



MEMORANDUM – 2023-016

To : All Trading Participants

Subject : Employee Movement

Date : 04 August 2023

Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 31 July 2023.

For information and guidance.

(original signed)
DAISY P. ARCE
President

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DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
26-Jul-23	SEVIDAL	ALFONSO MIGUEL N.	RESEARCH ANALYST	-	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	RESIGNATION (clearance under process)
25-Jul-23	BUCAO	DANNY F.	-	MESSENGER	EASTERN SECURITIES DEVELOPMENT CORPORATION	NEW EMPLOYMENT
24-Jul-23	ESTOMATA	RENEE ALYSSON O.	SALES ASSISTANT	SALESMAN	AB CAPITAL SECURITIES, INC.	RE-DESIGNATION
24-Jul-23	SANTIBAÑEZ	RUTH BEA L.	-	TRADING ASSISTANT	INVESTORS SECURITIES, INC.	NEW EMPLOYMENT
24-Jul-23	LOPEZ	EARL MATTHEW M.	-	RETAIL SALES OFFICER	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	NEW EMPLOYMENT
24-Jul-23	LALIMO	RONALYN JOYCE C.	-	RESEARCH ANALYST	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	NEW EMPLOYMENT
20-Jul-23	CARONAN	BEA KRISTINE N.	CSR/INDEPENDENT TRADER	-	CTS GLOBAL EQUITY GROUP, INC.	RESIGNATION (clearance under process)
17-Jul-23	ANG	FRANCIS ANTHONY M.	-	RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
17-Jul-23	RAMOS	MATIC IRA	-	ASSOCIATE RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
17-Jul-23	DUQUE	JULEANDER V.	-	IT ASSISTANT	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
17-Jul-23	ESCAREZ	CAMILLA S.	-	USI OPERATIONS JUNIOR ASSOCIATE	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
16-Jul-23	PASIGAN	KATHERINE MARIE	-	MARKETING HEAD	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
16-Jul-23	BONITE	CHRISTINE ANTONETTE R.	HR ASSOCIATE	HR OFFICER	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
15-Jul-23	BANOC	ESTRELLA M.	ACCOUNTING CLERK	-	ABACUS SECURITIES CORPORATION	RESIGNATION
15-Jul-23	EMILIO	ANN GLORAINE D.	SALES AND TRADING ASSOCIATE	-	CHINA BANK SECURITIES CORPORATION	RESIGNATION (clearance under process)
15-Jul-23	CRUZ	NICHOLE KATE A.	ACCOUNTING ASSISTANT	-	CTS GLOBAL EQUITY GROUP, INC.	RESIGNATION (clearance under process)
15-Jul-23	DANS	EDWIN OLIVER R.	MESSENGER	-	EASTERN SECURITIES DEVELOPMENT CORPORATION	RESIGNATION (cleared)
15-Jul-23	SANCHEZ	JOY HILLEVI C.	ASSOCIATED PERSON	-	GOLDEN TOWER SECURITIES HOLDINGS, INC.	RESIGNATION (cleared)
14-Jul-23	BUENAVISTA	GLEN D.	IT TECHNICAL SUPPORT	TRADER / DEALER	SALISBURY SECURITIES CORPORATION (Formerly: SALISBURY BKT SECURITIES CORPORATION)	RE-DESIGNATION
14-Jul-23	GAOIRAN	GENEVA CLAIRE O.	ASSOCIATED PERSON	-	THE FIRST RESOURCES MGMT. SECURITIES CORP.	RESIGNATION (without clearance)
13-Jul-23	DE JESUS	DRISANA MAIRI S.	JAM - ONLINE MARKETING AND SOCIAL MEDIA OFFICER/ SALES TRAINEE	JAM - SALES TRAINEE	RCBC SECURITIES, INC.	RE-DESIGNATION
13-Jul-23	ALETA	HENRY E.	-	DRIVER	VC SECURITIES CORPORATION	NEW EMPLOYMENT
10-Jul-23	ADLAWAN	MARIA LOURDES P.	-	ASSOCIATE RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
10-Jul-23	PINEDA	KAYE ANN GRACE D.	-	HR ASSISTANT	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
10-Jul-23	ROBLES	KAREN JOY D.	-	ACCOUNTING STAFF	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT

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10-Jul-23	PESCOSO	MA. JENELYN	QA ENGINEER	-	DRAGONFI SECURITIES, INC. (formerly TRI STATE SECURITIES, INC.)	RESIGNATION
10-Jul-23	CHUA	JOHN EMMANUEL L.	-	MULTIMEDIA DESIGNER	EASTERN SECURITIES DEVELOPMENT CORPORATION	NEW EMPLOYMENT
10-Jul-23	COLETA	MA. SHANELL CARLA M.	OPERATIONS ASSOCIATE - SECURITIES SETTLEMENT , CLEARING, AND CUSTODIAN	OPERATIONS ASSOCIATE - EXPANDED SERVICES	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
10-Jul-23	PERA	DENISE MIKKA A.	OPERATIONS ASSOCIATE - EXPANDED SERVICES	RELATIONSHIP OFFICER	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
10-Jul-23	MANISAN	KERWIN BONN S.	-	TRADING ASSISTANT	MERCANTILE SECURITIES, CORPORATION	NEW EMPLOYMENT
7-Jul-23	SILVANO	JAYMART RUSELL E.	GENERAL CLERK	-	MERCANTILE SECURITIES, CORPORATION	RESIGNATION
7-Jul-23	BANSON	ANTONIO LUIS D.	SALES TRADER	-	REGIS PARTNERS, INC.	RESIGNATION (cleared)
7-Jul-23	CHAN	ATTY. DENNIS ARVIN L.	ASSISTANT CORPORATE SECRETARY	-	SARANGANI SECURITIES, INC.	RESIGNATION
7-Jul-23	BAUTISTA	MARIA ANGELICA L.	SENIOR ASSOCIATE - USI	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (cleared)
6-Jul-23	DE HONOR	SARAH RHEA B.	-	CAIF ASSISTANT	EASTERN SECURITIES DEVELOPMENT CORPORATION	NEW EMPLOYMENT
6-Jul-23	GAMET	KAREEN C.	ACCOUNTING ASSISTANT - CONTRACTUAL	-	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	RESIGNATION (cleared)
5-Jul-23	ONG	DENY ROSE	ACCOUNTING MANAGER	-	DRAGONFI SECURITIES, INC. (formerly TRI STATE SECURITIES, INC.)	RESIGNATION
5-Jul-23	ULANGCA	THAMARA C.	USI OPERATIONS ASSOCIATE	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (cleared)

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4-Jul-23	DELLOSA	RYAN B.	ACCOUNTANT	ASSOCIATED PERSON	GOLDEN TOWER SECURITIES HOLDINGS, INC.	RE-DESIGNATION
3-Jul-23	TAROG	MARCO S.	-	INVESTMENT STRATEGIST	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
3-Jul-23	DY	KATRINA D.	-	RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
3-Jul-23	AGUILLON	ALTAIR GRACE A.	-	INSTITUTIONAL SALES OFFICER	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
3-Jul-23	IGNACIO	EMMANUEL JOSEPH ANTON C.	INDEPENDENT DIRECTOR/ SALESMAN	SALESMAN	GOLDEN TOWER SECURITIES HOLDINGS, INC.	RE-DESIGNATION
3-Jul-23	VIRTUDAZO	MARJORIE	-	GENERAL CLERK	MERCANTILE SECURITIES, CORPORATION	NEW EMPLOYMENT
3-Jul-23	ABAQUITA	MICAELA GABRIELLE L.	-	ASSOCIATE DIRECTOR, RESEARCH ANALYST	UBS SECURITIES PHILIPPINES INC.	NEW EMPLOYMENT
3-Jul-23	WILKERSON	ARLENE M.	-	VICE PRESIDENT - COMPLIANCE & RISK MANAGEMENT	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
1-Jul-23	TIU	CARLO B.	TRADER	SENIOR EQUITIES TRADER	ASIASEC EQUITIES, INC.	RE-DESIGNATION
1-Jul-23	IGNACIO	DENNIS L.	CHIEF FINANCE OFFICER	PRESIDENT	ASIASEC EQUITIES, INC.	RE-DESIGNATION
1-Jul-23	CHONG	SANDRA S.	MANAGER	TREASURER/MANAGER	ASIASEC EQUITIES, INC.	RE-DESIGNATION
1-Jul-23	TOMACRUZ	NENITA S.	ACCOUNTING. SUPERVISOR	ACCOUNTING SUPERVISOR/ASST. CORP SEC.	ASIASEC EQUITIES, INC.	RE-DESIGNATION
1-Jul-23	REMOLLENA	ELIZABETH E.	ACCOUNTING OFFICER	-	BDO SECURITIES CORPORATION	RETIREMENT

