



# MEMORANDUM – 2023-012

**To** : All Trading Participants

**Subject** : Employee Movement

**Date** : 07 July 2023

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Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 30 June 2023.

For information and guidance.

*(original signed)*  
**DAISY P. ARCE**  
*President*

ACD	FHRAD	IED	SD

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
31-May-23	ITCHON	GABRIELLE THERESE A.	DIGITAL CHANNELS AND MARKETING OFFICER	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
5-Jul-23	ALAMAR	ALYSSA JOI D.	EQUITY RESEARCH ANALYST	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
1-Jul-23	AGUILAR	ROYCE CHRISTOPHER A.	DEPARTMENT HEAD - RETAIL RESEARCH	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
30-Jun-23	TUBICE	MARK BRYAN R.	SALESMAN	-	FIDELITY SECURITIES, INC.	RESIGNATION (clearance under process)
26-Jun-23	DAZO	RICA U.	RISK ADMIN ASSISTANT - CONTRACTUAL	FACILITY COORDINATOR - CONTRACTUAL	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	RE-DESIGNATION
26-Jun-23	TARCENIO	GINA B.	-	GRAPHIC DESIGNER	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
26-Jun-23	BOCO	DIANA E.	-	OPERATIONS ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
26-Jun-23	ALMARIO	JOHN PATRICK S.	-	DIGITAL CHANNELS AND MARKETING OFFICER	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
24-Jun-23	BOCO	DIANA E.	SETTLEMENT CLERK	-	F. YAP SECURITIES, INC.	RESIGNATION (clearance under process)
23-Jun-23	OLARTE	MA. ABBEY GALE C.	SECRETARY TO THE PRESIDENT	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
22-Jun-23	CABUGNASON	ARVIN R.	RESEARCH ANALYST	-	BA SECURITIES, INC.	RESIGNATION (cleared)
21-Jun-23	MENIADO	IVY P.	ADMIN ASSISTANT	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
21-Jun-23	GARDOSE	ZULEIN MAY I.	-	ASSISTANT CORPORATE SECRETARY	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	NEW EMPLOYMENT

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
21-Jun-23	TIU	PATRICIA LILEI S.	MARKETING ASSISTANT	-	DRAGONFI SECURITIES, INC. (formerly TRI-STATE SECURITIES, INC.)	RESIGNATION
19-Jun-23	PABIA	CHARIS K.	-	EXECUTIVE, SETTLEMENTS	MACQUARIE CAPITAL SECURITIES (PHILIPPINES), INC.	NEW EMPLOYMENT
19-Jun-23	BERNABE	LIZA O.	EXECUTIVE, SETTLEMENTS	-	MACQUARIE CAPITAL SECURITIES (PHILIPPINES), INC.	RESIGNATION (cleared)
18-Jun-23	CARBONELL	RONALD ROZZ S.	GENERAL ACCOUNTANT	-	GUILD SECURITIES, INC.	RESIGNATION (clearance under process)
17-Jun-23	KUA	BIANCO DIEGO C.	MARKETING COMMUNICATIONS ASSOCIATE	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
16-Jun-23	NITUMA	ROMNICK R.	-	SYSTEM ADMINISTRATOR	WEALTH SECURITIES, INC.	NEW EMPLOYMENT
16-Jun-23	ALUNAN	ANTONIO R.	DIRECTOR/VICE-CHAIRMAN	DIRECTOR/VICE-CHAIRMAN/ASSISTANT CORPORATE SECRETARY	SALISBURY SECURITIES CORPORATION (Formerly: SALISBURY BKT SECURITIES CORPORATION)	RE-DESIGNATION
16-Jun-23	BERNARDINO	SHELLA A.	-	TRADING ASST.	ASIASEC EQUITIES, INC.	NEW EMPLOYMENT
16-Jun-23	JORGE	CARVIN JUSTINE P.	-	ACCOUNTING ASSOCIATE	PNB SECURITIES, INC.	NEW EMPLOYMENT
16-Jun-23	TAROG	MARCO S.	DEPARTMENT HEAD - PRIVATE CLIENTS	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
15-Jun-23	LEE	REGINE O.	-	INTERN	GOLDSTAR SECURITIES, INC.	NEW EMPLOYMENT
15-Jun-23	MORA	RICHELLE F.	ACCOUNTING OFFICER	ACCOUNTING OFFICER/ALTERNATE ASSOCIATED PERSON	LANDBANK SECURITIES, INC. (formerly UCPB SECURITIES, INC.)	RE-DESIGNATION
15-Jun-23	MADERA	ELENOR F.	-	ADMINISTRATIVE ANALYST	LANDBANK SECURITIES, INC. (formerly UCPB SECURITIES, INC.)	NEW EMPLOYMENT

