



MEMORANDUM – 2024-001

To : **All Trading Participants**

Subject : **Employee Movement**

Date : **5 January 2024**

Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 31 December 2023.

For information and guidance.

(Original signed)

GERARD B. SANVICTORES

President

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DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
29-Dec-23	MENDOZA	ALBERT S.	SALESMAN / TRADER	-	HDI SECURITIES, INC.	RESIGNATION (no clearance required)
24-Dec-23	SO	JOSEPHINE O.	SECTION HEAD - SECURITIES SETTLEMENT, CLEARING AND CUSTODIAN	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
20-Dec-23	GASPAR	MICHELLE N.	SECURITIES OPERATIONS HEAD AND PAYMENT PROCESSING & SETTLEMENT TEAM HEAD	-	BDO SECURITIES CORPORATION	RESIGNATION
18-Dec-23	XU	BINBIN	EQUITY ANALYST	-	PAPA SECURITIES CORPORATION	RESIGNATION (cleared)
18-Dec-23	ABELLON	FRANCIS JOSEPH G.	-	RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
18-Dec-23	TAÑEDO	CORRINE HAZEL G.	RESEARCH ANALYST	-	CREDIT SUISSE SECURITIES (PHILIPPINES), INC.	RESIGNATION (cleared)
16-Dec-23	PADULLO	MERIAM	-	RISK OFFICER	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
16-Dec-23	PIRAMO	LIRIO M.	INTERNAL AUDITOR	ACCOUNTANT	HDI SECURITIES, INC.	RE-DESIGNATION
13-Dec-23	BARACHINA	MARIAN S.	-	CERTIFIED SECURITIES REPRESENTATIVE	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
11-Dec-23	GARCIA	ALFRED BENJAMIN R.	SR. RESEARCH ANALYST	LICENSE SALESMAN	AP SECURITIES, INC.	RE-DESIGNATION
5-Dec-23	GUINTO	BENEDICT ALLAN	RESEARCH ANALYST	-	BPI SECURITIES CORPORATION	RESIGNATION
4-Dec-23	RECIO	JULIE ANNE RIO F.	-	RECEPTIONIST	CLSA PHILIPPINES, INC.	NEW EMPLOYMENT
4-Dec-23	BANCHIRAN	ELLIJAH MAE S.	-	ASST. COMPLIANCE OFFICER - CONTRACTOR	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	NEW EMPLOYMENT
1-Dec-23	RUIZ	RAUL P.	PRESIDENT/ DIRECTOR	-	RCBC SECURITIES, INC.	RETIREMENT

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1-Dec-23	SANTOS	RAOUL V.	-	PRESIDENT/ DIRECTOR	RCBC SECURITIES, INC.	NEW EMPLOYMENT
1-Dec-23	BUGAYONG	AIZA G.	FO - ACCOUNTING CLERK	FO - IC - ACCOUNTING HEAD	RCBC SECURITIES, INC.	RE-DESIGNATION
1-Dec-23	DEL ROSARIO	BRIAN CHRISTOPHER Z.	AVP - HEAD OF MARKET EDUCATION	AVP - DIGITAL INNOVATIONS AND ON-LINE RETAIL SALES HEAD	RCBC SECURITIES, INC.	RE-DESIGNATION
1-Dec-23	MAÑAGO	GIULIO RINALDO N.	RETAIL SALES AND ONLINE MARKETING ASSISTANT	DIGITAL INNOVATIONS AND ON-LINE RETAIL MARKETING ASSISTANT	RCBC SECURITIES, INC.	RE-DESIGNATION
1-Dec-23	GARCIA	ANTONIO JOSE K.	FVP - HEAD OF RETAIL SALES AND ONLINE MARKETING	FVP - HEAD OF RETAIL TRADITIONAL SALES	RCBC SECURITIES, INC.	RE-DESIGNATION
1-Dec-23	TAGLUCOP	NOELYN A.	ACCOUNT SPECIALIST	ACCOUNT OPENING SPECIALIST	RCBC SECURITIES, INC.	RE-DESIGNATION
1-Dec-23	CARDENAS	FERLIE MARIZ C.	AM - EQUITIES TRADER	AM - INSTITUTIONAL SALES TRADER & DEALER	RCBC SECURITIES, INC.	RE-DESIGNATION
1-Dec-23	CLEMENTIR	CARLO M.	-	RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
1-Dec-23	TAN	THEODORE T.	CERTIFIED SECURITIES REPRESENTATIVE (CSR)	DIRECTOR/PRESIDENT/ CSR	AP SECURITIES, INC.	RE-DESIGNATION
1-Dec-23	CRISOSTOMO	WILMA C.	DIRECTOR/PRESIDENT/NOMINEE/ CSR	DIRECTOR/NOMINEE/CSR	AP SECURITIES, INC.	RE-DESIGNATION
1-Dec-23	ESPARAZ	ANTONIO Y.	CORPORATE SECRETARY/CSR	CHAIRMAN/CSR	AP SECURITIES, INC.	RE-DESIGNATION
1-Dec-23	ANGPING	MA. RHODORA V.	DIRECTOR/CSR	CSR	AP SECURITIES, INC.	RE-DESIGNATION
1-Dec-23	ESPARAZ	NELLIE A.	DIRECTOR/TREASURER	DIRECTOR	AP SECURITIES, INC.	RE-DESIGNATION
1-Dec-23	VERGARA	JULIET M.	-	CORPORATE SECRETARY/TREASURER	AP SECURITIES, INC.	NEW EMPLOYMENT

