



MEMORANDUM – 2024-028

TO : ALL TRADING PARTICIPANTS

SUBJECT : EMPLOYEE MOVEMENT

DATE : 08 NOVEMBER 2024

Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 31 October 2024.

For information and guidance.

(original signed)

GERARD B. SANVICTORES

President

ACD	FHRAD	IED	SD

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
30-Oct-24	ALMARIO	JOHN PATRICK S.	DIGITAL CHANNELS AND MARKETING OFFICER	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
29-Oct-24	AVILES	MIKO ANGELO G.	ASSOCIATE ACCOUNT OFFICER	-	BDO SECURITIES CORPORATION	TRANSFER TO BDO PB
29-Oct-24	BALANAY	PRINCES PAULA M.	ASSOCIATE ACCOUNT OFFICER	-	BDO SECURITIES CORPORATION	TRANSFER TO BDO PB
29-Oct-24	CANLAS	RONNEL S.	ASSOCIATE ACCOUNT OFFICER	-	BDO SECURITIES CORPORATION	TRANSFER TO BDO PB
29-Oct-24	ELEGINO	HANNAH SOFIA R.	ASSOCIATE ACCOUNT OFFICER	-	BDO SECURITIES CORPORATION	TRANSFER TO BDO PB
29-Oct-24	FRANCISCO	AVA SHEKINAH A.	ASSOCIATE ACCOUNT OFFICER	-	BDO SECURITIES CORPORATION	TRANSFER TO BDO PB
29-Oct-24	LUMANGYAO	FERNALENE JOY	ASSOCIATE ACCOUNT OFFICER	-	BDO SECURITIES CORPORATION	TRANSFER TO BDO PB
29-Oct-24	ONG	LORRIE PHILLIMAE P.	ASSOCIATE ACCOUNT OFFICER	-	BDO SECURITIES CORPORATION	TRANSFER TO BDO PB
29-Oct-24	POTENCIANDO	LORRAINE L.	ASSOCIATE ACCOUNT OFFICER	-	BDO SECURITIES CORPORATION	TRANSFER TO BDO PB
29-Oct-24	SAN JUAN	MARTHA YSABELLE B.	ASSOCIATE ACCOUNT OFFICER	-	BDO SECURITIES CORPORATION	TRANSFER TO BDO PB
29-Oct-24	SANTOS	ROBERT RENDELL G.	ASSOCIATE ACCOUNT OFFICER	-	BDO SECURITIES CORPORATION	TRANSFER TO BDO PB
29-Oct-24	TUAZON	YSABELLA MARIA ANGELA A.	ASSOCIATE ACCOUNT OFFICER	-	BDO SECURITIES CORPORATION	TRANSFER TO BDO PB
28-Oct-24	MALLARI	MARIE KRISTINE ROMIJNA L.	-	CUSTOMER SUPPORT ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
28-Oct-24	LUYM	VIOLETA O.	DIRECTOR	-	BDO SECURITIES CORPORATION	DECEASED
25-Oct-24	TAMASE	PATRICIA ISABEL S.	INSTITUTIONAL SALES	-	CLSA PHILIPPINES, INC.	RESIGNATION (clearance under process)