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
15-Jun-23	MIGUEL	CRISTIAN R.	RESEARCH ANALYST	-	BA SECURITIES, INC.	RESIGNATION (cleared)
13-Jun-23	TAPIA	RYAN MARTIN L.	VICE CHAIRMAN OF THE BOARD	CHAIRMAN OF THE BOARD	CHINA BANK SECURITIES CORPORATION	RE-DESIGNATION
13-Jun-23	COLET	JUAN PAOLO E.	DIRECTOR	VICE- CHAIRMAN OF THE BOARD	CHINA BANK SECURITIES CORPORATION	RE-DESIGNATION
13-Jun-23	UYAN JR.	ROME D.	CHAIRMAN OF THE BOARD	DIRECTOR	CHINA BANK SECURITIES CORPORATION	RE-DESIGNATION
13-Jun-23	DIRAMPATUN	ISNAIRA M.	-	BUSINESS DEVELOPMENT ASSOCIATE - DAVAO	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
9-Jun-23	ROLA	DIONISIA A.	INDEPENDENT DIRECTOR	-	A. T. DE CASTRO SECURITIES CORPORATION	RESIGNATION
9-Jun-23	CATLE	BENJIE A.	-	INDEPENDENT DIRECTOR	A. T. DE CASTRO SECURITIES CORPORATION	NEW EMPLOYMENT
8-Jun-23	LLAGUNO	JONNA C.	-	ASSOCIATED PERSON/COMPLIANCE OFFICER	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
6-Jun-23	ALABASTRO	JAIME LORENZO N.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
6-Jun-23	PEÑA	VINCE GERARD V.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
6-Jun-23	LUNOZA	MICHELLE S.	JUNIOR FRONT END DEVELOPER	-	DRAGONFI SECURITIES, INC. (formerly TRI-STATE SECURITIES, INC.)	RESIGNATION
5-Jun-23	AGALOOS	JOSHKA URIEL G.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
5-Jun-23	BALLAD	BENEDICT L.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
5-Jun-23	BULAONG	STEVEN LEROY M.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
5-Jun-23	CO SAY	MEAGAN ELIZABETH Y.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
5-Jun-23	CRUZ	JAIME GONZALO R.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
5-Jun-23	DIAZ	LORENZO R.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
5-Jun-23	DIDAL	LYNMAR M.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
5-Jun-23	GARCIA	DANIELLE VICTORIA S.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
5-Jun-23	GO	LANCE MACKENZIE S.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
5-Jun-23	GRANDA	ARNOLD JOHN E.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
5-Jun-23	MARQUEZ	PRIME YVETTE E.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
5-Jun-23	MARTINEZ	MIKHAIL RAMIL Z.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
5-Jun-23	PACADA	PERRINE MARI V.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
5-Jun-23	PASCUAL	EDUARD REINALD MIGUEL D.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
5-Jun-23	QUILACIO	JAEDA ERIN A.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT

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5-Jun-23	RAMOS	GABRIEL YOUSEF H.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
5-Jun-23	SAMONTE	KRISTOFER JAN	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
5-Jun-23	SO	KYLE AARON	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
5-Jun-23	TAN	JOAQUIN MARI D.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
5-Jun-23	TAN	JUSTIN RAMSON C.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
5-Jun-23	TEODORO	JUAN ALFONSO G.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
5-Jun-23	TORDILOS	VON RYAN P.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
5-Jun-23	ZAPE	JAY RUEL B.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
5-Jun-23	PARALEON	CARLOS NEIL A.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
5-Jun-23	UY	ETHAN JARED M.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
5-Jun-23	YU	AERYLL KIMMAE T.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
5-Jun-23	SEE	JUSTIN SETH H.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
5-Jun-23	CO	HANS JUSTIN C.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT

