.	SALES ASSOCIATE	EVENTS ASSOCIATE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Feb-23	INOSANTE	ALYZA P.	SALES ASSOCIATE	DIGITAL SALES ASSOCIATE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Feb-23	YCO	KRISTINE DIANE M.	CSR	INSTITUTIONAL EQUITY SALES	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Feb-23	ARANDA	AILEEN GERALDINE S.	SENIOR EQUITY INVESTMENTS ADVISOR, PRIVATE CLIENTS GROUP	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)

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15-Feb-23	VILLANUEVA	KATRINA D.	ACCOUNTING STAFF	-	SUNSECURITIES, INC.	RESIGNATION (cleared)
15-Feb-23	LINTUAN	GINALYN B.	-	OFFICE CLERK	PREMIUM SECURITIES, INC.	NEW EMPLOYMENT
15-Feb-23	TE	JOHN VICTOR C.	ASSOCIATE DIRECTOR, RESEARCH ANALYST	DIRECTOR, RESEARCH ANALYST	UBS SECURITIES PHILIPPINES INC.	RE-DESIGNATION
15-Feb-23	ABANES	MARIA ALPHECCA T.	AUTHORIZED OFFICER, OPERATIONS ANALYST	ASSOCIATE DIRECTOR, OPERATIONS ANALYST	UBS SECURITIES PHILIPPINES INC.	RE-DESIGNATION
15-Feb-23	ATAY	CRISTYBETH D.	FINANCIAL ACCOUNTANT	AUTHORIZED OFFICER, FINANCIAL ACCOUNTANT	UBS SECURITIES PHILIPPINES INC.	RE-DESIGNATION
13-Feb-23	LACONSAY	PAUL JOSEPH O.	FINANCIAL ANALYST	CSR EQUITIES TRADER	CTS GLOBAL EQUITY GROUP, INC.	RE-DESIGNATION
10-Feb-23	LINTUCO	GLORY G.	ASSOCIATE	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (cleared)
10-Feb-23	FELICILDA	HERMI T.	SECURITIES SETTLEMENT STAFF	-	ABACUS SECURITIES CORPORATION	RESIGNATION
9-Feb-23	ZAMORA	ARVIN G.	-	OPERATIONS SUPPORT - ASSOCIATE (OUTSOURCED)	J. P. MORGAN SECURITIES PHILIPPINES, INC.	NEW EMPLOYMENT
9-Feb-23	CABOCHENG	JANET G.	-	TRADER/SALESMAN	HDI SECURITIES, INC.	NEW EMPLOYMENT
8-Feb-23	ONG	ANNE MARGARETTE V.	-	INSTITUTIONAL BUSINESS GROUP ASSOCIATE	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
8-Feb-23	DEL ROSARIO	JORGE F. JR.	-	RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
8-Feb-23	GOBALIZA	JONATHAN V.	COMPANY DRIVER	-	PREMIUM SECURITIES, INC.	RESIGNATION

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7-Feb-23	PEDRO	ANTHONY C.	-	APPLICATION SUPPORT - ASSOCIATE (OUTSOURCED)	J. P. MORGAN SECURITIES PHILIPPINES, INC.	NEW EMPLOYMENT
7-Feb-23	ALVARADO	JEFFERSON G.	-	APPLICATION SUPPORT - ASSOCIATE (OUTSOURCED)	J. P. MORGAN SECURITIES PHILIPPINES, INC.	NEW EMPLOYMENT
7-Feb-23	SANTOS	RON V.	-	TECHNOLOGY OPERATION SUPPORT - ASSOCIATE (OUTSOURCED)	J. P. MORGAN SECURITIES PHILIPPINES, INC.	NEW EMPLOYMENT
7-Feb-23	MAGPANTAY	GIM PAUL D.	-	TECHNOLOGY OPERATIONS SUPPORT – REGIONAL (OUTSOURCED)	J. P. MORGAN SECURITIES PHILIPPINES, INC.	NEW EMPLOYMENT
7-Feb-23	NACARIO	ANGELO C.	-	MESSENGER	MERCANTILE SECURITIES CORPORATION	NEW EMPLOYMENT
6-Feb-23	TANG	JOSEPH SAMUEL H.	CONSULTANT	SALESMAN	AB CAPITAL SECURITIES, INC.	RE-DESIGNATION
2-Feb-23	TADILI	JERMAINE D.	-	OPERATIONS SUPPORT - VICE PRESIDENT (OUTSOURCED)	J. P. MORGAN SECURITIES PHILIPPINES, INC.	NEW EMPLOYMENT
2-Feb-23	CABRAL	LINDA Y.	-	OPERATIONS SUPPORT - ASSOCIATE (OUTSOURCED)	J. P. MORGAN SECURITIES PHILIPPINES, INC.	NEW EMPLOYMENT
2-Feb-23	CAPULE	DANICA EUGENE F.	-	OPERATIONS SUPPORT - ASSOCIATE (OUTSOURCED)	J. P. MORGAN SECURITIES PHILIPPINES, INC.	NEW EMPLOYMENT
2-Feb-23	VALDEZ	JOHN SANTINI G.	-	OPERATIONS SUPPORT - ANALYST (OUTSOURCED)	J. P. MORGAN SECURITIES PHILIPPINES, INC.	NEW EMPLOYMENT
2-Feb-23	JAIN	DEEPAK	-	OPERATIONS SUPPORT - VICE PRESIDENT (OUTSOURCED)	J. P. MORGAN SECURITIES PHILIPPINES, INC.	NEW EMPLOYMENT
2-Feb-23	MILO	MA. MELISSA JANET B.	-	COMPLIANCE SUPPORT - VICE PRESIDENT (OUTSOURCED)	J. P. MORGAN SECURITIES PHILIPPINES, INC.	NEW EMPLOYMENT

