



MEMORANDUM – 2024-004

TO : ALL TRADING PARTICIPANTS

SUBJECT : EMPLOYEE MOVEMENT

DATE : 02 FEBRUARY 2024

Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 31 January 2024.

For information and guidance.

(original signed)

GERARD B. SANVICTORES

President

ACD	FHRAD	IED	SD

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
24-Jan-24	DELOS REYES	KATHY D.	-	ON-THE-JOB TRAINING STUDENT	LANDBANK SECURITIES, INC. (formerly UCPB SECURITIES, INC.)	NEW EMPLOYMENT
24-Jan-24	DOFELIZ	JOMARI L.	-	ON-THE-JOB TRAINING STUDENT	LANDBANK SECURITIES, INC. (formerly UCPB SECURITIES, INC.)	NEW EMPLOYMENT
24-Jan-24	RAMIREZ	CHOLO MIGUEL C.	-	ON-THE-JOB TRAINING STUDENT	LANDBANK SECURITIES, INC. (formerly UCPB SECURITIES, INC.)	NEW EMPLOYMENT
24-Jan-24	SALINAS	JANNINE B.	-	ON-THE-JOB TRAINING STUDENT	LANDBANK SECURITIES, INC. (formerly UCPB SECURITIES, INC.)	NEW EMPLOYMENT
23-Jan-24	NICOLAS	CRISANTO O.	OFFICE STAFF	MESSENGER	SALISBURY SECURITIES CORPORATION (Formerly: SALISBURY BKT SECURITIES CORPORATION)	RE-DESIGNATION
23-Jan-24	SANORJO	FLORITA D.	INDEPENDENT DIRECTOR	-	SARANGANI SECURITIES, INC.	RESIGNATION
23-Jan-24	ENTIENZA	CHERRYL FAITH L.	-	INDEPENDENT DIRECTOR	SARANGANI SECURITIES, INC.	NEW EMPLOYMENT
22-Jan-24	TAN	ENRIQUE GABRIEL L.	-	EXECUTIVE ASSISTANT TO THE PRESIDENT	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
22-Jan-24	GARCIA	JUSTIN MATHEW	-	BUSINESS DEVELOPMENT INTERN	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
20-Jan-24	PARUNGAO	KRISTEL MAE	RESEARCH ANALYST (MANAGER)	-	BPI SECURITIES CORPORATION	RESIGNATION
16-Jan-24	NITUMA	ROMNICK R.	-	SYSTEM ADMINISTRATOR	WEALTH SECURITIES, INC.	NEW EMPLOYMENT
16-Jan-24	ESPINAL	JOHN ERNEST T.	-	SYSTEMS AND NETWORK MANAGER	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
16-Jan-24	LIM	MARC ANDREW A.	-	INSTITUTIONAL SALES ACCOUNT OFFICER	SB EQUITIES, INC.	NEW EMPLOYMENT

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
15-Jan-24	CAPINPIN	RONALYN A.	ACCOUNTING ASSISTANT	SETTLEMENT ASSISTANT	LUCKY SECURITIES, INC.	RE-DESIGNATION
15-Jan-24	VALDEZ	CIELO MAE D.	SETTLEMENT ASSISTANT	ACCOUNTING ASSISTANT	LUCKY SECURITIES, INC.	RE-DESIGNATION
15-Jan-24	GARCIA	ALFRED BENJAMIN R.	LICENSE SALESMAN	SENIOR RESEARCH ANALYST	AP SECURITIES, INC.	RE-DESIGNATION
15-Jan-24	VICENCIO JR.	JOSELITO C.	-	VICE PRESIDENT-INSTITUTIONAL SALES & TRADING	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
15-Jan-24	LARAGAN	KRISHA MARIE G.	-	SETTLEMENT ASSISTANT	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
15-Jan-24	CUETO	JONEL K.	SYSTEM ANALYST	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (clearance under process)
15-Jan-24	TAN	RANCE C.	-	INTERN	CHINA BANK SECURITIES CORPORATION	NEW EMPLOYMENT
10-Jan-24	FERRERAS	LESLIE E.	-	ACCOUNTING ASSISTANT	APEX PHILIPPINES EQUITIES CORPORATION	NEW EMPLOYMENT
10-Jan-24	ESTOMATA	RENEE ALYSSON O.	SALESMAN	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (clearance under process)
8-Jan-24	FLOREZA	TRISHA MAE	-	BUSINESS DEVELOPMENT INTERN	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
8-Jan-24	SUAREZ	HANNAH ISABELLE	-	BUSINESS DEVELOPMENT INTERN	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
4-Jan-24	ERVAS	FRANCESCA BIANCA V.	-	RESEARCH ASSOCIATE	PAPA SECURITIES CORPORATION	NEW EMPLOYMENT
3-Jan-24	FLORES	MARIE JAY E.	-	ACCOUNTING ASSISTANT	SALISBURY SECURITIES CORPORATION (Formerly: SALISBURY BKT SECURITIES CORPORATION)	NEW EMPLOYMENT