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1-Dec-23	ADARME	TOMMY C.	-	INDEPENDENT DIRECTOR	AP SECURITIES, INC.	NEW EMPLOYMENT
1-Dec-23	KHO	JOCELYN Y.	-	INDEPENDENT DIRECTOR	AP SECURITIES, INC.	NEW EMPLOYMENT
1-Dec-23	REBUA	SHEILA MAE	-	ADMIN. ASSISTANT	AP SECURITIES, INC.	NEW EMPLOYMENT
30-Nov-23	DELA CRUZ	RAYMALL FRANZ	-	INTERN	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
30-Nov-23	AFRICANO	KHRISNAMURTI A.	CHAIRMAN	-	AP SECURITIES, INC.	RETIREMENT
30-Nov-23	SAGCAL	ENGRACIO JR.	INDEPENDENT DIRECTOR	-	AP SECURITIES, INC.	RESIGNATION (no clearance required)
30-Nov-23	MARBIBI	TERESITA	INDEPENDENT DIRECTOR	-	AP SECURITIES, INC.	RESIGNATION (no clearance required)
30-Nov-23	CHAN	CLARISSE C.	ASST. COMPLIANCE OFFICER	-	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	RESIGNATION (clearance under process)
22-Nov-23	ZAMORA	POCH EDWARD I.	SALESMAN	-	UPCC SECURITIES CORPORATION	RESIGNATION
21-Nov-23	DUPAN	LEAH D.	-	ACCOUNTANT	ASTRA SECURITIES CORPORATION	NEW EMPLOYMENT
21-Nov-23	SAMBILE	KARLA C.	ACCOUNTING HEAD	SETTLEMENT HEAD	ASTRA SECURITIES CORPORATION	RE-DESIGNATION
15-Nov-23	MENDOZA	INENE P.	JUNIOR ACCOUNTANT	-	SARANGANI SECURITIES, INC.	RESIGNATION
15-Nov-23	TICZON	EDICER G.	ACCOUNTING OFFICER	-	SALISBURY SECURITIES CORPORATION (Formerly: SALISBURY BKT SECURITIES CORPORATION)	RESIGNATION (cleared)
15-Nov-23	MANUEL	AMALIA LOURDES R.	SALESMAN	-	MDR SECURITIES, INC.	RESIGNATION
13-Nov-23	ROJO	JOHN PAUL	-	ACCOUNTING CLERK (ON PROBATION)	MDR SECURITIES, INC.	NEW EMPLOYMENT

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11-Nov-23	OLAÑO	JAN LESTER O.	-	INDEPENDENT DIRECTOR	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
10-Nov-23	BELMONTE	JUAN KEVIN G.	INDEPENDENT DIRECTOR	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (cleared)
14-Oct-23	BANDAL	MA. JASMIN R.	CUSTOMER SERVICE SPECIALIST	-	COL FINANCIAL GROUP, INC.	UPDATE: RESIGNATION (cleared)
31-Aug-23	BALANTE	MARIELLE P.	SETTLEMENT OFFICER	-	SALISBURY SECURITIES CORPORATION (Formerly: SALISBURY BKT SECURITIES CORPORATION)	UPDATE: RESIGNATION (cleared)
15-Aug-23	CONSTANTINO	CRISTINA E.	SETTLEMENT ASSISTANT	-	SALISBURY SECURITIES CORPORATION (Formerly: SALISBURY BKT SECURITIES CORPORATION)	UPDATE: RESIGNATION (cleared)
26-Jul-23	SEVIDAL	ALFONSO MIGUEL N.	RESEARCH ANALYST	-	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	UPDATE: RESIGNATION (cleared)