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2-Feb-23	BANQUE	SHARMAINE LOUIS T.	RELATIONSHIP MANAGER	-	BDO SECURITIES CORPORATION	RESIGNATION
1-Feb-23	BANDAO	MICHELLE B.	-	HR SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
1-Feb-23	ROXAS	GILBERT M.	MESSENGER	-	MERCANTILE SECURITIES CORPORATION	RESIGNATION
1-Feb-23	SIPE	JOVITA M.	-	CUSTODY AND NOMINEE SERVICES STAFF	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
1-Feb-23	ROSALES	RANDOLF JING C.	-	ADMIN AND HR ASSISTANT	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
1-Feb-23	CAYABAN	MARK DOMINICK L.	SENIOR COMPLIANCE ANALYST	-	CLSA PHILIPPINES, INC.	RESIGNATION (cleared)
1-Feb-23	TOLENTINO	JESSA LOU M.	COMPLIANCE ASSISTANT	COMPLIANCE SPECIALIST/RISK ANALYST	RCBC SECURITIES, INC.	RE-DESIGNATION
1-Feb-23	GENUINO	MIGUEL EMMANUEL G.	RESEARCH ASSOCIATE	EQUITY ANALYST	PAPA SECURITIES CORPORATION	RE-DESIGNATION
1-Feb-23	OLIVEROS	ANDREA MARIELLE P.	RESEARCH ASSOCIATE	EQUITY ANALYST	PAPA SECURITIES CORPORATION	RE-DESIGNATION
1-Feb-23	RODRIGUEZ	CHRISTELLE LOUISE B.	-	DISBURSEMENT OFFICER	GOLDEN TOWER SECURITIES & HOLDINGS, INC.	NEW EMPLOYMENT
1-Feb-23	ALVAREZ	JULIE ANN JULIANA E.	SENIOR OPERATIONS OFFICER	OPERATIONS MANAGER	THE FIRST RESOURCES MANAGEMENT AND SECURITIES CORPORATION	RE-DESIGNATION
1-Feb-23	MIRANDA	MARK LESTER B.	-	USI OPERATIONS ASSOCIATE	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
1-Feb-23	BASIJAN	VIRGILIO	-	TRADING ASSISTANT	ASIASEC EQUITIES, INC.	NEW EMPLOYMENT
1-Feb-23	BERNARDO	SHELLA A.	-	LICENSED SALESMAN	GLOBALINKS SECURITIES & STOCKS, INC.	NEW EMPLOYMENT

