



MEMORANDUM – 2025-007

TO : ALL TRADING PARTICIPANTS

SUBJECT : EMPLOYEE MOVEMENT

DATE : 07 MARCH 2025

Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 28 February 2025.

For information and guidance.

(original signed)

GERARD B. SANVICTORES

President

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DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
1-Mar-25	CURA	ABIGAIL	-	ASST TO THE IT HEAD	SUMMIT SECURITIES, INC.	NEW EMPLOYMENT
1-Mar-25	FETELBERO	KIM	-	ASST. CLERK (ACCOUNTING)	SUMMIT SECURITIES, INC.	NEW EMPLOYMENT
26-Feb-25	PAQUIA	JOSHUA ANGELO R.	EQUITY TRADE ASSOCIATE	EQUITY TRADE ASSOCIATE - SALESMAN	UNICAPITAL SECURITIES, INC.	RE-DESIGNATION
20-Feb-25	ONG	JEAN R.	-	OPERATIONS HEAD	PNB SECURITIES, INC.	NEW EMPLOYMENT
17-Feb-25	CHAN	DOROTHY AGNES D.	ACCOUNT OFFICER	-	BDO SECURITIES CORPORATION	RESIGNATION
17-Feb-25	BOOL	LEANNE CLAIRE M.	-	LEGAL OFFICER	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
17-Feb-25	BIACORA	RACHELLE ANNE P.	-	RESEARCH ANALYST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
16-Feb-25	BISCOCHO	LADY DIANE P.	DEPARTMENT HEAD - PRODUCT DEVELOPMENT	DEPARTMENT HEAD - PRODUCT MANAGEMENT	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
16-Feb-25	REANTASO	MARY ELIZABETH H.	PRODUCT DEVELOPMENT ASSOCIATE	PRODUCT MANAGEMENT ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
16-Feb-25	TINIMBANG	JERVIS C.	DEPARTMENT HEAD - DEALING / OIC, SALES AND DEALING DIVISION HEAD	DEPARTMENT HEAD - INSTITUTIONAL / OIC, SALES AND DEALING DIVISION HEAD	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
16-Feb-25	CO	RONALD EMMANUEL A.	DIVISION HEAD - OPERATIONS AND IT	DIVISION HEAD - OPERATIONS	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
16-Feb-25	PACIA	BRIAN ERMIN C.	SECTION HEAD - EXPANDED SERVICES	DEPARTMENT HEAD - EXPANDED SERVICES	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
16-Feb-25	GUTIERREZ	KRISTI MARIE R.	DEPARTMENT HEAD - HUMAN RESOURCES AND GENERAL ADMINISTRATION	DIVISION HEAD - HUMAN RESOURCES AND GENERAL ADMINISTRATION	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION

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16-Feb-25	DRIS	WILMINA G.	PROPERTY AND RECORDS ADMINISTRATIVE OFFICER	PROPERTY AND RECORDS ADMINISTRATIVE OFFICER / OIC, GENERAL ADMINISTRATION DEPARTMENT HEAD	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
16-Feb-25	LOBIANO	HERSHEY C.	SECTION HEAD - GENERAL SERVICES	GENERAL SERVICES ADMINISTRATIVE OFFICER	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
16-Feb-25	PAZ	JUSTINE MAE R	RECEPTIONIST/ ADMINISTRATIVE ASSOCIATE	ADMINISTRATIVE ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
16-Feb-25	ONG	JEAN R.	ASSOCIATED PERSON	-	LANDBANK SECURITIES, INC.	RESIGNATION (clearance under process)
16-Feb-25	MORA	RICHELLE F.	-	ASSOCIATED PERSON	LANDBANK SECURITIES, INC.	NEW EMPLOYMENT
16-Feb-25	MONTEMAYOR	CHARMAINE A.	SETTLEMENTS OFFICER	-	MAYBANK SECURITIES, INC.	RESIGNATION (clearance under process)
15-Feb-25	BROSAS	IRIS A.	OPERATIONS OFFICER	-	BDO SECURITIES CORPORATION	RESIGNATION
14-Feb-25	CAINDOC	ANTHONY V.	ASSISTANT DEVELOPMENT MANAGER	-	PHILSTOCKS FINANCIAL, INC.	RESIGNATION
13-Feb-25	BALIBALOS	JESTONE B.	-	TECHNICAL SUPPORT	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
13-Feb-25	NOGRALES	JOSEPH MARTIN A.	-	CERTIFIED EQUITY AND MUTUAL FUND SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
13-Feb-25	GAMUAC-ROLE	MA. ROSARIO GERTRUDES M.	-	CERTIFIED MUTUAL FUND SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
13-Feb-25	PALMOS	KEVIN EARL M.	IT MANAGER	-	MAYBANK SECURITIES, INC.	RESIGNATION (clearance under process)
10-Feb-25	SOBREVIÑAS	VICENTE JR. D.	-	ACCOUNTING SUPERVISOR	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
10-Feb-25	MENDOZA	TRISTEN MARIE D.	-	SALES MULTIMEDIA DESIGNER	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT