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5-Jun-23	GO	PHILIP ALWYNE H	-	BUSINESS SYSTEMS ANALYST	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
1-Jun-23	MABIOG	ALBERTO M.	ONLINE TRADE OFFICER / SALESMAN	TRADER, INSTITUTIONAL SALES / SALESMAN	PNB SECURITIES, INC.	RE-DESIGNATION
1-Jun-23	INOSANTE	ALYZA P.	DIGITAL SALES ASSOCIATE	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
1-Jun-23	YAPANA	ANGELINE JOY D.	ACCOUNTING ASST. SUPERVISOR	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
1-Jun-23	DE VERA	MARIFE C.	-	RISK MANAGEMENT STAFF	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
1-Jun-23	GRAFIL	HANNAH CARMELA P.	-	ACCOUNTING ASSISTANT	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
1-Jun-23	HERRERA	ERIN CLARICE S.	-	TRAINEE TRADER	STANDARD SECURITIES CORPORATION	NEW EMPLOYMENT
1-Jun-23	JULIAN	JEFFREY C.	-	MESSENGER	SOLAR SECURITIES, INC.	NEW EMPLOYMENT
1-Jun-23	SAGAYSAY	DHARWHIN G.	OPERATION SUPPORT	CUSTOMER SERVICE ASSISTANT	AB CAPITAL SECURITIES, INC.	RE-DESIGNATION
1-Jun-23	MAGAN	CINDERELLA D.	CASHIERING STAFF	COMPLIANCE ASSISTANT	AB CAPITAL SECURITIES, INC.	RE-DESIGNATION
1-Jun-23	MARIANO	ROAN GRACE U.	RECON SPECIALIST	ACCOUNTING SPECIALIST	AB CAPITAL SECURITIES, INC.	RE-DESIGNATION
1-Jun-23	DELOS SANTOS	APRILYN F.	-	ACCOUNTING STAFF	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
1-Jun-23	MIEMBRO	MELLEIN KHEINZEN F.	-	RECONCILIATION STAFF	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT

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1-Jun-23	MERCADO	PATRICIA MAE D.	-	ASSOCIATE (OUTSOURCED)	J. P. MORGAN SECURITIES PHILIPPINES, INC.	NEW EMPLOYMENT
1-Jun-23	YANSON	JOHN MATTHEW M.	-	GENERAL ACCOUNTANT	GUILD SECURITIES, INC.	NEW EMPLOYMENT
1-Jun-23	BAYANGOS	ED NICOLE P.	CSR	CERTIFIED EQUITY SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	CO	HOWARD G.	CSR	CERTIFIED EQUITY AND UITF SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	COBARRUBIAS	RALPH J.	EQUITY INVESTMENTS ADVISOR, PRIVATE CLIENTS GROUP	CERTIFIED EQUITY AND UITF SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	COLAYCO	KRISTINE MARIELLE M.	CSR	CERTIFIED EQUITY AND MUTUAL FUND SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	CUAN	ISMAEL T.	CSR	CERTIFIED EQUITY SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	DANDAN	KYLE JOSHUA C.	CSR	CERTIFIED EQUITY SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	DIAZ	BRIAN RICHARD C.	CSR	CERTIFIED EQUITY AND MUTUAL FUND SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	DULALIA	VANNESSA R.	CSR	CERTIFIED EQUITY SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	FAUSTO	JOSE ENRIQUE F.	CERTIFIED SECURITIES REPRESENTATIVE	CERTIFIED MUTUAL FUND SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	FAUSTO	MARTIN JOSEPH F.	CERTIFIED INVESTMENT SOLICITOR	CERTIFIED EQUITY AND FUND SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	FLORES	MATT ELVIS A.	CSR	CERTIFIED EQUITY AND MUTUAL FUND SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION



