



# MEMORANDUM – 2024-014

**TO** : ALL TRADING PARTICIPANTS  
**SUBJECT** : EMPLOYEE MOVEMENT  
**DATE** : 03 MAY 2024

---

Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 30 April 2024.

For information and guidance.

*(original signed)*

**GERARD B. SANVICTORES**

*President*

ACD	FHRAD	IED	SD

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
29-Apr-24	TIANCO	MARIKIT C.	CUSTOMER SUPPORT OFFICER	-	BDO SECURITIES CORPORATION	TRANSFER TO BDO CENTRAL OPERATIONS GROUP
26-Apr-24	BATARA	JOAN MARIE T.	EQUITY RESEARCH ASSOCIATE	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
24-Apr-24	YUTUC	ELAN DIONI R.	DEVELOPMENT SUPERVISOR	-	PHILSTOCKS FINANCIAL, INC.	RESIGNATION
22-Apr-24	LIM	JANG MARIO VINCENT M.	-	ACCOUNTING ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
20-Apr-24	TAN	FLORENCE THERESE A.	SALES ASSOCIATE/ SALESMAN	-	MAYBANK SECURITIES, INC. (Formerly: MAYBANK ATR KIM ENG SECURITIES, INC.)	RESIGNATION (clearance under process)
16-Apr-24	SANTIAGO	MARIA PERPETUA AGNES C.	-	CUSTOMER SUPPORT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Apr-24	GASPAR	JENELYN S.	-	BUSINESS SYSTEM ANALYST	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Apr-24	SOLAS	MIKHAEL IVAN	EQUITY RESEARCH INTERN	-	BPI SECURITIES CORPORATION	RESIGNATION (end of contract)
16-Apr-24	FRANCISCO	EDUARDO V.	-	CHAIRMAN	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Apr-24	LUYM	VIOLETA O.	CHAIRPERSON & DIRECTOR	DIRECTOR	BDO SECURITIES CORPORATION	RE-DESIGNATION
16-Apr-24	RELLOSO	CLIFFORD P.	-	CLERK	S. J. ROXAS & CO., INC.	NEW EMPLOYMENT
16-Apr-24	DALIDA	LEONEL E.	-	SOFTWARE ENGINEER	PHILSTOCKS FINANCIAL, INC.	NEW EMPLOYMENT

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
15-Apr-24	ANDOG	GERARD RENZ R.	EQUITY SALES	-	PHILIPPINE EQUITY PARTNERS, INC.	RESIGNATION
15-Apr-24	CHUA	KIMBERLY ROSE M.	ASSOCIATE RELATIONSHIP MANAGER	-	BDO SECURITIES CORPORATION	TRANSFER TO BDO PRIVATE BANK
12-Apr-24	ACRICHE	MARIA TERESA M.	RELATIONSHIP MANAGER	-	BDO SECURITIES CORPORATION	TRANSFER TO BDO PRIVATE BANK
12-Apr-24	YAMSUAN	LORD ANDRE JAMES N.	DIGITAL CHANNELS AND MARKETING ASSOCIATE	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
8-Apr-24	GARALDE	REYNOLD C.	-	EQUITY TRADE ASSOCIATE	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
8-Apr-24	BRAVO	ANABELLE H.	-	CUSTOMER SUPPORT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
8-Apr-24	ISAGUNDE	LEAN MARCO B.	-	OPERATIONS ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
6-Apr-24	TACARDON	EDMUNDO D.	INDEPENDENT DIRECTOR	-	PREMIUM SECURITIES, INC.	RESIGNATION
6-Apr-24	TACARDON	DEANNA S.	-	INDEPENDENT DIRECTOR	PREMIUM SECURITIES, INC.	NEW EMPLOYMENT
6-Apr-24	YAP	JOHN ERICKSON C.	-	CORPORATE SECRETARY	DA MARKET SECURITIES, INC.	NEW EMPLOYMENT
5-Apr-24	NUEVO	MA GRACHELLE P.	ACCOUNTING ASSOCIATE	-	PHILSTOCKS FINANCIAL, INC.	RESIGNATION
3-Apr-24	GAERLAN	MAYLENE ADELLE A.	-	BUSINESS MGMT SUPPORT -VP (OUTSOURCED)	J. P. MORGAN SECURITIES PHILIPPINES, INC.	NEW EMPLOYMENT
3-Apr-24	GUIRUELA	LOIS ANN T.	-	BUSINESS MGMT SUPPORT - ASSOCIATE (OUTSOURCED)	J. P. MORGAN SECURITIES PHILIPPINES, INC.	NEW EMPLOYMENT

