



MEMORANDUM – 2023-011

To : All Trading Participants

Subject : Employee Movement

Date : 02 June 2023

Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 31 May 2023.

For information and guidance.

(original signed)
DAISY P. ARCE
President

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DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
31-May-23	ITCHON	GABRIELLE THERESE A.	DIGITAL CHANNELS AND MARKETING OFFICER	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
29-May-23	MUNSOD	JANA D.	-	GENERAL CLERK	MERCANTILE SECURITIES CORPORATION	NEW EMPLOYMENT
26-May-23	BERNARDINO	SHELLA A.	SALESMAN	-	GLOBALINKS SECURITIES & STOCKS, INC.	RESIGNATION
25-May-23	MACAINAG	MICHAEL M.	SALESMAN	HEAD, RETAIL EQUITIES	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	RE-DESIGNATION
25-May-23	CONDE	MA. CRISTINA M.	HEAD, RETAIL EQUITIES	SALES AGENT	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	RE-DESIGNATION
24-May-23	YEUNG	ERNEST S.	-	DIRECTOR	EASTERN SECURITIES DEVELOPMENT CORPORATION	NEW EMPLOYMENT
21-May-23	SANTOS	CARLA LUISA R.	SALESMAN	-	CREDIT SUISSE SECURITIES (PHILIPPINES), INC.	RESIGNATION (clearance under process)
18-May-23	ALMAZAN	MA. REALIZA P.	-	HEAD - DIGITAL CHANNELS AND MARKETING DEPARTMENT	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
17-May-23	CABURNAY	TIMOTHY GRECO M.	DIGITAL CHANNELS AND MARKETING ASSOCIATE	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
16-May-23	CHUA	KIMBERLY ROSE M.	-	ASSOCIATE RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-May-23	ENGLIS	ARLYN JOY	-	RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-May-23	DAGATAN	ALONNAH JEAN O.	-	ASSOCIATE RALATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-May-23	TAN	AARON VINCENT S.	-	ASSOCIATE RALATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT

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16-May-23	VILLARAZA	KATELYN A.	-	ASSOCIATE RALATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-May-23	ROA	MARIE DOMINIQUE S.	-	RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-May-23	ROTONI	JUNE M.	CUSTOMER SUPPORT ASSOCIATE	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (cleared)
15-May-23	DE JESUS	DRISANA MAIRI S.	JAM-ONLINE MARKETING AND SOCIAL MEDIA OFFICER	JAM - ONLINE MARKETING AND SOCIAL MEDIA OFFICER/ SALES TRAINEE	RCBC SECURITIES, INC.	RE-DESIGNATION
15-May-23	DIAZ	AENEAS ELI S.	-	DIRECTOR	SECURITIES SPECIALISTS, INC.	NEW EMPLOYMENT
15-May-23	VILLEGAS	JAN FREEMAN C.	-	DIRECTOR	SECURITIES SPECIALISTS, INC.	NEW EMPLOYMENT
15-May-23	DATO	IAN NORMAN E.	-	INDEPENDENT DIRECTOR	SECURITIES SPECIALISTS, INC.	NEW EMPLOYMENT
15-May-23	RILLO	MELVIN D.	-	INDEPENDENT DIRECTOR	SECURITIES SPECIALISTS, INC.	NEW EMPLOYMENT
15-May-23	PUNO	RONALDO JOSE M.	DIRECTOR	-	SECURITIES SPECIALISTS, INC.	RESIGNATION (no clearance required)
15-May-23	CANCIO	FRANCISCO V.	DIRECTOR	-	SECURITIES SPECIALISTS, INC.	RESIGNATION (no clearance required)
15-May-23	DIZON	ROSARIO P.	DIRECTOR	-	SECURITIES SPECIALISTS, INC.	RESIGNATION (no clearance required)
15-May-23	REYES	RENE D.	INDEPENDENT DIRECTOR	-	SECURITIES SPECIALISTS, INC.	RESIGNATION (no clearance required)
15-May-23	ESCARO	JOSE EMMANUEL B.	INDEPENDENT DIRECTOR	-	SECURITIES SPECIALISTS, INC.	RESIGNATION (no clearance required)

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15-May-23	ATERRADO	ELOISA ELAINE H.	HR BUSINESS RELATIONSHIP EXECUTIVE	-	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	RESIGNATION (clearance under process)
15-May-23	REYES	MARGARETTE ANNE M.	ACCOUNTING SUPERVISOR	ACCOUNTING ASSISTANT MANAGER	APEX (PHILIPPINES) EQUITIES CORPORATION	RE-DESIGNATION
15-May-23	BERNIGNO	IVIE G.	-	ACCOUNTING SUPERVISOR	APEX (PHILIPPINES) EQUITIES CORPORATION	NEW EMPLOYMENT
15-May-23	COLETA	MA. SHANELL CARLA M.	-	OPERATIONS ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
15-May-23	DYCHIAO	HARVEY LAWRENCE	INDEPENDENT DIRECTOR	-	DRAGONFI SECURITIES, INC. (formerly TRI-STATE SECURITIES, INC.)	RESIGNATION
15-May-23	LIM	DON ALEXANDER	-	INDEPENDENT DIRECTOR	DRAGONFI SECURITIES, INC. (formerly TRI-STATE SECURITIES, INC.)	NEW EMPLOYMENT
15-May-23	ANG	ANNA LORRAINE D.	COMPLIANCE COORDINATOR/DATA PRIVACY OFFICER	OFFICE MANAGER/DATA PRIVACY OFFICER	PAPA SECURITIES CORPORATION	RE-DESIGNATION
15-May-23	SANGA	ELAINE JOY Q.	ACCOUNTING ASSISTANT	ASSOCIATED PERSON	PAPA SECURITIES CORPORATION	RE-DESIGNATION
13-May-23	SARMIENTO	FRANCESCO LORENZO L.	JAM-EQUITIES DEALER	-	RCBC SECURITIES, INC.	RESIGNATION (clearance under process)
13-May-23	DAVID	DALE DENNIS M.	INDEPENDENT DIRECTOR/MEMBER, AUDIT AND COMPLIANCE COMMITTEE	-	DA MARKET SECURITIES, INC.	RESIGNATION (no clearance required)
13-May-23	DAVID- CARLOS	TERESA	-	DIRECTOR/MEMBER, AUDIT & COMPLIANCE COMMITTEE	DA MARKET SECURITIES, INC.	NEW EMPLOYMENT
12-May-23	ONG	CATHERINE L.	SENIOR VICE-PRESIDENT	SVP/CHIEF AUDIT EXECUTIVE	CTS GLOBAL EQUITY GROUP, INC.	RE-DESIGNATION

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12-May-23	PASIGAN	KATHERINE MARIE S.	HEAD - DIGITAL CHANNELS AND MARKETING	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (cleared)
11-May-23	ALONSAGAY	JERRICA D.	-	HR ASSISTANT	EASTERN SECURITIES DEVELOPMENT CORPORATION	NEW EMPLOYMENT
8-May-23	LORENZO	ELOISA B.	ACCOUNTING OFFICER	-	LANDBANK SECURITIES, INC. (formerly UCPB SECURITIES, INC.)	RESIGNATION (did not apply for clearance)
8-May-23	ALIMBUYUGUEN	DES A.	BUSINESS SYSTEMS ANALYST	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
8-May-23	GONDRA	ZARAH ALINE V.	-	EQUITY RESEARCH ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
5-May-23	ESPERE	MARIFE C.	ACCOUNTING STAFF	CERTIFIED SECURITIES REPRESENTATIVE (CSR)	AP SECURITIES, INC.	RE-DESIGNATION
5-May-23	BALATAZO	AMELIA S.	SALESMAN	-	SINCERE SECURITIES CORPORATION	RESIGNATION (cleared)
3-May-23	TABIJE	CARINA N.	FVP, GROUP ACCOUNTING HEAD, ASSOCIATED PERSON	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (clearance under process)
3-May-23	LACSON	ANDREA NICOLE M.	CUSTOMER SERVICE ASSOCIATE	-	ABACUS SECURITIES CORPORATION	RESIGNATION (clearance under process)
2-May-23	IRINCO	ELAH SALVE S.	OFFICE/ ACCOUNTING STAFF	ASSOCIATED PERSON	NEW WORLD SECURITIES CO., INC.	RE-DESIGNATION
2-May-23	TAN	KENDRICK CHARLES S.	TRADING ASSISTANT	SALESMAN/ TRADER	MERCANTILE SECURITIES CORPORATION	RE-DESIGNATION
2-May-23	DALISAY	RECHELLE R.	-	HR BUSINESS RELATIONSHIP EXECUTIVE	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	NEW EMPLOYMENT
2-May-23	BUSTAMANTE	RAPHAEL ANTONIO D.	-	WEALTH TRAINER	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT

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2-May-23	DE LEON	JOSE RAFAEL S.	-	BUSINESS OPERATIONS ASSOCIATE	CHINA BANK SECURITIES CORPORATION	NEW EMPLOYMENT
2-May-23	GALGUERRA	BENSON JACOB G.	-	MARKETING OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
2-May-23	STA. ANA	MICHELLE FAY M.	-	ASSOCIATE RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
2-May-23	SALCEDO	JO ANN V.	-	RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
2-May-23	GOTIANGCO	RICO ANDREI NICOELAI N.	-	RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
2-May-23	QUINTANA	RIZZA MAE S.	TRADE INVOICE STAFF	CUSTODY & NOMINEE SERVICES STAFF	ABACUS SECURITIES CORPORATION	RE-DESIGNATION
2-May-23	GAMMAD	DENNIELLE	TRADE ASSOCIATE (INVOICING)	SECURITIES SETTLEMENT STAFF	ABACUS SECURITIES CORPORATION	RE-DESIGNATION
1-May-23	PEREA	FRANCES BIANNE	SECURITIES SETTLEMENT ASSOCIATE	ACCOUNTING STAFF	BPI SECURITIES CORPORATION	RE-DESIGNATION
1-May-23	DE LEON	MADONNA D.	CLIENT EXPERIENCE MANAGER	CORPORATE ACCOUNTS MANAGER	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
30-Apr-23	FELICILDA	HERMI T.	TRADING ASST	-	ASIASEC EQUITIES, INC.	RESIGNATION (no clearance required)
30-Apr-23	ALCARAZ	VIVIEN	OFFICE CLERK	-	BA SECURITIES, INC.	RESIGNATION
29-Apr-23	MUNSOD	JANA D.	ACCOUNTING CLERK	-	MDR SECURITIES, INC.	RESIGNATION
28-Apr-23	MANALILI	LARISSA V.	-	OPERATION ADMIN ASSISTANT- CONTRACTUAL	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	NEW EMPLOYMENT