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1-Jun-23	GONZAGA	LUIS LORENZO III C.	CSR	CERTIFIED EQUITY SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	GUE	DIANA T.	CSR	CERTIFIED EQUITY AND MUTUAL FUND SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	LACUNA	ROCHELLE M.	CSR	CERTIFIED EQUITY AND MUTUAL FUND SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	LAPID	FRANKLIN JOHN B.	CSR	CERTIFIED EQUITY AND FUND SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	LARAYA	MALAYA A.	CSR	CERTIFIED EQUITY AND FUND SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	LAUREL	JOSE ARSENIO S.	EQUITY INVESTMENTS ADVISOR, PRIVATE CLIENTS GROUP	CERTIFIED EQUITY SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	LECAROS	MICHAEL JOSEPH A.	CSR	CERTIFIED EQUITY SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	LEH	CANDICE EMILY L.	SENIOR EQUITY INVESTMENTS ADVISOR, PRIVATE CLIENTS	CERTIFIED EQUITY AND UITF SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	LIM	KRISTELL GALE SEHLENG T.	CSR	CERTIFIED EQUITY AND MUTUAL FUND SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	LUCIANO	JOHN MARTIN L.	CSR	CERTIFIED EQUITY SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	MARIPOSA	MARK JASON C.	CIS	CERTIFIED MUTUAL FUND SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	MATEO	JODELLE C.	CSR	CERTIFIED EQUITY AND MUTUAL FUND SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	MEDINA	JOSE JAVIER K.	CSR	CERTIFIED EQUITY AND MUTUAL FUND SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION

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1-Jun-23	MONTELIBANO	MARK EMMANUEL S.	CSR	CERTIFIED EQUITY AND UITF SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	NGO	VINCENT T.	CSR	CERTIFIED EQUITY SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	OBLEA	STEPHEN S.	CSR	CERTIFIED EQUITY SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	ORTIGAS	XAVIER IGNACIO M.	CSR	CERTIFIED EQUITY AND UITF SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	PAPA	ROSE LUZELLE M.	CSR	CERTIFIED EQUITY SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	PE	MARK ZACHARY W.	CSR	CERTIFIED EQUITY AND FUND SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	RECTO	JOSE MA. S.	CSR	CERTIFIED EQUITY AND FUND SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	REYES	ALFREDO B.	CSR	CERTIFIED EQUITY SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	REYES-LAO	MARC I.	CSR	CERTIFIED EQUITY SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	RODRIGUEZ	JANET R.	CSR/INVESTOR CENTER MANAGER	INVESTOR CENTER MANAGER	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	SANTOS	PATRICK LEE G.	CSR	CERTIFIED EQUITY AND UITF SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	SARMENTA	CELINE F.	CSR	CERTIFIED EQUITY AND FUND SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	SINGSON	JOSEMARIA FEDERICO D.	CERTIFIED SECURITIES REPRESENTATIVE	CERTIFIED EQUITY AND FUND SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION

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1-Jun-23	TAN	ALAN N.	CSR	CERTIFIED EQUITY AND MUTUAL FUND SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	TAN	MYLES JACOB S.	EQUITY INVESTMENTS ADVISOR, PRIVATE CLIENTS GROUP	CERTIFIED EQUITY AND FUND SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	TEO	ELEONORE L.	CSR	CERTIFIED EQUITY SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	TOBIAS	ADRIAN LUIS MA. C.	HEAD, RELATIONSHIP & ADVISORY, PRIVATE CLIENTS GROUP	CERTIFIED EQUITY SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	TSAI	PATRICK VINCENT U.	CSR	CERTIFIED EQUITY SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	VALONES	MARK ROLAND A.	CERTIFIED SECURITIES REPRESENTATIVE	CERTIFIED EQUITY SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	VILLAVER	VENUS E.	CSR	CERTIFIED EQUITY SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	VIÑAS	MICHAEL FRANCIS C.	CSR	CERTIFIED EQUITY SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	YU	RONALD MARTIN L.	CSR	CERTIFIED EQUITY SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	ANTONIO	MARY GRACE ANNE S.	NEW ACCOUNTS SPECIALIST	NEW ACCOUNTS ASSOCIATE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	AVERILLA	RESIQUEL F.	I.T. SPECIALIST	I.T. ASSOCIATE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	BACTUNG	ZYNNCLYRR P.	HR ASSOCIATE	HR ASSISTANT SUPERVISOR	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	BUENDIA	MONICA JOHANN T.	CUSTOMER SERVICE SPECIALIST	CUSTOMER SERVICE ASSOCIATE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION