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
1-Apr-24	RICO	REGINA ROSARIO T.	CUSTOMER SERVICE ASSOCIATE	CLIENT EXPERIENCE SUPERVISOR	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Apr-24	MOLINA	JONALYN L.	CUSTOMER SERVICE ASSOCIATE	CLIENT EXPERIENCE SUPERVISOR	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Apr-24	SANTOS	PATRICK LEE G.	CERTIFIED EQUITY AND UITF SPECIALIST	CERTIFIED EQUITY AND FUND SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Apr-24	MEDRANO	MARIA KRISTINA C.	RELATIONSHIP MANAGER	-	BDO SECURITIES CORPORATION	TRANSFER TO BDO PRIVATE BANK
1-Apr-24	MURAKAMI	CAMILLE A.	RELATIONSHIP MANAGER	-	BDO SECURITIES CORPORATION	TRANSFER TO BDO PRIVATE BANK
1-Apr-24	PERNES	JENNIFER B.	ASSOCIATE RELATIONSHIP MANAGER	-	BDO SECURITIES CORPORATION	TRANSFER TO BDO PRIVATE BANK
1-Apr-24	VILLARUZ	MARTIN VINCENT V.	RELATIONSHIP MANAGER	-	BDO SECURITIES CORPORATION	TRANSFER TO BDO PRIVATE BANK
1-Apr-24	SALCEDO	JO ANN V.	RELATIONSHIP MANAGER	-	BDO SECURITIES CORPORATION	TRANSFER TO BDO PRIVATE BANK
1-Apr-24	STA. ANA	MICHELLE FAY M.	ASSOCIATE RELATIONSHIP MANAGER	-	BDO SECURITIES CORPORATION	TRANSFER TO BDO PRIVATE BANK
1-Apr-24	FERNANDEZ	CHRISTEL ANGE V.	ASSOCIATE RELATIONSHIP MANAGER	-	BDO SECURITIES CORPORATION	TRANSFER TO BDO PRIVATE BANK
1-Apr-24	PEÑAFLO	CHERIZ ANNE ROSE C.	RELATIONSHIP MANAGER	-	BDO SECURITIES CORPORATION	TRANSFER TO BDO PRIVATE BANK
1-Apr-24	PEREGRINO	CRISTINE JOY L.	EXECUTIVE SECRETARY	-	BDO SECURITIES CORPORATION	TRANSFER TO BDO PRIVATE BANK
1-Apr-24	GALGUERRA	BENSON JACOB G.	MARKETING OFFICER	-	BDO SECURITIES CORPORATION	TRANSFER TO BDO UNIBANK

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
1-Apr-24	PIÑANO	INA PATRICIA R.	-	RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
1-Apr-24	GO	DANBRYAN	-	RESEARCH ANALYST	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
1-Apr-24	MARTINEZ	EDMUND DANIEL P.	PRESIDENT	PRESIDENT/ ASSOCIATED PERSON	SEEDBOX SECURITIES, INC.	RE-DESIGNATION
1-Apr-24	ACLA	RIGOR B.	-	ACCOUNTING ASSISTANT	GOLDSTAR SECURITIES, INC.	NEW EMPLOYMENT
1-Apr-24	HIYAO	PATRICIA MAE L.	-	CUSTOMER SERVICE STAFF	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
1-Apr-24	PIOQUINTO	REZELL MAE P.	-	HR STAFF	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
1-Apr-24	BUENA	JEANETTE C.	-	ASSOCIATED PERSON	UPCC SECURITIES CORPORATION	NEW EMPLOYMENT
1-Apr-24	MENDOZA	JENNELAE B.	SETTLEMENT CLERK	-	S. J. ROXAS & CO., INC.	RESIGNATION (cleared)
1-Apr-24	LIM	HERCHEL IDA D.	ACCOUNTING ASSISTANT	SETTLEMENT PERSONNEL	S. J. ROXAS & CO., INC.	RE-DESIGNATION
1-Apr-24	REYES	YOLANDA S.	TREASURER	-	UPCC SECURITIES CORPORATION	RESIGNATION
1-Apr-24	DUQUE	CESAR T.	-	TREASURER	UPCC SECURITIES CORPORATION	NEW EMPLOYMENT
1-Apr-24	ESTRELLA	JUAN PAOLO	MANAGEMENT TRAINEE (MARKETING)	MARKETING OFFICER	BPI SECURITIES CORPORATION	RE-DESIGNATION
1-Apr-24	PADIT	FRANCIS PAUL	MANAGEMENT TRAINEE (RESEARCH)	RESEARCH ANALYST	BPI SECURITIES CORPORATION	RE-DESIGNATION

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
1-Apr-24	MISA	MARIA NAOMI A.	-	VICE PRESIDENT	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
1-Apr-24	CABRAL	OLIVIA MARIE B.	ACCOUNTING ASSISTANT	-	PAPA SECURITIES CORPORATION	RESIGNATION (cleared)
31-Mar-24	FAUSTO	RALPH JONATHAN B.	RESEARCH ANALYST	-	BDO SECURITIES CORPORATION	RESIGNATION
31-Mar-24	LORICA	ABIGAIL C.	ASSOCIATED PERSON	-	UPCC SECURITIES CORPORATION	RESIGNATION
31-Mar-24	LEGARDA	MANUEL LUIS C.	DIRECTOR - HEAD OF DOMESTIC SALES	SALESMAN/ AGENT	REGIS PARTNERS, INC.	RE-DESIGNATION
31-Mar-24	NUNGA	ZABRINA D.	CORPORATE SECRETARY	-	DA MARKET SECURITIES, INC.	RESIGNATION (no clearance required)
29-Mar-24	LIM	FRANCES ANNE Y.	ASSOCIATE - DEALING	-	REGIS PARTNERS, INC.	RESIGNATION (cleared)
28-Mar-24	BOCO	DIANA E.	OPERATIONS ASSOCIATE	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
23-Mar-24	MANDI	PATRICK JOSEPH	ACCOUNT OPENING ASSOCIATE	-	BPI SECURITIES CORPORATION	RESIGNATION
22-Mar-24	DE JESUS	VIDA V.	-	DIRECTOR / TREASURER	IMPERIAL DE GUZMAN, ABALOS & CO., INC.	NEW EMPLOYMENT
22-Mar-24	SANTOS	GIL A.	DIRECTOR / TREASURER	-	IMPERIAL DE GUZMAN, ABALOS & CO., INC.	RETIREMENT
22-Mar-24	BENIG	MEALYN A.	RELATIONSHIP MANAGER	-	BDO SECURITIES CORPORATION	TRANSFER TO BDO PRIVATE BANK
22-Mar-24	BIACORA	RACHELLE ANNE	EQUITY RESEARCH INTERN	-	BPI SECURITIES CORPORATION	RESIGNATION (end of contract)

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
20-Mar-24	OLBA	XYRELLE D.	-	ACCOUNT SERVICES OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
18-Mar-24	ARELLANO	MA. THERESA P.	-	TRADING ASSOCIATE	SB EQUITIES, INC.	NEW EMPLOYMENT
15-Mar-24	ESPIRITU	FRANCIS B.	-	BUSINESS DEVELOPMENT ASSOCIATE	LANDBANK SECURITIES, INC.	NEW EMPLOYMENT
14-Mar-24	BENGCO	ARNOLD Q.	CHAIRMAN/ NOMINEE APPLICANT	CHAIRMAN/ NOMINEE	SB EQUITIES, INC.	RE-DESIGNATION
9-Mar-24	RODRIGUEZ	RACHELLEEN A.	HEAD, RESEARCH	-	MAYBANK SECURITIES, INC. (Formerly: MAYBANK ATR KIM ENG SECURITIES, INC.)	RESIGNATION (clearance under process)
8-Mar-24	SUAREZ	HANNAH ISABELLE	BUSINESS DEVELOPMENT INTERN	-	BPI SECURITIES CORPORATION	RESIGNATION (end of contract)
8-Mar-24	FLOREZA	TRISHA MAE	BUSINESS DEVELOPMENT INTERN	-	BPI SECURITIES CORPORATION	RESIGNATION (end of contract)
4-Mar-24	FORMOSO	RICKY V.	-	SYSTEMS ANALYST & PROGRAMMER	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
1-Mar-24	RACE	MARK ROME	-	HEAD, INSTITUTIONAL SALES	BPI SECURITIES CORPORATION	NEW EMPLOYMENT