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1-Jul-23	FRANCISCO	KATRINA	CLIENT RELATIONS ASSOCIATE	CLIENT RELATIONS SPECIALIST	BPI SECURITIES CORPORATION	RE-DESIGNATION
1-Jul-23	ASTER	KATHLEEN GRACE	SECURITIES SETTLEMENT ASSOCIATE	SECURITIES SETTLEMENT SPECIALIST	BPI SECURITIES CORPORATION	RE-DESIGNATION
1-Jul-23	NARIO	ISABEL	CASH SETTLEMENT ASSOCIATE	CASH SETTLEMENT SPECIALIST	BPI SECURITIES CORPORATION	RE-DESIGNATION
1-Jul-23	AMBON	ANGELICA L.	HR SPECIALIST	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
1-Jul-23	DELOS REYES	EIRA MARIE M.	CUSTOMER SERVICE SPECIALIST	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
1-Jul-23	REYES	STEPHANIE FAYE B.	LEGAL MANAGER/ ASSISTANT CORPORATE SECRETARY	LEGAL SENIOR MANAGER/ASSISTANT CORP. SECRETARY	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jul-23	CUYEGKENG	JOSE MARIO I.	-	SENIOR ECONOMIST	PAPA SECURITIES CORPORATION	NEW EMPLOYMENT
1-Jul-23	GONZALES	JENNIFER U.	ACCOUNTING ASSOCIATE	SETTLEMENT ASSOCIATE	PNB SECURITIES, INC.	RE-DESIGNATION
1-Jul-23	AGRAVANTE	HAZEL B.	FO-SETTLEMENT CLERK	-	RCBC SECURITIES, INC.	RESIGNATION (clearance under process)
1-Jul-23	TORRES	ELMER A.	SAM-EQUITIES TRADER	M-EQUITIES TRADER	RCBC SECURITIES, INC.	RE-DESIGNATION
1-Jul-23	CARDENAS	FERLIE MARIZ C.	JAM-EQUITIES TRADER	AM-EQUITIES TRADER	RCBC SECURITIES, INC.	RE-DESIGNATION
1-Jul-23	SORIANO	JANINE ELIZABETH C.	FO-IC, CASH MANAGEMENT UNIT	JAM-IC, CASH MANAGEMENT UNIT	RCBC SECURITIES, INC.	RE-DESIGNATION
1-Jul-23	PIOL	ALVIN L.	DISBURSEMENT CLERK AND GENERAL ADMIN	SETTLEMENT CLERK	RCBC SECURITIES, INC.	RE-DESIGNATION

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1-Jul-23	JAVATE	JED C.	GENERAL ADMIN	DISBURSEMENT CLERK	RCBC SECURITIES, INC.	RE-DESIGNATION
1-Jul-23	OANDASAN	ORVILLE D.	SYSTEM ADMINISTRATOR	FO - SYSTEM ADMINISTRATOR	RCBC SECURITIES, INC.	RE-DESIGNATION
1-Jul-23	SALVADOR	AARON M.	RESEARCH ANALYST	DIRECTOR - RESEARCH	REGIS PARTNERS, INC.	RE-DESIGNATION
1-Jul-23	MANGUBAT-REYES	MARIA LOURDES CARISSA B.	RESEARCH ANALYST	DIRECTOR - RESEARCH	REGIS PARTNERS, INC.	RE-DESIGNATION
1-Jul-23	RESULLAR	CERRE KLYNE M.	RESEARCH ANALYST	DIRECTOR - RESEARCH	REGIS PARTNERS, INC.	RE-DESIGNATION
1-Jul-23	SY	CARL STANLEY T.	SENIOR INVESTMENT ANALYST	DIRECTOR - RESEARCH	REGIS PARTNERS, INC.	RE-DESIGNATION
1-Jul-23	CARIÑO	NADINE GUINEVERE J.	INSTITUTIONAL SALES	DIRECTOR - HEAD OF CORPORATE ACCESS	REGIS PARTNERS, INC.	RE-DESIGNATION
1-Jul-23	RUFFY	FRANCIS NICOLO P.	INSTITUTIONAL SALES	DIRECTOR - HEAD OF INTERNATIONAL SALES	REGIS PARTNERS, INC.	RE-DESIGNATION
1-Jul-23	MOLINA	ALEJANDRO MARIA R.	EQUITIES DEALER	DIRECTOR - EQUITY SALES	REGIS PARTNERS, INC.	RE-DESIGNATION
1-Jul-23	LEGARDA	MANUEL LUIS C.	DOMESTIC SALES	DIRECTOR - HEAD OF DOMESTIC SALES	REGIS PARTNERS, INC.	RE-DESIGNATION
1-Jul-23	NG	DAISY A.	SALES TRADER	DIRECTOR - HEAD OF SALES TRADING	REGIS PARTNERS, INC.	RE-DESIGNATION
1-Jul-23	MARIANO III	KEN ISAGANI C.	EQUITIES DEALER	ASSOCIATE DIRECTOR - DEALING	REGIS PARTNERS, INC.	RE-DESIGNATION
1-Jul-23	LIM	FRANCES ANNE Y.	EQUITIES DEALER	ASSOCIATE - DEALING	REGIS PARTNERS, INC.	RE-DESIGNATION