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
25-Oct-24	ALEGADO	LADYLINE RANCEZ M.	ON-BOARDING SPECIALIST	-	SB EQUITIES, INC.	RESIGNATION
24-Oct-24	CABAUATAN	CHLOIE RAZE D.	ON-THE-JOB TRAINING	-	LANDBANK SECURITIES, INC.	RESIGNATION (end of training)
24-Oct-24	ALONZO	ELIJAH JAMES G.	ON-THE-JOB TRAINING	-	LANDBANK SECURITIES, INC.	RESIGNATION (end of training)
23-Oct-24	ALMAZAN	MA. REALIZA P.	HEAD - DIGITAL CHANNELS AND MARKETING	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
17-Oct-24	SISON	CHARLENE ROWENA VICTORIA	-	GRAPHIC ARTIST	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
16-Oct-24	LOMUNTAD	MIA MAY D.	-	ASSISTANT COMPLIANCE OFFICER	PNB SECURITIES, INC.	NEW EMPLOYMENT
16-Oct-24	GALINO	REIGNIELLE C.	-	ACCOUNTING STAFF	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
16-Oct-24	RAMOS	PATRIZIA PAULA B.	-	CUSTOMER SERVICE SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
16-Oct-24	LAYAOEN	LEONEL A.	-	ACCOUNTING ASSISTANT	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
14-Oct-24	GO	MATTHEW TIMOTHY V.	TRADING OFFICER	AUTHORIZED SALESMAN	INVESTORS SECURITIES, INC.	RE-DESIGNATION
14-Oct-24	LEANDICHO	RENTZ MICHAEL M.	-	INFORMATION SECURITY OFFICER	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
14-Oct-24	KAWABATA	JEFFREY S.	INFORMATION SECURITY OFFICER	DEPARTMENT HEAD - INFORMATION SECURITY	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
9-Oct-24	MANAOG	JUSTIN DOMINIC P.	RELATIONSHIP MANAGER	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	RE-DESIGNATION
9-Oct-24	CASTILLO	ARLENE E.	ASSOCIATE RELATIONSHIP MANAGER	ASSOCIATE ACCOUNT OFFICER	BDO SECURITIES CORPORATION	RE-DESIGNATION
9-Oct-24	LITAO	PATRICK JAY V.	-	ASSISTANT MARKETING MANAGER	DRAGONFI SECURITIES, INC.	NEW EMPLOYMENT

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
9-Oct-24	SAMONTESA	MARISA	-	DOCUMENTATION ASSISTANT	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
9-Oct-24	LIGNES	RUSELL B.	DOCUMENTATION ASSISTANT	CUSTODY AND NOMINEE SERVICES STAFF	ABACUS SECURITIES CORPORATION	RE-DESIGNATION
9-Oct-24	VILLANUEVA	LEAH L.	TRADE INVOICING	CUSTODY AND NOMINEE SERVICES STAFF	ABACUS SECURITIES CORPORATION	RE-DESIGNATION
9-Oct-24	QUINTANA	RIZZA MAE S.	CUSTODY AND NOMINEE SERVICES STAFF	TRADE INVOICING	ABACUS SECURITIES CORPORATION	RE-DESIGNATION
8-Oct-24	CODERA	IRISH T.	CUSTODY AND NOMINEE SERVICES STAFF	-	ABACUS SECURITIES CORPORATION	RESIGNATION (clearance under process)
7-Oct-24	FRANCISCO	PETER J.	TREASURER	TREASURER / CHIEF STOCK TRADER / DIRECTOR / CHIEF	GUILD SECURITIES, INC.	RE-DESIGNATION
7-Oct-24	AGUILLON	ALTAIR GRACE A.	-	INSTITUTIONAL SALES	CLSA PHILIPPINES, INC.	NEW EMPLOYMENT
7-Oct-24	SILVESTRE	JAY-ES B.	-	TRADE LIFECYCLE ANALYST (OUTSOURCED)	J. P. MORGAN SECURITIES PHILIPPINES, INC.	NEW EMPLOYMENT
7-Oct-24	GRANDA	ARNOLD JOHN E.	FINANCIAL ANALYST	CSR EQUITIES TRADER	CTS GLOBAL EQUITY GROUP, INC.	RE-DESIGNATION
4-Oct-24	ELARMO	LEILANI B.	-	CORPORATE SECRETARY	CHINA BANK SECURITIES CORPORATION	NEW EMPLOYMENT
4-Oct-24	GRAVADOR	MARYNETTE M.	CORPORATE SECRETARY	-	CHINA BANK SECURITIES CORPORATION	RESIGNATION (no clearance required)
3-Oct-24	ALONZO	JOHN KEVIN	EQUITY RESEARCH ANALYST	-	BPI SECURITIES CORPORATION	RESIGNATION (end of internship)
2-Oct-24	APARENTE	JOHN KENNETH P.	CUSTOMER SERVICE REPRESENTATIVE	ADMIN ASSISTANT	INVESTORS SECURITIES, INC.	RE-DESIGNATION
2-Oct-24	ALCARAZ	ANNA LYNN C.	-	CUSTOMER SERVICE REPRESENTATIVE/ COLLECTION-	INVESTORS SECURITIES, INC.	NEW EMPLOYMENT
1-Oct-24	OPERIANO	MARY JOYCE A.	-	ACCOUNTING ASSISTANT	SUNSECURITIES, INC.	NEW EMPLOYMENT