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3-Jan-24	FIGUEROA	AIRA MAE L.	-	JUNIOR FUNDS ANALYST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
3-Jan-24	ALDABA	JEAN ANGELICA I.	-	CUSTOMER SERVICE STAFF	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
3-Jan-24	GO	JARRED JOHANNSEN S.	CONSULTANT	ASSISTANT VICE PRESIDENT-RESEARCH DEPARTMENT	AB CAPITAL SECURITIES, INC.	RE-DESIGNATION
3-Jan-24	TANEDO	CORRINE HAZEL	-	VICE PRESIDENT-RESEARCH DEPARTMENT	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
2-Jan-24	MANAOG	JUSTIN DOMINIC P.	-	RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
2-Jan-24	LEE	REGINE O.	INTERN	-	GOLDSTAR SECURITIES, INC.	RESIGNATION (cleared)
2-Jan-24	RABAGO	GILBERT V.	ACCOUNTING HEAD	GENERAL MANAGER	ALAKOR SECURITIES CORPORATION	RE-DESIGNATION
2-Jan-24	NG	JEFF STEPHEN O.	-	ASSOCIATE	JSG SECURITIES, INC.	NEW EMPLOYMENT
2-Jan-24	CRUZADO	ROSE ANN D.	-	OPERATIONS ASSISTANT	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
2-Jan-24	ARCIAGA	KATHLEEN GAIL B.	TEAM LEAD-CUSTOMER SERVICE	-	ABACUS SECURITIES CORPORATION	RESIGNATION
2-Jan-24	NG	MICHAELA WENCEE S.	-	RESEARCH ASSOCIATE	PAPA SECURITIES CORPORATION	NEW EMPLOYMENT
2-Jan-24	LIM	LYNDON C.	-	SR. VICE PRES. - ACCTG. & OPERATIONS	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
2-Jan-24	DEIPARINE	VERNARD C.	-	AUTHORIZED SALESMAN	INVESTORS SECURITIES, INC.	NEW EMPLOYMENT

