



# MEMORANDUM – 2024-026

**TO** : ALL TRADING PARTICIPANTS

**SUBJECT** : EMPLOYEE MOVEMENT

**DATE** : 04 OCTOBER 2024

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Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 30 September 2024.

For information and guidance.

*(original signed)*

**GERARD B. SANVICTORES**

*President*

ACD	FHRAD	IED	SD

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
27-Sep-24	DE LEON	JAN JEREMIAH B.	CAIF CUSTODIAN	-	PHILSTOCKS FINANCIAL, INC.	RESIGNATION
25-Sep-24	ESCRAMAN	RAQUEL R.	SR. SUPERVISOR-CUSTOMER SERVICE	-	ABACUS SECURITIES CORPORATION	RESIGNATION (clearance under process)
24-Sep-24	MACAINAG	MICHAEL M.	HEAD, RETAIL EQUITIES	-	MAYBANK SECURITIES, INC.	RESIGNATION (clearance under process)
23-Sep-24	CANLAS	RONNEL S.	-	ASSOCIATE ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
23-Sep-24	RENIA	MA. ANGELICA S.	-	ASSOCIATE ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
23-Sep-24	RAMOS	CHARLES JOSEPH II V.	-	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
23-Sep-24	POTENCIANO	LORRAINE L.	-	ASSOCIATE ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
20-Sep-24	TORRES	ANGELO ACESYL S.	SALESMAN	-	GUILD SECURITIES, INC.	RESIGNATION (clearance under process)
20-Sep-24	OLIVEROS	EDWIN G.	CONSULTANT	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (cleared)
18-Sep-24	DELA CRUZ	RAYMALL FRANZ	-	OPERATIONS ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
16-Sep-24	APARENTE	JOHN KENNETH P.	-	CUSTOMER SERVICE REPRESENTATIVE	INVESTORS SECURITIES, INC.	NEW EMPLOYMENT
16-Sep-24	RAMOS	KIRSTEN DOMINIQUE M.	-	EQUITY RESEARCH ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
16-Sep-24	SINIGUIAN	ANGELINE DAWN	-	HEAD OF ACCOUNTING	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
16-Sep-24	ALONZO	JOHN KEVIN	-	EQUITY RESEARCH INTERN	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
16-Sep-24	SUPNET	DANIELLA MARIE F.	-	INTERNAL AUDIT OFFICER	MAYBANK SECURITIES, INC.	NEW EMPLOYMENT

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
15-Sep-24	LAPLANA	ULYSSES JOVEN A.	-	TRADER	GUILD SECURITIES, INC.	NEW EMPLOYMENT
15-Sep-24	JAVIER	LYNNETH C.	BRANCH ASSISTANT	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (clearance under process)
15-Sep-24	ESTANISLAO	KIM BRIAN D.	ADMIN AND HR ASSISTANT	-	ABACUS SECURITIES CORPORATION	RESIGNATION (clearance under process)
15-Sep-24	SALANG JR.	DIOSDADO C.	INDEPENDENT DIRECTOR	-	ARMSTRONG SECURITIES, INC.	RESIGNATION
13-Sep-24	NOZAWA	NIKKI P.	OPERATIONS ASSOCIATE	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
13-Sep-24	DIZON	KYANA CODI E.	ON-THE-JOB TRAINING STUDENT	-	LANDBANK SECURITIES, INC.	RESIGNATION (clearance under process)
13-Sep-24	AGLIPAY	MIKAELA	EQUITY RESEARCH INTERN	-	BPI SECURITIES CORPORATION	RESIGNATION (clearance under process)
13-Sep-24	PALO	GISELLE S.	ACCOUNT SUPPORT	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (cleared)
12-Sep-24	CASIÑO	LIEZEL S.	CUSTOMER SERVICE ASSISTANT	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (clearance under process)
11-Sep-24	DE CASTRO	SALUD D.	NOMINEE, PRESIDENT, CHAIRMAN OF THE BOARD, SALESMAN (AGENT)	NOMINEE, PRESIDENT, CHAIRMAN OF THE BOARD	A.T. DE CASTRO SECURITIES CORPORATION	RE-DESIGNATION
10-Sep-24	GALIMBA	JADE C.	ACCOUNTING SUPERVISOR	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
10-Sep-24	PALO	GISELLE S.	-	ACCOUNT SUPPORT	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
9-Sep-24	NAGANO	VIVIAN F.	ACCOUNTING ASSISTANT	-	SUNSECURITIES, INC.	RESIGNATION (cleared)
9-Sep-24	DERPO	SAMANTHA E.	CUSTOMER SUPPORT ASSOCIATE	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
9-Sep-24	ROSALES	MYKA ANGELA L.	-	ACCOUNTING SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
9-Sep-24	SAN JUAN	MARTHA YSABELLE B.	-	ASSOCIATE RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
9-Sep-24	LATUJA	JONATHAN J.	-	SENIOR RESEARCH ANALYST	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
9-Sep-24	HARINA	PAUL SIMON OLYMPH R.	-	ADMIN AND HR ASSISTANT	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
6-Sep-24	DE GUZMAN	HONEY GRACE A.	OPERATIONS ASSOCIATE	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
6-Sep-24	LAPLANA	ULYSSES JOVEN A.	CUSTOMER SERVICE ASSOCIATE	-	ABACUS SECURITIES CORPORATION	RESIGNATION (clearance under process)
5-Sep-24	TIU	BEN	NOMINEE	-	FIDELITY SECURITIES, INC.	RESIGNATION
5-Sep-24	TIU	BENJAMIN JOHN	PRESIDENT	NOMINEE	FIDELITY SECURITIES, INC.	RE-DESIGNATION
5-Sep-24	AGUILLON	ALTAIR GRACE A.	INSTITUTIONAL SALES OFFICER	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
4-Sep-24	MERCADO	TEIJAWN GABRIEL D.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
4-Sep-24	BAEL	TRISHA D.	INTERN	-	DRAGONFI SECURITIES INC.	RESIGNATION
3-Sep-24	DE LA CRUZ	RIAN	FIELD MESSENGER	-	NEW WORLD SECURITIES CO., INC.	RESIGNATION
3-Sep-24	TIN	JARROD LEIGHTON	-	RESEARCH ANALYST	DRAGONFI SECURITIES INC.	NEW EMPLOYMENT
2-Sep-24	GUTIERREZ	CATHERINE ROSE L.	CERTIFIED SECURITIES REPRESENTATIVE (CSR)	-	AP SECURITIES, INC.	RESIGNATION (cleared)
2-Sep-24	CALISON	KRIS AARON S.	CERTIFIED SECURITIES REPRESENTATIVE (CSR)	-	AP SECURITIES, INC.	RESIGNATION (cleared)
2-Sep-24	DELA CRUZ	LAICA CAMILLE A.	CERTIFIED SECURITIES REPRESENTATIVE (CSR)	-	AP SECURITIES, INC.	RESIGNATION (cleared)