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
1-Oct-24	ABALLE	VANESSA V.	FINANCIAL CONTROLLER	-	CLSA PHILIPPINES, INC.	RESIGNATION (clearance under process)
1-Oct-24	APOSTOL	ANGELICA ELAINE S.	-	FINANCIAL CONTROLLER - PHILIPPINES	CLSA PHILIPPINES, INC.	NEW EMPLOYMENT
1-Oct-24	MENTA	DARYLL M.	DEALER	INSTITUTIONAL SALES OFFICER	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
1-Oct-24	NARVAEZ	HERMENEGILDO	PRESIDENT AND CEO, DIRECTOR	-	BPI SECURITIES CORPORATION	RESIGNATION
1-Oct-24	RACE	MARK ROME	HEAD, INSTITUTIONAL SALES	PRESIDENT AND CEO, DIRECTOR	BPI SECURITIES CORPORATION	RE-DESIGNATION
1-Oct-24	SALAMERO	MARIA REMEDIOS	HEAD, IT	-	BPI SECURITIES CORPORATION	RESIGNATION
1-Oct-24	CASTULO	RIZZA M.	-	CLERK	FIDELITY SECURITIES, INC.	NEW EMPLOYMENT
1-Oct-24	PEÑA	EIRA DOMINIQUE V	INVESTOR RELATIONS OFFICER	-	CTS GLOBAL EQUITY GROUP, INC.	RESIGNATION (clearance under process)
1-Oct-24	AVILES	MIKO ANGELO G.	-	ASSOCIATE ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
1-Oct-24	FRANCISCO	AVA SHEKINAH A.	-	ASSOCIATE ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
1-Oct-24	ONG	LORRIE PHILLIMAE P.	-	ASSOCIATE ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
1-Oct-24	LUMANGYAO	FERNALENE JOY	-	ASSOCIATE ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
1-Oct-24	GAVIOLA	JO-ANN	-	CASH SETTLEMENT OFFICER	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
1-Oct-24	TORRES	ANGELO ACESYL S.	-	ASSISTANT COMPLIANCE OFFICER	MAYBANK SECURITIES INC.	NEW EMPLOYMENT
1-Oct-24	SAUNAR	CYNTHIA M.	-	ADMINISTRATIVE SPECIALIST II MBCT OFFICE OF THE	LANDBANK SECURITIES, INC.	NEW EMPLOYMENT

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
1-Oct-24	CABRAL	JENNIFER	ACCOUNTING OFFICER	-	LANDBANK SECURITIES, INC.	RESIGNATION
1-Oct-24	DEL MONTE	MARISSA E.	-	ACCOUNTING OFFICER	LANDBANK SECURITIES, INC.	NEW EMPLOYMENT
1-Oct-24	AGRAVANTE	RELERIE C.	-	CUSTOMER SERVICE ASSOCIATE	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
30-Sep-24	SERVENTO	ELLAINE ANN S.	-	ASSOCIATE RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
30-Sep-24	REYES	ADOLFO R.	SALESMAN	-	MDR SECURITIES, INC.	RESIGNATION
30-Sep-24	MARCOS	BRYLLE KENJI	INTERN	-	DRAGONFI SECURITIES, INC.	RESIGNATION
28-Sep-24	PUSPUS	JHAN LOUISE S.	CUSTOMER SERVICE ASSOCIATE	-	ABACUS SECURITIES CORPORATION	RESIGNATION (clearance under process)
27-Sep-24	SANTIBAÑEZ	RUTH BEA L.	LICENSE SALESMAN/ ONLINE TRADING ASST.	-	AP SECURITIES, INC.	RESIGNATION (cleared)
23-Sep-24	SISON	LUIGI MATTHEW D.	RESEARCH ASSISTANT	-	CTS GLOBAL EQUITY GROUP, INC.	RESIGNATION (clearance under process)
23-Sep-24	PLANCIA	JUANA MAY D.	-	NEW ACCOUNTS SUPPORT	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
23-Sep-24	MACEDA	ARLOREYNIEL	-	SYSTEMS ANALYST & PROGRAMMER	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
23-Sep-24	FAUSTO	RALPH JONATHAN B.	-	RESEARCH ASSOCIATE	CHINA BANK SECURITIES CORPORATION	NEW EMPLOYMENT
23-Sep-24	DESUASIDO	ANDREI PETER	GRAPHIC ARIST	-	BPI SECURITIES CORPORATION	RESIGNATION
20-Sep-24	TORRES	ANGELO ACESYL S.	SALESMAN	-	GUILD SECURITIES, INC.	RESIGNATION (cleared)
16-Sep-24	ESPIRITU	RUBEN ENRIQUE A.	HEAD -PRIME/WMG REGIONAL	HEAD-BROKERAGE SALES/WMG REGIONAL	BDO SECURITIES CORPORATION	RE-DESIGNATION