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2-Jan-24	INFIESTO	LIMUELE B.	-	AUTHORIZED SALESMAN	INVESTORS SECURITIES, INC.	NEW EMPLOYMENT
2-Jan-24	PADRE	MARYDEL M.	-	COMPLIANCE AND RISK ASSOCIATE	CHINA BANK SECURITIES CORPORATION	NEW EMPLOYMENT
2-Jan-24	TANINGCO	ANGELO B.	RESEARCH HEAD	-	SB EQUITIES, INC.	RESIGNATION (transferred to SBC Parent Bank)
2-Jan-24	MUSNGI	ZOREN PHILIP	RESEARCH ANALYST	-	SB EQUITIES, INC.	RESIGNATION (transferred to SBC Parent Bank)
2-Jan-24	LOPEZ	PAOLA BEATRICE	RESEARCH ANALYST	-	SB EQUITIES, INC.	RESIGNATION (transferred to SBC Parent Bank)
2-Jan-24	DOLATRE	KATRINE EUNICE	RESEARCH ANALYST	-	SB EQUITIES, INC.	RESIGNATION (transferred to SBC Parent Bank)
1-Jan-24	MATUGUINAS	DONIE D.	BANKING OPERATIONS SPECIALIST	-	CREDIT SUISSE SECURITIES (PHILIPPINES) INC.	RESIGNATION (cleared)
1-Jan-24	JUNIO	VALERIE ANN	ACCOUNT OPENING SPECIALIST	ACCOUNT OPENING OFFICER	BPI SECURITIES CORPORATION	RE-DESIGNATION
1-Jan-24	PEREA	FRANCES BIANNE	ACCOUNTING STAFF	ACCOUNTING SPECIALIST	BPI SECURITIES CORPORATION	RE-DESIGNATION
1-Jan-24	CARCABUSO	KRACHEL ANNE	SECURITIES SETTLEMENT ASSOCIATE	SECURITIES SETTLEMENT SPECIALIST	BPI SECURITIES CORPORATION	RE-DESIGNATION
1-Jan-24	ROQUE	RAINSTAR	INSTITUTIONAL SALES SPECIALIST	INSTITUTIONAL SALES OFFICER	BPI SECURITIES CORPORATION	RE-DESIGNATION
1-Jan-24	BALINGIT	RAMON ALFREDO III	EQUITY SALES TRADER (SPECIALIST)	EQUITY SALES TRADER (SENIOR SPECIALIST)	BPI SECURITIES CORPORATION	RE-DESIGNATION
1-Jan-24	REYES	KIMBERLY MARIE	EQUITY SALES TRADER (SPECIALIST)	EQUITY SALES TRADER (SENIOR SPECIALIST)	BPI SECURITIES CORPORATION	RE-DESIGNATION

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1-Jan-24	ORO	VICENTE T.	VP, COMPLIANCE/ ASSOCIATED PERSON/ DATA PROTECTION OFFICER/ AML/	VP, COMPLIANCE/ DATA PROTECTION OFFICER/ AML/ CFT COMPLIANCE MANAGER	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RE-DESIGNATION
1-Jan-24	CUYEGKENG	JOSE MARIO I.	SENIOR ECONOMIST	-	PAPA SECURITIES CORPORATION	RESIGNATION (cleared)
1-Jan-24	SIMPAO	MARVIN ROY S.	COMPLIANCE HEAD & DATA PRIVACY OFFICER	COMPLIANCE HEAD, DATA PRIVACY OFFICER AND ASSOCIATED PERSON	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	RE-DESIGNATION
1-Jan-24	ERNI	RAY R.	-	HEAD, RISK MANAGEMENT	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	NEW EMPLOYMENT
1-Jan-24	ESGUERRA	ELMA F.	-	HEAD, CORPORATE PLANNING AND STRATEGY	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	NEW EMPLOYMENT
1-Jan-24	LARESMA	MARIA CECILIA G.	-	CORPORATE ACCESS OFFICER	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	NEW EMPLOYMENT
1-Jan-24	BONON	DOMINIQUE B.	-	HEAD, INTERNAL AUDIT	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	NEW EMPLOYMENT
1-Jan-24	PALMOS	KEVIN EARL M.	-	IT MANAGER	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	NEW EMPLOYMENT
1-Jan-24	RAMOS-MATIENZO	ANNA MARIE M.	-	INTERNAL AUDIT OFFICER	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	NEW EMPLOYMENT
1-Jan-24	GONZAGA	REYMART D.	-	ACCOUNTING ASSISTANT	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	NEW EMPLOYMENT
1-Jan-24	NARANJO	J. FRANCIS ALBERT L.	-	HEAD, CREDIT RISK	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	NEW EMPLOYMENT
1-Jan-24	MENDOZA	GILLIAN CHRISTABEL P.	-	HEAD, HUMAN CAPITAL AND ADMIN	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	NEW EMPLOYMENT

