



# MEMORANDUM – 2023-026

**TO :** ALL TRADING PARTICIPANTS

**SUBJECT :** 2023 TRADING PARTICIPANTS' SEMINAR

**DATE :** 24 OCTOBER 2023

Further to CMIC Memoranda 2023-023 and 2023-024 relative to the 2023 Trading Participants' Seminar to be held from 26 October 2023 to 27 October 2023, attached is the seminar program. For your information.

*(original signed)*

**GERARD B. SANVICTORES**

*President*

# **PROGRAMME**

## **2023 TRADING PARTICIPANTS' SEMINAR**

### **DAY 1**

**October 26, 2023**

- 3:00 PM      Invocation**
- 3:05 PM      Philippine National Anthem**
- 3:10 PM      Opening Remarks**  
Mr. Gerard B. Sanvictores  
President  
Capital Markets Integrity Corporation
- 3:15 PM      Frequently Violated Rules and Proposed Changes to CMIC Rules**  
Atty. Jose Martin O. General  
AVP & Head, Investigation and Enforcement Department  
Capital Markets Integrity Corporation
- 4:00 PM      Q & A**  
**Awarding of Certificate**
- 4:15 PM      Break**
- 4:25 PM      CMIC SBL Guidelines**  
Ms. Anna Bernadette S. Cordero  
Head, Audit and Compliance Department  
Capital Markets Integrity Corporation
- 5:30 PM      Q & A**  
**Awarding of Certificate**

# **PROGRAMME**

## **2023 TRADING PARTICIPANTS' SEMINAR**

### **DAY 2**

**October 27, 2023**

**3:00 PM      Invocation**

**3:05 PM      Trading Rules**

Ms. Maricris C. Ayala

Head, Market Control Department, Market Operations Division

The Philippine Stock Exchange, Inc.

**3:50 PM      Q&A**

**Awarding of Certificate**

**4:00 PM      Break**

**4:10 PM      eRAMP System of the Securities & Exchange Commission**

Ms. Marites Diosanta

SEC Information Technology Officer II, Information Systems  
Development and Management Division

Securities and Exchange Commission

**4:55 PM      Q&A**

**Awarding of Certificate**

**5:10 PM      Closing Remarks**

Capital Markets Integrity Corporation