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
2-Sep-24	DELGADO	MARIA CRISTINA M.	CERTIFIED SECURITIES REPRESENTATIVE (CSR)	-	AP SECURITIES, INC.	RESIGNATION (cleared)
2-Sep-24	DEFENSOR	MARIA THERESA T.	CERTIFIED SECURITIES REPRESENTATIVE (CSR)	-	AP SECURITIES, INC.	RESIGNATION (cleared)
2-Sep-24	BALANAY	PRINCES PAULA M.	-	SENIOR RESEARCH ANALYST	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
2-Sep-24	CARDAMA	JAN MARY T.	SETTLEMENT	ACCOUNTING ASSISTANT	INVESTORS SECURITIES, INC.	RE-DESIGNATION
2-Sep-24	ALCARAZ	CHELSEA DRESDEN JOYCE R.	-	SETTLEMENT	INVESTORS SECURITIES, INC.	NEW EMPLOYMENT
2-Sep-24	FERNANDEZ	FRANCO M.	RESEARCH ANALYST	SALESMAN	DRAGONFI SECURITIES INC.	RE-DESIGNATION
2-Sep-24	TAMAYO	AGUSTINA L.	-	ACCOUNTING ASSISTANT	SUNSECURITIES, INC.	NEW EMPLOYMENT
2-Sep-24	NICOLAS	JECONIAH S.	-	EQUITY ANALYST	THE FIRST RESOURCES MGMT. SECURITIES CORP.	NEW EMPLOYMENT
2-Sep-24	LAY	AARON MATTHEW G.	-	FINANCIAL EDUCATION ASSOCIATE	DRAGONFI SECURITIES INC.	NEW EMPLOYMENT
2-Sep-24	WARNICK	PAULINE P.	-	CUSTOMER SERVICE ASSOCIATE	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
1-Sep-24	GACUSAN	DANILO A.	ACCOUNT SERVICES OFFICER	COMPLIANCE ASSISTANT	BDO SECURITIES CORPORATION	RE-DESIGNATION
1-Sep-24	ILAG	GREGG ADRIAN	-	RESEARCH ANALYST	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
1-Sep-24	DIMAYUGA	SABINA MARIE	MANAGEMENT TRAINEE (CLIENT ACQUISITION)	CLIENT ACQUISITION OFFICER	BPI SECURITIES CORPORATION	RE-DESIGNATION
1-Sep-24	BONUS	LEONARDO G.	SALESMAN	-	INTRA-INVEST SECURITIES, INC.	DECEASED
1-Sep-24	PADICA	MA. CELESTINA G.	ASSISTANT MANAGER, ACCOUNTING	-	MAYBANK SECURITIES, INC.	RESIGNATION (clearance under process)