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1-Jan-24	LIMOSNERO	JESSA MAE B.	-	TREASURY OFFICER	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	NEW EMPLOYMENT
1-Jan-24	MENDOZA	JOSE RAFAEL V.	-	RESEARCH ANALYST	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	NEW EMPLOYMENT
1-Jan-24	MATUGUINAS	DONIE D.	-	SETTLEMENT OFFICER	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	NEW EMPLOYMENT
1-Jan-24	ESTRELLA	JUAN PAOLO	-	MANAGEMENT TRAINEE (RESEARCH)	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
1-Jan-24	PADIT	FRANCIS PAUL	-	MANAGEMENT TRAINEE (MARKETING)	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
1-Jan-24	PEREZ	IRIKA VINA D.	DIGITAL SALES SUPERVISOR	CLIENT ACQUISITION OFFICER	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jan-24	NOUVELOT	MAE L.	SALES/ AGENT	-	SB EQUITIES, INC.	RESIGNATION (cleared)
1-Jan-24	YU	FERNDINAND C.	SALES/ AGENT	-	SB EQUITIES, INC.	RESIGNATION (cleared)
31-Dec-23	GO	MATTHEW TIMOTHY V.	AUTHORIZED SALESMAN	-	INVESTORS SECURITIES, INC.	RESIGNATION (cleared)
31-Dec-23	LIM	WILLIAM KING G.	TRADING OFFICER	-	INVESTORS SECURITIES, INC.	RESIGNATION (cleared)
31-Dec-23	NATANGCOP	RASMIA D.	CLERK	-	S. J. ROXAS & COMPANY, INC.	RESIGNATION
31-Dec-23	DE VERA	ANGELICA R.	SETTLEMENT STAFF	-	MERCANTILE SECURITIES CORPORATION	RESIGNATION (did not apply for clearance)
31-Dec-23	LEDESMA	JOSE LUIS M.	COMPANY DRIVER	-	MERCANTILE SECURITIES CORPORATION	RESIGNATION (did not apply for clearance)

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30-Dec-23	AYCO	IRISH MAE S.	ADMIN ASSISTANT	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
29-Dec-23	FORMENTERA	JASTINE A.	JUNIOR SOFTWARE ENGINEER	-	LUNA SECURITIES, INC. (formerly VSEC.COM, INC.)	RESIGNATION
29-Dec-23	RACE	MARK M.	DIRECTOR, HEAD OF SALES	-	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RESIGNATION (cleared)
29-Dec-23	GONZALES	MARIA LUISA N.	SENIOR MANAGER, SETTLEMENT	-	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RESIGNATION (cleared)
29-Dec-23	LIM	MARC ANDREW A.	DIRECTOR, HEAD OF TRADING & DEALING	-	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RESIGNATION (cleared)
29-Dec-23	CASANO	RAMON EMILIO Y.	SENIOR EQUITIES DEALER	-	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RESIGNATION (cleared)
29-Dec-23	ESCALONA	JUAN PAOLO E.	EQUITIES DEALING ASSOCIATE	-	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RESIGNATION (cleared)
29-Dec-23	GONZALES	JANINE MARIE M.	CORPORATE ACCESS/ADMIN ASSISTANT	-	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RESIGNATION (cleared)
29-Dec-23	CORIA	LORNA E.	FINANCE ASSISTANT	-	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RESIGNATION (cleared)
29-Dec-23	ALMERO	LESLIE C.	SETTLEMENT ASSISTANT	-	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RESIGNATION (cleared)
29-Dec-23	CASTRO	LESLIE L.	FINANCE ASSISTANT	-	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RESIGNATION (cleared)
29-Dec-23	TABELISMA	KEVIN JEREMIAH V.	IT STAFF	-	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RESIGNATION (cleared)
29-Dec-23	MARANAN	IAN MERVIN R.	COMPLIANCE ANALYST	-	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RESIGNATION (cleared)