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
16-Sep-24	YUMUL-DIAZ	REGINA LEANNE B.	WEALTH PROFESSIONAL	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	RE-DESIGNATION
16-Sep-24	NG	GIAN MARCO D.	WEALTH PROFESSIONAL	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	RE-DESIGNATION
16-Sep-24	ELICANAL	THERESE MAXENE S.	WEALTH PROFESSIONAL	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	RE-DESIGNATION
16-Sep-24	VALMADRID	CARL GERALD V.	WEALTH PROFESSIONAL	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	RE-DESIGNATION
16-Sep-24	PEÑARANDA	MARA BERNADETTE D.	WEALTH PROFESSIONAL	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	RE-DESIGNATION
16-Sep-24	KOHZAI	MICHELLE O.	WEALTH PROFESSIONAL	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	RE-DESIGNATION
16-Sep-24	MANINGAS	MARIA ISABEL G.	WEALTH PROFESSIONAL	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	RE-DESIGNATION
16-Sep-24	LIM	NICOLE HUGGINS K.	WEALTH PROFESSIONAL	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	RE-DESIGNATION
16-Sep-24	SY	SERENA RHENE T.	WEALTH PROFESSIONAL	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	RE-DESIGNATION
16-Sep-24	PIOLO, JR.	RODOLFO O.	-	JANITOR	SOLAR SECURITIES, INC.	NEW EMPLOYMENT
9-Sep-24	CUA	MARIANO T.	SALESMAN	-	ASIA PACIFIC CAPITAL EQUITIES & SECURITIES CORPORATION	DECEASED
6-Sep-24	LAPLANA	ULYSSES JOVEN A.	CUSTOMER SERVICE ASSOCIATE	-	ABACUS SECURITIES CORPORATION	UPDATE: RESIGNATION (cleared)
2-Sep-24	GARNACE	PETER LOUISE D.	-	EQUITY RESEARCH ANALYST	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
2-Sep-24	GACUSAN	DANIEL A.	ACCOUNT SERVICES OFFICER	COMPLIANCE ASSISTANT OFFICER	BDO SECURITIES CORPORATION	RE-DESIGNATION
2-Sep-24	BALANAY	PRINCES PAULA M.	SENIOR RESEARCH ANALYST	ASSOCIATE RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	RE-DESIGNATION

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
1-Sep-24	ANGELES	ERWIN M.	BROKERAGE CONSULTANT	HEAD OF BROKERAGE - SALES	SEEDBOX SECURITIES, INC.	RE-DESIGNATION
24-May-24	ABUHON	FLORIE MAY L.	ASSOCIATE RELATIONSHIP MANAGER	-	BDO SECURITIES CORPORATION	RESIGNATION
24-May-24	DELA PAZ III	GERMAN T.	RESEARCH MANAGER	-	ABACUS SECURITIES CORPORATION	UPDATE: RESIGNATION (cleared)