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1-Jun-23	CALAOR	NYSSA EVITA D.	ADMIN ASSISTANT - IFA	ADMIN SPECIALIST, IFA	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	CANTIMBUHAN	MICHELLE ANNE O.	OPERATIONS MANAGER	OPERATIONS SENIOR MANAGER	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	ENDAYA	JONATHAN BRYAN T.	SALES SENIOR MANAGER	HEAD OF CLIENT ACQUISITION	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	FERNANDEZ	NIKO	IT APPLICATIONS DEVELOPMENT ASSISTANT	IT APPLICATIONS DEVELOPMENT SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	FLORES	CHRISTIAN JAY S.	IT APPLICATIONS DEVELOPMENT SPECIALIST	IT APPLICATIONS DEVELOPMENT ASSOCIATE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	GATDULA	JOANA ROSE E.	OPERATIONS ASSOCIATE	OPERATIONS ASSISTANT SUPERVISOR	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	GUADES	LECILLE G.	BUSINESS DEVELOPMENT ADMIN ASSOCIATE	BUSINESS DEVELOPMENT ADMIN SUPERVISOR	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	ILDEFONSO	JEIMEE G.	OPERATIONS ASSOCIATE	OPERATIONS ASSISTANT SUPERVISOR	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	JIMENO	JILLIAN I.	OPERATIONS SPECIALIST	OPERATIONS ASSOCIATE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	LAGUMAY	HERSHEY S.	OPERATIONS ASSOCIATE	OPERATIONS ASSISTANT SUPERVISOR	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	LEE	KRISTINE S.	CUSTOMER SERVICE SUPERVISOR	CUSTOMER SERVICE OFFICER	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	LOPEZ	ALLYSA MARY G.	ADMIN ASSISTANT, IBG	ADMIN SPECIALIST, IBG	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	MACENAS	MARINEL D.	HR SUPERVISOR	HR OFFICER	COL FINANCIAL GROUP, INC.	RE-DESIGNATION

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1-Jun-23	OJEDA	AARON JACOB D.	I.T. OPERATIONS SPECIALIST	I.T. OPERATIONS ASSOCIATE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	PINEDA	KATHRINA Q.	OPERATIONS SUPERVISOR	OPERATIONS OFFICER	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	PINGUEL	VEIN ALDRIN D.	I.T. ASSOCIATE	I.T. ASSISTANT SUPERVISOR	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	REGIO	CARMI F.	ADMIN SPECIALIST	ADMIN ASSOCIATE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	ROSARIA	SALDIE MARCK D.	I.T. SPECIALIST	I.T. ASSOCIATE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	SALAGUSTE	GLENN ROMMEL H.	I.T. OPERATIONS OFFICER	I.T. OPERATIONS MANAGER	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	SAN MIGUEL	MARY GRACE B.	MARKETING COMMUNICATIONS ASSOCIATE	MARKETING COMMUNICATIONS ASSISTANT SUPERVISOR	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	TOLENTINO	ELIZABETH L.	ADMIN ASSOCIATE, PCG	ADMIN ASSISTANT SUPERVISOR, PCG	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jun-23	TEMBREVILLA	JUSTIN LAWRENCE J.	SR. RESEARCH ANALYST	-	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RESIGNATION (cleared)
1-Jun-23	GUNTING	VIRGILIO O.	MESSENGER / DRIVER	-	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RESIGNATION (cleared)
1-Jun-23	SORIANO	PAMELA BIANCA D.	MANAGER, SALES TRADING	SENIOR MANAGER, SALES TRADING	MACQUARIE CAPITAL SECURITIES (PHILIPPINES), INC.	RE-DESIGNATION
1-Jun-23	JIAO	CHRISTIAN DOMINIC A.	-	MESSENGER	CHINA BANK SECURITIES CORPORATION	NEW EMPLOYMENT
1-Jun-23	BANAWA	MARK JOSEPH	MESSENGER	-	CHINA BANK SECURITIES CORPORATION	RESIGNATION (cleared)