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
1-Sep-24	GATMAYTAN	GUIDO VITTORIO P.	-	CONTENT OFFICER	LUNA SECURITIES, INC.	NEW EMPLOYMENT
1-Sep-24	DALUMPINES	SOPHIA J.	-	JUNIOR SOFTWARE ENGINEER	LUNA SECURITIES, INC.	NEW EMPLOYMENT
1-Sep-24	VALLOYAS	JON REXZEL D.	-	JUNIOR SOFTWARE ENGINEER	LUNA SECURITIES, INC.	NEW EMPLOYMENT
31-Aug-24	GATMAYTAN	GUIDO VITTORIO P.	CREATIVE DESIGN INTERN	-	LUNA SECURITIES, INC.	RESIGNATION
30-Aug-24	GARCIA	GINA G.	SENIOR ACCOUNTING MANAGER	-	PHILSTOCKS FINANCIAL, INC.	RESIGNATION
30-Aug-24	AGUILA	GABRYLE D.	MANAGER, RESEARCH HEAD	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (cleared)
30-Aug-24	ANDAN	ROLAND EARL	JUNIOR QA ENGINEER	-	DRAGONFI SECURITIES INC.	RESIGNATION
29-Aug-24	BALAGUER	KERUBIN M.	ACCOUNTING ASSISTANT	-	EASTERN SECURITIES DEVT. CORPORATION	RESIGNATION (clearance under process)
29-Aug-24	GEOTINA	ROBERTO ROLANDO L.	ASSISTANT CORPORATE SECRETARY	-	MERIDIAN SECURITIES, INC.	RESIGNATION (no clearance required)
29-Aug-24	BITANCOR	GIANNA CHRIS GAILE E.	-	ASSISTANT CORPORATE SECRETARY	MERIDIAN SECURITIES, INC.	NEW EMPLOYMENT
28-Aug-24	CANALES	FREDA N.	ASSOCIATED PERSON-ACCOUNTING	ASSOCIATED PERSON-COMPLIANCE	UNICAPITAL SECURITIES, INC.	RE-DESIGNATION
27-Aug-24	BELEN	MARJORIE D.	-	COMPLIANCE SPECIALIST	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
27-Aug-24	GARCIA	CELESTELLE THERESE S.	ASSISTANT MANAGER, ASSISTANT COMPLIANCE	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (cleared)
24-Aug-24	CHUA	AURORA A.	CORPORATE SECRETARY	-	STAR ALLIANCE SECURITIES CORPORATION	RESIGNATION
23-Aug-24	FRANCISCO	EDUARDO V.	CHAIRMAN/ NOMINEE-APPLICANT	CHAIRMAN/NOMINEE	BDO SECURITIES CORPORATION	RE-DESIGNATION

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
19-Aug-24	RAMIREZ	CHOLO MIGUEL C.	-	RESEARCH ASSISTANT	AP SECURITIES, INC.	NEW EMPLOYMENT
15-Aug-24	MADRID	JONATHAN GABRIEL G.	-	RESEARCH ASSOCIATE	UBS SECURITIES PHILIPPINES INC.	NEW EMPLOYMENT
15-Aug-24	JABLA	ELIJAH JUDD D.	-	CERTIFIED SECURITIES REPRESENTATIVE	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
9-Aug-24	PLOPENIO	MIKHAIL PHILIPPE Q.	RESEARCH AND CLIENT ENGAGEMENT OFFICER	-	PHILSTOCKS FINANCIAL, INC.	RESIGNATION
9-Aug-24	CHOI	MARTIN MAN HING H.	TRADER	-	VC SECURITIES CORPORATION	RESIGNATION (cleared)
5-Aug-24	LIMOSA	VIOLA E.	-	ACCOUNTING OFFICER	PHILSTOCKS FINANCIAL, INC.	NEW EMPLOYMENT
1-Aug-24	LIAO	JARENZ S.	INVESTMENT ANALYST	-	F. YAP SECURITIES, INC.	RESIGNATION (clearance under process)
1-Aug-24	FRANCIA	ALBERTO A.	-	MESSENGER	CHINA BANK SECURITIES CORPORATION	NEW EMPLOYMENT
1-Aug-24	ROSENDAL	GERALDYNE ANNE M.	-	GENERAL SECRETARY AND ADMINISTRATIVE ASSISTANT	CHINA BANK SECURITIES CORPORATION	NEW EMPLOYMENT
1-Aug-24	PALISOC	MARIA KRISTIN JOY C.	GENERAL SECRETARY AND ADMINISTRATIVE ASSISTANT	BUSINESS OPERATIONS ASSOCIATE	CHINA BANK SECURITIES CORPORATION	RE-DESIGNATION
1-Aug-24	JIAO	CHRISTIAN DOMINIC A.	MESSENGER	-	CHINA BANK SECURITIES CORPORATION	RESIGNATION (clearance under process)
31-Jul-24	MOLINA	JONALYN L.	CLIENT EXPERIENCE SUPERVISOR	-	COL FINANCIAL GROUP, INC.	RESIGNATION (cleared)
25-Apr-24	CONEJERO	EMMA RAE Y.	BROKERAGE CLERK	-	IMPERIAL DE GUZMAN, ABALOS & CO., INC.	RESIGNATION