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1-Jul-23	AGUILLON	ALTAIR GRACE A.	SALES OFFICER	-	SB EQUITIES, INC.	RESIGNATION
1-Jul-23	FACTOR	CHERRY F.	STAFF ASSISTANT	ACCOUNTING CLERK	THE FIRST RESOURCES MGMT. SECURITIES CORP.	RE-DESIGNATION
1-Jul-23	REYES	CHARLENE ERICKA P.	OIC-TRADING & RESEARCH	ASSOCIATED PERSON	THE FIRST RESOURCES MGMT. SECURITIES CORP.	RE-DESIGNATION
29-Jun-23	CRUZ	JONATHAN	-	JUNIOR FRONT END DEVELOPER	DRAGONFI SECURITIES, INC. (formerly TRI STATE SECURITIES, INC.)	NEW EMPLOYMENT
29-Jun-23	CARAGAN	VITO S.	VICE-PRESIDENT/ AP/ COMPLIANCE OFFICER/ DATA PRIVACY OFFICER	VICE PRESIDENT/ AP/ COMPLIANCE OFFICER	R. COYIUTO SECURITIES, INC.	RE-DESIGNATION
29-Jun-23	MARINO	MARICAR S.	ACCOUNTANT	ASSOCIATED PERSON/ COMPLIANCE OFFICER/ DATA PRIVACY OFFICER	R. COYIUTO SECURITIES, INC.	RE-DESIGNATION
28-Jun-23	TARCENIO	GINA B.	GRAPHIC DESIGNER	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
26-Jun-23	BINTI SYED HUSSAIN	SRI SHARIFAH SOFIANNY	NON-EXECUTIVE DIRECTOR	INDEPENDENT DIRECTOR	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	RE-DESIGNATION
26-Jun-23	VIADO	ANGEL MIGUEL Y.	SVP, HEAD OF FINANCE & TREASURER	TREASURER AND HEAD OF FINANCE & ACCOUNTING	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	RE-DESIGNATION
26-Jun-23	SIMPAO	MARVIN ROY S.	ASSOCIATED PERSON	COMPLIANCE OFFICER & DATA PRIVACY OFFICER	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	RE-DESIGNATION
26-Jun-23	ROY	KEITH JOSEPH S.	EVP HEAD OF SALES TRADING AND EXECUTION	HEAD, DEALING & EXECUTION	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	RE-DESIGNATION
20-Jun-23	LIM	LEONCIO C.	PRESIDENT	-	ASIASEC EQUITIES, INC.	DECEASED

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16-Jun-23	ANTONA	MARIVIC T.	SETTLEMENT & PROCESSING ASSISTANT	-	BDO SECURITIES CORPORATION	RESIGNATION
16-Jun-23	PANGANIBAN	JOHN PAULO	JUNIOR FRONT END DEVELOPER	MID-LEVEL SOFTWARE DEVELOPER	DRAGONFI SECURITIES, INC. (formerly TRI STATE SECURITIES, INC.)	RE-DESIGNATION
15-Jun-23	PADRE	MARYDEL M.	ASSOCIATED PERSON/ COMPLIANCE OFFICER	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (clearance under process)
5-Jun-23	MUÑOZ	RAFAEL O.	-	SALESMAN/ TRADER	HDI SECURITIES, INC.	NEW EMPLOYMENT
5-Jun-23	DIAZ	ENRIQUE JAVIER R.	PRODUCT HEAD	PRODUCT HEAD, SALESMAN	LUNA SECURITIES, INC. (formerly VSEC.COM, INC.)	RE-DESIGNATION
1-Jun-23	ROMINES	JENNIE L.	ADMINISTRATIVE ASSISTANT	ASSOCIATE RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	RE-DESIGNATION
31-May-23	PLACENTE	MAURO B.	-	TREASURER	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
31-May-23	MADRID	MARICEL L.	TREASURER	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (no clearance required)
15-May-23	ATERRADO	ELOISA ELAINE H.	HR BUSINESS RELATIONSHIP EXECUTIVE	-	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	UPDATE: RESIGNATION (cleared)
2-May-23	VALDEZ	CIELO MAE D.	-	SETTLEMENT ASSISTANT	LUCKY SECURITIES, INC.	NEW EMPLOYMENT
2-May-23	BENGCO	ARNOLD Q.	-	CHAIRMAN	SB EQUITIES, INC.	NEW EMPLOYMENT
2-May-23	KO	JEFFERSON T.	-	DIRECTOR	SB EQUITIES, INC.	NEW EMPLOYMENT
28-Apr-23	MERCADO	JASTINE P.	OJT	-	TRANS-ASIA SECURITIES, INC.	RESIGNATION (no clearance required)

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10-Jan-23	DEE	THOMAS GREGORY, JR	SALESMAN	-	MARIAN SECURITIES, INC.	RETIREMENT
10-Jan-23	CAMPOS	JOSE MARI	BACKROOM STAFF	SALESMAN	MARIAN SECURITIES, INC.	RE-DESIGNATION