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10-Feb-25	PINEDA	JOSE MARIANO JR. C.	COMPLIANCE SUPERVISOR	-	GUILD SECURITIES, INC.	RESIGNATION (clearance under process)
10-Feb-25	GUARIN	MARKO IÑIGO T.	-	STUDENT INTERN	LUNA SECURITIES, INC.	NEW EMPLOYMENT
9-Feb-25	REYES	JOHN TRISTAN D.	HEAD, INSTITUTIONAL SALES	-	MAYBANK SECURITIES, INC.	RESIGNATION (clearance under process)
7-Feb-25	SORIANO	JOAHNNA S.	DEPUTY HEAD OF RESEARCH	-	PHILIPPINE EQUITY PARTNERS, INC.	RESIGNATION (cleared)
6-Feb-25	YAPTINCHAY	PATRICIA MARGARITA R.	PRESIDENT/ ACTING PSE NOMINEE	PRESIDENT/ NOMINEE	S. J. ROXAS & COMPANY, INC.	RE-DESIGNATION
6-Feb-25	CABANGON	MARIA GLORIOSA S.	-	INDEPENDENT DIRECTOR	STRATEGIC EQUITIES CORPORATION	NEW EMPLOYMENT
5-Feb-25	AMORA	JANET D.	HEAD - LOCAL EQUITIES OPERATIONS	HEAD - OPERATIONS	BDO SECURITIES CORPORATION	RE-DESIGNATION
5-Feb-25	YAP	JESSIE MARIE C.	HEAD - NON-LOCAL EQUITIES OPERATIONS/ TEAM HEAD - CLIENT FEES & RECEIVABLES PROCESSING TEAM (CONCURRENT)	HEAD - CLIENT FEES & RECEIVABLE PROCESSING	BDO SECURITIES CORPORATION	RE-DESIGNATION
5-Feb-25	PAQUIA	JOSHUA ANGELO R.	-	EQUITY TRADE ASSOCIATE	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
4-Feb-25	ROMERO	CZAIRAH JOY D.	RECON STAFF	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (cleared)
4-Feb-25	SAMONTESA	MARISA	DOCUMENTATION ASSISTANT	-	ABACUS SECURITIES CORPORATION	RESIGNATION (clearance under process)
4-Feb-25	CASA	GERYL KYLE F.	-	INTERN	DRAGONFI SECURITIES, INC.	NEW EMPLOYMENT
4-Feb-25	VILLALON	NESTOR C.	INDEPENDENT DIRECTOR	-	FIDELITY SECURITIES, INC.	DECEASED