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
1-Jun-23	PAMARES	IVY MAE H.	ACCOUNTING ASSISTANT	ACCOUNTING/SETTLEMENT ASSISTANT	INVESTORS SECURITIES, INC.	RE-DESIGNATION
31-May-23	ABAQUITA	MICAELA GABRIELLE L.	RESEARCH ANALYST	-	CREDIT SUISSE SECURITIES (PHILIPPINES), INC.	RESIGNATION (clearance under process)
31-May-23	REYES	REXON R.	SR. TECH SUPPORT	-	HDI SECURITIES, INC.	RESIGNATION (no clearance required)
31-May-23	BRIONES JR.	DANILO M.	MESSENGER	-	SOLAR SECURITIES, INC.	RESIGNATION (no clearance required)
31-May-23	MANALO	KRISTINE JOY S.	SYSTEMS ANALYST AND PROGRAMER	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (clearance under process)
27-May-23	DALAWANGBAYAN	VHIA D.	ADMIN STAFF CONTRACTUAL	-	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	RESIGNATION (cleared)
26-May-23	NUDALO	MA. BLESS JOY A.	ACCOUNT MANAGER	-	AAA SOUTHEAST EQUITIES, INC.	RESIGNATION (cleared)
26-May-23	ANDAYA	JOSEPH A.	SYSTEM ADMINISTRATOR	-	WEALTH SECURITIES, INC.	RESIGNATION
25-May-23	GRAVADOR	MARYNETTE M.	-	CORPORATE SECRETARY	CHINA BANK SECURITIES CORPORATION	NEW EMPLOYMENT
25-May-23	EXCHAURE	IRIS KATRINE M.	-	ASSISTANT CORPORATE SECRETARY	CHINA BANK SECURITIES CORPORATION	NEW EMPLOYMENT
25-May-23	ALANO	MA. HIDELITA P.	CORPORATE SECRETARY	-	CHINA BANK SECURITIES CORPORATION	RESIGNATION (no clearance required)
25-May-23	EMPAYNADO	BILLY JOE P.	-	IT SUPPORT	HDI SECURITIES, INC.	NEW EMPLOYMENT
25-May-23	TEMPONGKO	RAYMOND VAL M.	CHIEF OPERATING OFFICER	COO AND DPO	CLSA PHILIPPINES, INC.	RE-DESIGNATION

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
22-May-23	CABASAG	HANNA V.	-	ACCOUNT MANAGER	AAA SOUTHEAST EQUITIES, INC.	NEW EMPLOYMENT
22-May-23	BARACHINA	MARIAN S.	ONLINE BUSINESS HEAD, SALESMAN	SALESMAN	AB CAPITAL SECURITIES, INC.	RE-DESIGNATION
15-May-23	CAYABAN	MARK DOMINICK L.	ASSOCIATED PERSON	-	SB EQUITIES, INC.	RESIGNATION
15-May-23	ORTIZ	RALPH RONALD A.	ACCOUNTING ASSISTANT	-	HDI SECURITIES, INC.	RESIGNATION (no clearance required)
4-May-23	MUTYA	MARLON P.	SALES/ AGENT	-	SB EQUITIES, INC.	RESIGNATION
3-May-23	GOMEZ	JOSE LUIS F.	DIRECTOR/ CHAIRMAN	DIRECTOR/ CHAIRMAN/ NOMINEE	RCBC SECURITIES, INC.	RE-DESIGNATION
2-May-23	RODRIGUEZ	CHARLES M.	DIRECTOR	-	SB EQUITIES, INC.	RESIGNATION
2-May-23	PEDRO	RAUL MARTIN A.	CHAIRMAN AND NOMINEE	NOMINEE	SB EQUITIES, INC.	RE-DESIGNATION