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28-Apr-23	PULLAN	ROEL B.	AP APPLICANT	AP APPLICANT AND DATA PROTECTION OFFCER	VALUE QUEST SECURITIES CORPORATION	RE-DESIGNATION
28-Apr-23	BERNARDO	DALE S. L.	-	INDEPENDENT DIRECTORS	STAR ALLIANCE SECURITIES CORP.	NEW EMPLOYMENT
27-Apr-23	OCIER	NANCY N.	DIRECTOR	-	EASTERN SECURITIES DEVELOPMENT CORPORATION	RESIGNATION (cleared)
25-Apr-23	ANDRES	JOHN KENNETH	GENERAL ACCOUNTANT	-	THE FIRST RESOURCES MGMT. SECURITIES CORP.	RESIGNATION (cleared)
24-Apr-23	BRIONES	MA. KAYLA P.	-	FINANCE MANAGER	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	NEW EMPLOYMENT
21-Apr-23	ALCONTIN	JEROME D.	DRIVER/MESSENGER	-	BA SECURITIES, INC.	RESIGNATION (no clearance required)
20-Apr-23	CAÑADA	IRISH C.	ASSOCIATE RELATIONSHIP MANAGER	-	BDO SECURITIES CORPORATION	RESIGNATION
20-Apr-23	TANJUATCO	JOSE S.	INDEPENDENT DIRECTOR	-	BDO SECURITIES CORPORATION	RESIGNATION
20-Apr-23	GABRIEL	JOSE POCHOLO V.	OJT- REASEARCH DEPARTMENT	-	CHINA BANK SECURITIES CORPORATION	RESIGNATION (no clearance required)
19-Apr-23	ESPAÑOL	AZUCENA P.	-	SALESMAN	SINCERE SECURITIES CORPORATION	NEW EMPLOYMENT
17-Apr-23	HENSON	VICENTE PAOLO	-	RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
17-Apr-23	UMALI	KENNESIE T.	-	RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
17-Apr-23	CHUA	TRISTEN LLEWELLYN T.	-	RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT

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16-Apr-23	AGBULOS	RAE DENISE V.	RELATIONSHIP MANAGER	-	BDO SECURITIES CORPORATION	RESIGNATION
16-Apr-23	MEDRANO	CLARIZE MARIE	COMPLIANCE SPECIALIST	OIC-OPERATIONS	ABACUS SECURITIES CORPORATION	RE-DESIGNATION
16-Apr-23	SIPE	JOVITA M.	CUSTODY & NOMINEE SERVICES STAFF	DOCUMENTATION STAFF	ABACUS SECURITIES CORPORATION	RE-DESIGNATION
16-Apr-23	BUAN	CHRISTINE ANGELOU C.	CONTENT WRITER	SEO-CONTENT WRITER	ABACUS SECURITIES CORPORATION	RE-DESIGNATION
11-Apr-23	REYES	JOHN TRISTAN D.	EXECUTIVE VICE PRESIDENT, INSTITUTIONAL SALES	HEAD, INSTITUTIONAL SALES	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	RE-DESIGNATION
11-Apr-23	SEPNIO	ROSE LEAH Y.	SETTLEMENTS ASSISTANT	SETTLEMENTS OFFICER	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	RE-DESIGNATION
11-Apr-23	BELTRAN	KAYLA GWENYTH	-	INTERN, RESEARCH	LUNA SECURITIES, INC. (Formerly: VSEC.COM INC.)	NEW EMPLOYMENT
11-Apr-23	ADRINEDA	SOPHIA P.	-	INTERN, RESEARCH	LUNA SECURITIES, INC. (Formerly: VSEC.COM INC.)	NEW EMPLOYMENT
6-Apr-23	BATHAN	BEATRIZ NAOMI B.	DEALER	-	CLSA PHILIPPINES, INC.	RESIGNATION (clearance under process)
4-Apr-23	TIU	JESSIE LEVIE A.	TRADING ASSOCIATE	-	SB EQUITIES, INC.	RESIGNATION