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29-Dec-23	SINGSON	MICHELLE M.	FINANCE OFFICER	SETTLEMENTS OFFICER	CLSA PHILIPPINES, INC.	RE-DESIGNATION
27-Dec-23	VINLUAN	PRINCESS MICHELLE T.	-	FINANCIAL AND REGULATORY REPORTING SPECIALIST	UBS SECURITIES PHILIPPINES INC.	NEW EMPLOYMENT
23-Dec-23	VERSOZA	LISA E.	RELATIONSHIP MANAGER	-	BDO SECURITIES CORPORATION	RESIGNATION
23-Dec-23	GUBATON	THOMAS JONATHAN G.	-	EQUITIES INVESTMENT ADVISER	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
22-Dec-23	HIPOLITO	GLEN JAMES J.	EQUITY TRADE ASSOCIATE	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (cleared)
19-Dec-23	KOHZAI	MICHELLE O.	-	ASSOCIATE RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
19-Dec-23	LIM	NICOLE HUGGINS K.	-	ASSOCIATE RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
19-Dec-23	GACURA	GEOCELL A.	STUDENT INTERN	-	LUNA SECURITIES, INC. (formerly VSEC.COM, INC.)	RESIGNATION
19-Dec-23	FLORIANO	JANINE E.	STUDENT INTERN	-	LUNA SECURITIES, INC. (formerly VSEC.COM, INC.)	RESIGNATION
18-Dec-23	TEMPORAL	CARLOS ANGELO O.	RESEARCH ASST. MANAGER	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (cleared)
18-Dec-23	PAGUNTALAN	ROMALIZER A.	RESEARCH ANALYST	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (without clearance)
18-Dec-23	MANUEL	AMALIA LOURDES R.	SALESMAN	ASSOCIATED PERSON	MDR SECURITIES, INC.	RE-DESIGNATION
16-Dec-23	CAYETANO	MIKKO	SALES AGENT	-	BPI SECURITIES CORPORATION	RESIGNATION

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16-Dec-23	FIGURACION	MARTINA J.	SETTLEMENT OFFICER	-	UBS SECURITIES PHILIPPINES INC.	RESIGNATION
15-Dec-23	HABANA	EMMYLOU JOYCE P.	SETTLEMENT ASSISTANT	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (clearance under process)
15-Dec-23	MANISAN	KERWIN BONN S.	TRADING ASSISTANT	IT STAFF/ TRADING ASSISTANT	MERCANTILE SECURITIES CORPORATION	RE-DESIGNATION
15-Dec-23	PABLO	CHRISTIAN JOSHUA F.	OJT	-	SALISBURY SECURITIES CORPORATION (Formerly: SALISBURY BKT SECURITIES CORPORATION)	RESIGNATION (no clearance required)
1-Dec-23	GREENLAW	JOCELYN D.	EXECUTIVE VICE-PRESIDENT/ BOARD MEMBER/ MEMBER - AUDIT AND COMPLIANCE	EXECUTIVE VICE-PRESIDENT/ BOARD MEMBER	DA MARKET SECURITIES, INC.	RE-DESIGNATION
1-Dec-23	AGUAS	MARIO M.	BOARD CHAIRMAN	BOARD CHAIRMAN/ MEMBER, AUDIT AND COMPLIANCE	DA MARKET SECURITIES, INC.	RE-DESIGNATION
16-Nov-23	CORTEZ	CZARLOTTE CYRILE C.	COMPLIANCE OFFICER	COMPLIANCE OFFICER/ASSOCIATED PERSON	AB CAPITAL SECURITIES, INC.	RE-DESIGNATION
15-Nov-23	LLAGUNO	JONNA C.	ASSOCIATED PERSON/COMPLIANCE OFFICER/CORPORATE	CORPORATE SECRETARY	AB CAPITAL SECURITIES, INC.	RE-DESIGNATION
6-Nov-23	PICACHE	DANIELO C.	-	VICE PRESIDENT	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT