



MEMORANDUM – 2024-021

TO : ALL TRADING PARTICIPANTS

SUBJECT : EMPLOYEE MOVEMENT

DATE : 02 AUGUST 2024

Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 31 July 2024.

For information and guidance.

(original signed)

GERARD B. SANVICTORES

President

ACD	FHRAD	IED	SD

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
31-Jul-24	VALDEZ	JOHN SANTINI G.	OPERATIONS SUPPORT ANALYST (OUTSOURCED)	-	J. P. MORGAN SECURITIES PHILIPPINES, INC.	RESIGNATION (clearance under process)
29-Jul-24	BERNABE	DANIELLE KIANA F.	-	OPERATIONS SUPPORT – ANALYST (OUTSOURCED)	J. P. MORGAN SECURITIES PHILIPPINES, INC.	NEW EMPLOYMENT
27-Jul-24	ARQUEZA	ADRIEN JULES S.	STUDENT INTERN	-	CTS GLOBAL EQUITY GROUP, INC.	RESIGNATION (no clearance required)
27-Jul-24	CALIJA	FRANCINE DUNE B.	STUDENT INTERN	-	CTS GLOBAL EQUITY GROUP, INC.	RESIGNATION (no clearance required)
27-Jul-24	CONCEPCION	MIGUEL ANTONIO G.	STUDENT INTERN	-	CTS GLOBAL EQUITY GROUP, INC.	RESIGNATION (no clearance required)
27-Jul-24	DAGDAG	DANIELLE S.	STUDENT INTERN	-	CTS GLOBAL EQUITY GROUP, INC.	RESIGNATION (no clearance required)
27-Jul-24	DOLOR	CHRISTER BEEL C.	STUDENT INTERN	-	CTS GLOBAL EQUITY GROUP, INC.	RESIGNATION (no clearance required)
27-Jul-24	GONZAGA	GIANCARLO NURHACHU H.	STUDENT INTERN	-	CTS GLOBAL EQUITY GROUP, INC.	RESIGNATION (no clearance required)
27-Jul-24	GONZALEZ	WILLIAM WARREN C.	STUDENT INTERN	-	CTS GLOBAL EQUITY GROUP, INC.	RESIGNATION (no clearance required)
27-Jul-24	LIM	ALEX EDRICH N.	STUDENT INTERN	-	CTS GLOBAL EQUITY GROUP, INC.	RESIGNATION (no clearance required)
27-Jul-24	LOPEZ	ANN MARGARETTE L.	STUDENT INTERN	-	CTS GLOBAL EQUITY GROUP, INC.	RESIGNATION (no clearance required)
27-Jul-24	MANZANA	FRANCINE ELISHA C.	STUDENT INTERN	-	CTS GLOBAL EQUITY GROUP, INC.	RESIGNATION (no clearance required)
27-Jul-24	OCIER	CHRISTOPHER IVAN ESCOLAR Y.	STUDENT INTERN	-	CTS GLOBAL EQUITY GROUP, INC.	RESIGNATION (no clearance required)
27-Jul-24	ONG	FRANCIS NATHAN H.	STUDENT INTERN	-	CTS GLOBAL EQUITY GROUP, INC.	RESIGNATION (no clearance required)
27-Jul-24	OSORIO	JOSE CARLOS MIGUEL A.	STUDENT INTERN	-	CTS GLOBAL EQUITY GROUP, INC.	RESIGNATION (no clearance required)

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
27-Jul-24	SUN	DONNE JULIUS A.	STUDENT INTERN	-	CTS GLOBAL EQUITY GROUP, INC.	RESIGNATION (no clearance required)
27-Jul-24	TO	FREDRICH RUSSELL C.	STUDENT INTERN	-	CTS GLOBAL EQUITY GROUP, INC.	RESIGNATION (no clearance required)
27-Jul-24	UNGSON	RICARDO RAFAEL U.	STUDENT INTERN	-	CTS GLOBAL EQUITY GROUP, INC.	RESIGNATION (no clearance required)
26-Jul-24	FAELMOCA	MA. PATRICIA L.	-	DIGITAL CHANNELS AND MARKETING OFFICER	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
26-Jul-24	OCAMPO JR.	ANTONIO R	-	DIRECTOR/CHAIRMAN	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
26-Jul-24	DUMLAO	JOSE PATRICIO A.	DIRECTOR/CHAIRMAN	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (no clearance required)
24-Jul-24	BELEN	MARJORIE D.	TRADER	-	LANDBANK SECURITIES, INC.	RESIGNATION (clearance under process)
23-Jul-24	LUBATON	ROWENA MARIE A.	HEAD OF PREMIUM	CERTIFIED EQUITY AND FUND SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
23-Jul-24	LACUNA	ROCHELLE M.	CERTIFIED EQUITY AND MUTUAL FUND SPECIALIST	CERTIFIED EQUITY SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
23-Jul-24	BUHAIN	DOMINIC LEANDRE D.	DEALER	-	CLSA PHILIPPINES, INC.	RESIGNATION (clearance under process)
22-Jul-24	CHU	JAMES Y.	VICE PRESIDENT	PRESIDENT	NEW WORLD SECURITIES CO., INC.	RE-DESIGNATION
22-Jul-24	CHU	JERRY Y.	ASSISTANT CORPORATE SECRETARY	VICE PRESIDENT	NEW WORLD SECURITIES CO., INC.	RE-DESIGNATION
22-Jul-24	YU	ALEXANDER C.	ASSISTANT TREASURER	TREASURER	NEW WORLD SECURITIES CO., INC.	RE-DESIGNATION
22-Jul-24	CHU	JOAN CHAI D.	NOMINEE/CHAIRMAN/PRESIDENT	NOMINEE/CHAIRMAN	NEW WORLD SECURITIES CO., INC.	RE-DESIGNATION
22-Jul-24	CHU	AGAPITO D.	TREASURER	DIRECTOR	NEW WORLD SECURITIES CO., INC.	RE-DESIGNATION

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
22-Jul-24	PATRICIO	SAMANTHA GABRIELLE R.	-	EQUITY RESEARCH ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
21-Jul-24	REYES	JOAQUIN MIGUEL T.	RESEARCH ANALYST	-	BDO SECURITIES CORPORATION	RESIGNATION
19-Jul-24	MORA	RICHELLE F.	SALESMAN TRAINEE	SALESMAN	LANDBANK SECURITIES, INC.	RE-DESIGNATION
19-Jul-24	DIZON	RALPH VINCENT V.	ELECTRONIC TRADER	-	SALISBURY SECURITIES CORPORATION	RESIGNATION (cleared)
19-Jul-24	BACANI JR.	PATERNO C.	DIRECTOR	DIRECTOR/ CHAIRMAN	RTG & CO., INC.	RE-DESIGNATION
19-Jul-24	GUINGONA	MA. VICTORIA G.	NOMINEE/ CHAIRMAN/ DIRECTOR	NOMINEE/ PRESIDENT/ DIRECTOR	RTG & CO., INC.	RE-DESIGNATION
19-Jul-24	GARCIA	JOSE FRANCISCO O.	INTERIM PRESIDENT/ DIRECTOR/ TRADER	DIRECTOR/ TRADER	RTG & CO., INC.	RE-DESIGNATION
19-Jul-24	AMOR	JOHN PAUL D.	SENIOR SOFTWARE ENGINEER	-	PHILSTOCKS FINANCIAL, INC.	RESIGNATION
16-Jul-24	ALEJANDRO	ARCELIE R.	-	SETTLEMENT ASSOCIATE	PNB SECURITIES, INC.	NEW EMPLOYMENT
16-Jul-24	REGALA	SHERIE MAY B.	RELATIONSHIP MANAGER / OFFICER-IN-CHARGE OF	-	BDO SECURITIES CORPORATION	TRANSFER TO BDOU
16-Jul-24	GUINTO	LICHELE MARIE G.	RELATIONSHIP MANAGER	-	BDO SECURITIES CORPORATION	TRANSFER TO BDOU
16-Jul-24	GOTIANGCO	RICO ANDREI NICOELAI N.	RELATIONSHIP MANAGER	-	BDO SECURITIES CORPORATION	TRANSFER TO BDOU
16-Jul-24	JARIN	HAYDEE M.	ASSOCIATE RELATIONSHIP MANAGER	-	BDO SECURITIES CORPORATION	TRANSFER TO BDOU
16-Jul-24	SUPAN	JENA REGINA A.	ASSOCIATE RELATIONSHIP MANAGER	-	BDO SECURITIES CORPORATION	TRANSFER TO BDOU
16-Jul-24	TAAG	KRISTOFFER LAURENCE M.	-	EXECUTIVE ASSISTANT TO THE PRESIDENT	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT

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16-Jul-24	GANNABAN	BRENDA A.	JAM - EQUITY RESEARCH ANALYST	-	RCBC SECURITIES, INC.	RESIGNATION (clearance under process)
16-Jul-24	NATIVIDAD	JOSH DANIEL L.	-	FO - EQUITY RESEARCH ANALYST	RCBC SECURITIES, INC.	NEW EMPLOYMENT
16-Jul-24	MIGUEL	MARVIN R.	SR. TRAINING AND SPECIAL PROJECTS MANAGER	SALESMAN	PHILSTOCKS FINANCIAL, INC.	RE-DESIGNATION
15-Jul-24	SEBASTIAN	JOANNA S.	ACCOUNTING SPECIALIST	SENIOR ASSISTANT CASHIERING	AB CAPITAL SECURITIES, INC.	RE-DESIGNATION
15-Jul-24	ANICETO	MYLES BENEDICT S.	SALESPERSON	-	CLSA PHILIPPINES, INC.	RESIGNATION (clearance under process)
15-Jul-24	LANA	GERALDINE L.	OPERATIONS SUPERVISOR	-	ABACUS SECURITIES CORPORATION	RESIGNATION (clearance under process)
15-Jul-24	UY	LUCKY DICKINSON T.	SALESMAN	-	SB EQUITIES, INC.	RESIGNATION
15-Jul-24	CABAUATAN	CHLOIE RAZE D.	-	ON-THE-JOB TRAINING	LANDBANK SECURITIES, INC.	NEW EMPLOYMENT
15-Jul-24	ALONZO	ELIJAH JAMES G.	-	ON-THE-JOB TRAINING	LANDBANK SECURITIES, INC.	NEW EMPLOYMENT
15-Jul-24	DIZON	KYANA CODI E.	-	ON-THE-JOB TRAINING	LANDBANK SECURITIES, INC.	NEW EMPLOYMENT
10-Jul-24	LAURE	GINA ROSE T.	-	INDEPENDENT DIRECTOR	MOUNT PEAK SECURITIES, INC.	NEW EMPLOYMENT
10-Jul-24	DELA FUENTE	ALBERTO T.	INDEPENDENT DIRECTOR	-	MOUNT PEAK SECURITIES, INC.	RESIGNATION
8-Jul-24	LORICA	ABIGAIL C.	-	ASSOCIATED PERSON	GOLDEN TOWER SECURITIES & HOLDINGS, INC.	NEW EMPLOYMENT
8-Jul-24	ATENTA	JORGE JOSEF P.	-	SETTLEMENT ASSISTANT	DAVID GO SECURITIES CORPORATION	NEW EMPLOYMENT
5-Jul-24	DINGLE	RAMESES JOSEPH N.	TRADE LIFECYCLE ANALYST (OUTSOURCED)	-	J. P. MORGAN SECURITIES PHILIPPINES, INC.	RESIGNATION
5-Jul-24	LAVENTE	MARIO L.	-	INDEPENDENT DIRECTOR	F. YAP SECURITIES, INC.	NEW EMPLOYMENT

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
5-Jul-24	RODILLAS	JOHN ERIC R.	-	INDEPENDENT DIRECTOR	F. YAP SECURITIES, INC.	NEW EMPLOYMENT
5-Jul-24	CIELO	ANTONIO S.	INDEPENDENT DIRECTOR	-	F. YAP SECURITIES, INC.	RETIREMENT
5-Jul-24	MIRANDA	RODOLFO S.	INDEPENDENT DIRECTOR	-	F. YAP SECURITIES, INC.	RETIREMENT
5-Jul-24	MORENO	JOSEF ANGELOUS G.	-	IT STAFF - CONSULTANT	MAYBANK SECURITIES INC.	NEW EMPLOYMENT
4-Jul-24	CRUZ	RACHELLE C.	SENIOR RESEARCH ANALYST	-	BDO SECURITIES CORPORATION	RESIGNATION
4-Jul-24	LOPEZ	ROSIAN S.	ACCOUNTING ASSISTANT	-	VENTURE SECURITIES, INC.	RESIGNATION (cleared)
4-Jul-24	ESPARAZ	ANTONIO Y.	CHAIRMAN	CHAIRMAN/NOMINEE	AP SECURITIES, INC.	RE-DESIGNATION
4-Jul-24	CRISOSTOMO	WILMA C.	DIRECTOR/NOMINEE	DIRECTOR	AP SECURITIES, INC.	RE-DESIGNATION
1-Jul-24	PEREZ	DAVID M.	SAM- ASST. OPERATIONS HEAD	M- ASST. OPERATIONS HEAD	RCBC SECURITIES, INC.	RE-DESIGNATION
1-Jul-24	CABACUNGAN	INZAGHI RAFAEL D.	FO - RESEARCH ANALYST	JAM - RESEARCH ANALYST	RCBC SECURITIES, INC.	RE-DESIGNATION
1-Jul-24	PIOL	ALVIN L.	SETTLEMENT CLERK	FO - SETTLEMENT CLERK	RCBC SECURITIES, INC.	RE-DESIGNATION
1-Jul-24	JAVATE	JED C.	DISBURSEMENT CLERK	FO - DISBURSEMENT CLERK	RCBC SECURITIES, INC.	RE-DESIGNATION
1-Jul-24	MAÑAGO	GIULIO RINALDO N.	DIGITAL INNOVATIONS & ON-LINE RETAIL MARKETING ASSISTANT	FO - DIGITAL INNOVATIONS & ON-LINE RETAIL MARKETING ASSISTANT	RCBC SECURITIES, INC.	RE-DESIGNATION
1-Jul-24	CHIU	JULIA ABIGAIL U.	INTERN	SALES AND TRADING ASSOCIATE	CLSA PHILIPPINES, INC.	RE-DESIGNATION
1-Jul-24	NONATO	RAMON E.	CENTER HEAD	-	BDO SECURITIES CORPORATION	RESIGNATION
1-Jul-24	GUINTO	GERMAINE MARIE O.	-	RESEARCH ANALYST	MAYBANK SECURITIES INC.	NEW EMPLOYMENT
1-Jul-24	BADIOLA	RAMON EMMANUEL	-	INSTITUTIONAL DEALER	BPI SECURITIES CORPORATION	NEW EMPLOYMENT

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1-Jul-24	CATINDOY	REYNA AN S.	-	ACCOUNTING ASSISTANT	VENTURE SECURITIES, INC.	NEW EMPLOYMENT
1-Jul-24	MARCOS	BRYLLE KENJI B.	-	INTERN	DRAGONFI SECURITIES INC.	NEW EMPLOYMENT
1-Jul-24	BOLONG	EMELITA R.	AUTHORIZED CLERK	AUTHORIZED CLERK/DMA DESIGNATED PERSONNEL	WEALTH SECURITIES, INC.	RE-DESIGNATION
1-Jul-24	YU	NIKKI L.	AUTHORIZED AGENT	AUTHORIZED AGENT/DMA ALTERNATE PERSONNEL	WEALTH SECURITIES, INC.	RE-DESIGNATION
1-Jul-24	ALDABA	JEAN ANGELICA I.	CUSTOMER SERVICE STAFF	CUSTOMER SERVICE ASSISTANT	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jul-24	EDADES	ARLENE S.	ASSOCIATED PERSON / COMPLIANCE OFFICER	ASSOCIATED PERSON / SENIOR COMPLIANCE OFFICER	ASIASEC EQUITIES, INC.	RE-DESIGNATION
1-Jul-24	GARCIA	JUAN ALFONSO L.	ASSOCIATED PERSON / COMPLIANCE ASSISTANT	ASSOCIATED PERSON / COMPLIANCE OFFICER	ASIASEC EQUITIES, INC.	RE-DESIGNATION
1-Jul-24	BAYLON	JASH MATTHEW M.	-	EQUITY ANALYST	THE FIRST RESOURCES MGMT. SECURITIES CORP.	NEW EMPLOYMENT
1-Jul-24	BAGUNU	ANGELU G.	ADMINISTRATIVE ASSOCIATE	HR GENERALIST	THE FIRST RESOURCES MGMT. SECURITIES CORP.	RE-DESIGNATION
1-Jul-24	TAN	JAN SHARON G.	-	VICE PRESIDENT /ASSISTANT CORPORATE SECRETARY	EVERGREEN STOCK BROKERAGE & SECURITIES, INC.	NEW EMPLOYMENT
1-Jul-24	MERCADO	NORMAN PHILLIP A.	-	TRANSFER CLERK	F. YAP SECURITIES, INC.	NEW EMPLOYMENT
30-Jun-24	UY	JEANNE K.	OFFICE MANAGER	-	BA SECURITIES, INC.	RETIREMENT
30-Jun-24	CLEOFAS	JERARD F.	IT CONSULTANT	-	CLSA PHILIPPINES, INC.	RESIGNATION (no clearance required)
30-Jun-24	SALUBRE	JERWYN RHEY A.	IT CONSULTANT	-	CLSA PHILIPPINES, INC.	RESIGNATION (no clearance required)
30-Jun-24	PONO	MA. ARACELI D.	SENIOR MANAGER - ASSOCIATED PERSON	-	ABACUS SECURITIES CORPORATION	RESIGNATION

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
30-Jun-24	RAMOS	PAUL JAYSON I.	SENIOR VICE PRESIDENT, INSTITUTIONAL SALES	-	MAYBANK SECURITIES INC.	RESIGNATION (clearance under process)
30-Jun-24	TAGLE II	DANTE	RESEARCH ASSISTANT	-	AP SECURITIES, INC.	RESIGNATION
30-Jun-24	GASPAR	JENELYN S.	BUSINESS ANALYST SYSTEM	-	BDO SECURITIES CORPORATION	RESIGNATION
30-Jun-24	MANCERA	JOSE GIOVANNI L.	AUTHORIZED SIGNATORY, COG-TD, CBC	-	CHINA BANK SECURITIES CORPORATION	RESIGNATION (no clearance required)
30-Jun-24	JALIQUE	JAMMILLE R.	COLLECTION AND DISPATCH COORDINATOR	ACCOUNTING ANALYST	SB EQUITIES, INC.	RE-DESIGNATION
30-Jun-24	BAAL	GENALYN B.	AUTHORIZED AGENT	-	WEALTH SECURITIES, INC.	RESIGNATION
29-Jun-24	GARCIA	JOSE FRANCISCO O.	DIRECTOR/ PRESIDENT/ TRADER	DIRECTOR/ INTERIM PRESIDENT/ TRADER/ CONSULTANT	RTG & CO., INC.	RE-DESIGNATION
28-Jun-24	TROPA	BABY LYN	OPERATION SPECIALIST	-	SB EQUITIES, INC.	RESIGNATION
28-Jun-24	BADIOLA	RAMON EMMANUEL B.	TRADER/INSTITUTIONAL SALES SUPERVISOR	-	PHILSTOCKS FINANCIAL, INC.	RESIGNATION
28-Jun-24	DE JESUS	MARICHAR A.	CUSTOMER CARE AND NEW ACCOUNTS ASST MANAGER	-	PHILSTOCKS FINANCIAL, INC.	RESIGNATION
28-Jun-24	SAMSON	ALBERIC JOHN M.	SALES AND TRAINING OFFICER	-	PHILSTOCKS FINANCIAL, INC.	RESIGNATION
28-Jun-24	MORANO	JANAH PATRICIA O.	STUDENT INTERN	-	LUNA SECURITIES, INC.	RESIGNATION
28-Jun-24	TORRES	JAN CHRISTIAN L.	STUDENT INTERN	-	LUNA SECURITIES, INC.	RESIGNATION
28-Jun-24	GAMBOA	CHRISTIAN LOUIE D.	JUNIOR SOFTWARE ENGINEER	-	LUNA SECURITIES, INC.	RESIGNATION

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
28-Jun-24	CENTINO	EARL CLUGH	EQUITY TRADER	-	THE FIRST RESOURCES MGMT. SECURITIES CORP.	RESIGNATION (without clearance)
28-Jun-24	CHOZAS	CYRUS YVES A.	ACCOUNTING ASSOCIATE	-	THE FIRST RESOURCES MGMT. SECURITIES CORP.	RESIGNATION (no clearance required)
28-Jun-24	PILON	ANGELICA T.	SETTLEMENT ASSISTANT	-	SALISBURY SECURITIES CORPORATION	RESIGNATION (cleared)
28-Jun-24	ENARCISO	ROWELYN M.	ACCOUNTING ASSISTANT	-	SALISBURY SECURITIES CORPORATION	RESIGNATION (cleared)
26-Jun-24	JABLA	ELIJAH JUDD D.	BUSINESS DEVELOPMENT OFFICER	-	ABACUS SECURITIES CORPORATION	RESIGNATION
26-Jun-24	SO	DANIEL T.	LICENSED SALESMAN	-	R.S. LIM & COMPANY, INC.	DECEASED
24-Jun-24	LALLABBAN	KASHMIR	-	INTERN	DRAGONFI SECURITIES INC.	NEW EMPLOYMENT
19-Jun-24	REYES	GEOMENTZE RITZ M.	-	ACCOUNTANT	AP SECURITIES, INC.	NEW EMPLOYMENT
18-Jun-24	SIMPAO	THERESE GAIL T.	-	OPERATIONS SPECIALISTS	SB EQUITIES, INC.	NEW EMPLOYMENT
18-Jun-24	SY	JANINE AUBREY S.	-	STUDENT INTERN	LUNA SECURITIES, INC.	NEW EMPLOYMENT
17-Jun-24	SANTIBAÑEZ	RUTH BEA L.	-	SALES SUPPORT/ONLINE TRADING ASSISTANT	AP SECURITIES, INC.	NEW EMPLOYMENT
17-Jun-24	SARMIENTO	FRANCISCO EDUARDO A.	DIVISION HEAD, CENTRALIZED OPERATIONS GROUP- TREASURY	OPERATIONS OFFICER, COG-TOD, CBC	CHINA BANK SECURITIES CORPORATION	RE-DESIGNATION
17-Jun-24	BURAGAY	ALALYN J.	HEAD, BROKERAGE OPERATIONS, COG- TOD, CBC	OPERATIONS OFFICER, COG-TOD, CBC	CHINA BANK SECURITIES CORPORATION	RE-DESIGNATION
17-Jun-24	CERVERA	SAHARA STAR G.	AUTHORIZED SIGNATORY, COG-TD, CBC	OPERATIONS OFFICER, COG-TOD, CBC	CHINA BANK SECURITIES CORPORATION	RE-DESIGNATION

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17-Jun-24	FELIPE	MICHELLE ANNE A.	AUTHORIZED SIGNATORY, COG-TD, CBC	OPERATIONS OFFICER, COG-TOD, CBC	CHINA BANK SECURITIES CORPORATION	RE-DESIGNATION
17-Jun-24	OBISPO	ANALIZA M.	ACCOUNTING OFFICER, SUBSIDIARIES AND PROJECT OVERSIGHT DEPARTMENT, FINANCIAL CONTROL AND ACCOUNTING DIVISION (FCAD),CBC	SPOD OFFICER, FCAD, CBC	CHINA BANK SECURITIES CORPORATION	RE-DESIGNATION
17-Jun-24	SIOSON	FAITH DOMINIQUE D.	STAFF, SUBSIDIARIES AND PROJECT OVERSIGHT DEPARTMENT, FINANCIAL CONTROL AND ACCOUNTING DIVISION, CBC	SPOD STAFF, FCAD, CBC	CHINA BANK SECURITIES CORPORATION	RE-DESIGNATION
17-Jun-24	CABASAG	CRISTINE N.	-	OPERATIONS OFFICER, COG-TOD, CBC	CHINA BANK SECURITIES CORPORATION	NEW EMPLOYMENT
17-Jun-24	TAPULAO	SHEENA MARI D.	-	OPERATIONS OFFICER, COG-TOD, CBC	CHINA BANK SECURITIES CORPORATION	NEW EMPLOYMENT
17-Jun-24	CRUZ	CATHERINE P.	-	OPERATIONS OFFICER, COG-TOD, CBC	CHINA BANK SECURITIES CORPORATION	NEW EMPLOYMENT
17-Jun-24	ORTEGA	GRACE ANNE F.	-	OPERATIONS OFFICER, COG-TOD, CBC	CHINA BANK SECURITIES CORPORATION	NEW EMPLOYMENT
17-Jun-24	PEJI	MARIELLA CHARMAINE	-	OPERATIONS ASSISTANT, COG-TOD, CBC	CHINA BANK SECURITIES CORPORATION	NEW EMPLOYMENT
13-Jun-24	NOEL JR.	AARON ALEXANDER C.	-	DRIVER/MESSENGER - CONTRACTUAL	MAYBANK SECURITIES INC.	NEW EMPLOYMENT
13-Jun-24	SANDIG	RICO G.	CONTRACTUAL - MESSENGER	-	MAYBANK SECURITIES INC.	RESIGNATION (cleared)

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13-Jun-24	LABADDAY	NINA GRACE O.	OPERATION ADMIN ASSISTANT - CONTRACTUAL	-	MAYBANK SECURITIES INC.	RESIGNATION (cleared)
11-Jun-24	BEROY	CEDIE S.	GRAPHIC DESIGNER	-	COL FINANCIAL GROUP, INC.	UPDATE: RESIGNATION (cleared)
10-Jun-24	YAO	ANDREW CHRISTIAN C.	-	JUNIOR ANALYST	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
3-Jun-24	TE	NICHOLAS ANTHONY	-	DIRECTOR	ARMSTRONG SECURITIES, INC.	NEW EMPLOYMENT
3-Jun-24	FILAMOR	ANTHONY ENRIQUE	-	INDEPENDENT DIRECTOR	ARMSTRONG SECURITIES, INC.	NEW EMPLOYMENT
3-Jun-24	GONZALES	LITRICK	-	MESSENGER	ARMSTRONG SECURITIES, INC.	NEW EMPLOYMENT
30-May-24	SANGALANG	JOLINA MARIE F.	ACCOUNTING ASSISTANT	-	SB EQUITIES, INC.	RESIGNATION
30-May-24	DEZA	MA. LAWRYN D.	ASSOCIATED PERSON	COMPLIANCE / ASSOCIATED PERSON	SB EQUITIES, INC.	RE-DESIGNATION