



MEMORANDUM – 2023-031

TO : ALL TRADING PARTICIPANTS AND THE INVESTING PUBLIC

RE : VOLUNTARY SUSPENSION OF DBP-DAIWA
CAPITAL MARKETS PHILIPPINES, INC.

DATE : 20 DECEMBER 2023

This is in relation to the application for voluntary suspension submitted by DBP-Daiwa Capital Markets Philippines, Inc. ("DBP-DAIWA") to the Capital Markets Integrity Corporation ("CMIC").

After the conclusion of a special audit by CMIC and upon determining DBP-DAIWA's compliance with the requirements under the pertinent securities laws, the Board of Directors of CMIC approved the application.

The voluntary suspension of DBP-DAIWA shall take effect on 21 December 2023.

In view of the foregoing, all trading participants are requested to immediately inform CMIC, via electronic mail (info@cmic.com.ph), of all pending transactions and contracts with DBP-DAIWA, if any.

For any inquiries, DBP-DAIWA's clients may contact CMIC at (02)8876-4580 or send an electronic mail to info@cmic.com.ph. The clients may also visit CMIC's office at 10F, Philippine Stock Exchange Tower, 5th Avenue corner 28th Street, Bonifacio Global City, Taguig City.

Thank you.

GERARD B. SANVICTORES
President

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