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1-Feb-23	NG	MALCOLM Y.	-	MANAGEMENT TRAINEE	HDI SECURITIES, INC.	NEW EMPLOYMENT
1-Feb-23	DE GUZMAN	MA. CRISTINA C.	MARKETING OFFICER	-	BDO SECURITIES CORPORATION	TRANSFER TO BDO UNIBANK
1-Feb-23	SY	DIANNE LORRINA S.	RELATIONSHIP MANAGER	-	BDO SECURITIES CORPORATION	RESIGNATION
1-Feb-23	GATMAYTAN	MARCO SANTINO P.	-	TREASURY ASSOCIATE	LUNA SECURITIES, INC. (formerly VSEC.COM, INC.)	NEW EMPLOYMENT
1-Feb-23	DIAZ	ENRIQUE JAVIER R.	TREASURY ASSOCIATE	PRODUCT HEAD	LUNA SECURITIES, INC. (formerly VSEC.COM, INC.)	RE-DESIGNATION
1-Feb-23	DELA PAZ	KIMBERLY B.	STOCK SETTLEMENTS ASSOCIATE	STOCK SETTLEMENTS OFFICER	LUNA SECURITIES, INC. (formerly VSEC.COM, INC.)	RE-DESIGNATION
1-Feb-23	PASILIAO	JAYVEE R.	SYSTEMS ADMINISTRATOR/SOFTWARE ENGINEER	SYSTEMS ADMINISTRATOR/SENIOR SOFTWARE ENGINEER	LUNA SECURITIES, INC. (formerly VSEC.COM, INC.)	RE-DESIGNATION
1-Feb-23	VALERIO	JENNIFER D.	SETTLEMENTS CLERK/CASH MANAGEMENT OFFICER	ACCOUNTING/CASH SETTLEMENTS OFFICER	LUNA SECURITIES, INC. (formerly VSEC.COM, INC.)	RE-DESIGNATION
1-Feb-23	LIM	JULIA ELAINE S.	GRAPHIC DESIGNER/BRANDING AND CREATIVE ASSISTANT	MARKETING AND BRAND OFFICER	LUNA SECURITIES, INC. (formerly VSEC.COM, INC.)	RE-DESIGNATION
31-Jan-23	SOTELO	JOEANN MAY D.	OFFICE CLERK	-	R.S. LIM & COMPANY, INC.	RESIGNATION
31-Jan-23	TAN	JAN MICHAEL ELDRICH A.	SALESMAN/ TRADER	-	MERCANTILE SECURITIES CORPORATION	RESIGNATION
31-Jan-23	MAMARAC	MARIA JANET M.	ACCOUNTING ASSISTANT	-	ABACUS SECURITIES CORPORATION	RESIGNATION
31-Jan-23	MATUGUINA	VILMA B.	ADMIN - CONSULTANT	-	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	RESIGNATION (clearance under process)

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30-Jan-23	COTONES	EDUARDO A.	-	MESSENGER - CONTRACTUAL	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	NEW EMPLOYMENT
30-Jan-23	ONG	DENY ROSE L.	-	ACCOUNTING MANAGER	DRAGONFI SECURITIES, INC. (formerly TRI-STATE SECURITIES, INC.)	NEW EMPLOYMENT
26-Jan-23	CATALAN	FAITH ANGELIE	-	LICENSED SALESMAN	GLOBALINKS SECURITIES & STOCKS, INC.	NEW EMPLOYMENT
25-Jan-23	FORMENTERA	JASTINE A.	-	JUNIOR SOFTWARE ENGINEER	LUNA SECURITIES, INC. (formerly VSEC.COM, INC.)	NEW EMPLOYMENT
23-Jan-23	FRANCISCO	HARRIET L.	BANKING OPERATIONS SPECIALIST	SPECIALIST REGULATORY REPORTING	CREDIT SUISSE SECURITIES (PHILIPPINES), INC.	RE-DESIGNATION
20-Jan-23	TANGUNAN	ANGELINE R.	ACCOUNTING	-	TRANS-ASIA SECURITIES, INC.	RESIGNATION (no clearance required)
16-Jan-23	QUIZON	G. Y MARS L.	TRANSFER CLERK	-	AAA SOUTHEAST EQUITIES, INC.	RESIGNATION (cleared)
16-Jan-23	TORZAR	ELENO T.	SETTLEMENT HEAD	-	STANDARD SECURITIES CORPORATION	RETIREMENT
13-Jan-23	IGNACIO	EMMANUEL JOSEPH ANTON C.	DIRECTOR	DIRECTOR/SALESMAN	GOLDEN TOWER SECURITIES & HOLDINGS, INC.	RE-DESIGNATION
13-Jan-23	DELOS SANTOS	KERVIN Z.	ASSOCIATE - USI OPERATIONS	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (clearance under process)
13-Jan-23	CAMACHO	ROSALY T.	MANAGER-OPERATIONS	-	ABACUS SECURITIES CORPORATION	RESIGNATION
3-Jan-23	VIADO	ANGEL MIGUEL Y.	-	SVP, HEAD OF FINANCE & TREASURER	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	NEW EMPLOYMENT
24-Dec-22	JIMENEZ	MARA MAE L.	OIC OF FINANCE AND ACTING TREASURER	-	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	UPDATE: RESIGNATION (cleared)