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3-Feb-25	TE IV	LAMBERTO A.	-	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
3-Feb-25	AMOSCO	DIOANN	-	ACCOUNTING INTERN	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
3-Feb-25	ALMERO	LESLIE C.	-	SETTLEMENTS OFFICER	CLSA PHILIPPINES, INC.	NEW EMPLOYMENT
3-Feb-25	ISHIDA	KEITRIN P.	-	HR ASSISTANT	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
3-Feb-25	REYES	STEPHANIE FAYE B.	LEGAL SENIOR MANAGER/ ASSISTANT CORPORATE SECRETARY	LEGAL DEPUTY HEAD/ ASSISTANT CORPORATE SECRETARY	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
3-Feb-25	ORBIGO	KENNETH JOHN	UI/UX DESIGNER	-	DRAGONFI SECURITIES, INC.	RESIGNATION
3-Feb-25	RACO	REX F.	-	FILE CLERK	FIRST INTEGRATED CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
3-Feb-25	ACLA	RIGOR B.	ACCOUNTING ASSISTANT	SETTLEMENT OFFICER	GOLDSTAR SECURITIES, INC.	RE-DESIGNATION
3-Feb-25	VASCO	ANALIZA M.	-	OFFICER-IN-CHARGE	LANDBANK SECURITIES, INC.	NEW EMPLOYMENT
3-Feb-25	LAVALLE	ALLAN CHESTER S.	-	TRADER	LANDBANK SECURITIES, INC.	NEW EMPLOYMENT
3-Feb-25	CAMAGAY	MAVIS M.	OFFICER-IN-CHARGE	HEAD TRADER/ NOMINEE	LANDBANK SECURITIES, INC.	RE-DESIGNATION
1-Feb-25	GONZALES	MICHELLE ANNE D.	CLIENT ACQUISITION ASSISTANT SUPERVISOR	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
1-Feb-25	GONZALES	MICHELLE ANNE D.	CLIENT ACQUISITION ASSISTANT SUPERVISOR	-	COL FINANCIAL GROUP, INC.	RESIGNATION (cleared)
1-Feb-25	RONQUILLO	CONSOLACION S.	-	CASHIER	IGC SECURITIES, INC.	NEW EMPLOYMENT

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1-Feb-25	CASTRO	BENILDA N.	-	ADMINISTRATIVE ASSISTANT/RECEPTIONIST	IGC SECURITIES, INC.	NEW EMPLOYMENT
1-Feb-25	BISNAR	MA. LUISA C.	ACCOUNTANT	-	IGC SECURITIES, INC.	TERMINATION
1-Feb-25	REYES	RUBY E.	SECURITIES AND SETTLEMENT HEAD II	SECURITIES AND SETTLEMENT HEAD	IGC SECURITIES, INC.	RE-DESIGNATION
1-Feb-25	MALSI	MARY JANE R.	ASSISTANT ACCOUNTANT	JUNIOR ACCOUNTANT	IGC SECURITIES, INC.	RE-DESIGNATION
1-Feb-25	ROOT	KHEMIE C.	-	AUDIT OFFICER	PHILSTOCKS FINANCIAL, INC.	NEW EMPLOYMENT
1-Feb-25	RODRIGUEZ	ROMEO G.	INDEPENDENT DIRECTOR	-	STRATEGIC EQUITIES CORPORATION	RESIGNATION
1-Feb-25	YU	KENNETH LOGAN Y.	ASSOCIATE DIRECTOR, SALES TRADER	DIRECTOR, SALES TRADER	UBS SECURITIES PHILIPPINES INC.	RE-DESIGNATION
1-Feb-25	ENTRADA	CATHERINE F.	SETTLEMENT OFFICER	AUTHORIZED OFFICER, SETTLEMENT OFFICER	UBS SECURITIES PHILIPPINES INC.	RE-DESIGNATION
1-Feb-25	GUILLERMO	MAUREEN A.	-	SETTLEMENT MANAGER	VENTURE SECURITIES, INC.	NEW EMPLOYMENT
1-Feb-25	MOSENABRE	TERESITA B.	SETTLEMENT HEAD	ACCOUNTING MANAGER	VENTURE SECURITIES, INC.	RE-DESIGNATION
31-Jan-25	ASTURIAS	LEODA AUREA C.	ASSISTANT VICE PRESIDENT	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (clearance under process)
31-Jan-25	SACUEZA	REBECCA M.	SENIOR MANAGER-CASHIERING	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (cleared)
31-Jan-25	BALINGIT	RAMON ALFREDO III	EQUITY SALES TRADER	INDEPENDENT SALES AGENT	BPI SECURITIES CORPORATION	RE-DESIGNATION
31-Jan-25	SEJANE	BEVERLY H.	SETTLEMENT OFFICER	-	GOLDSTAR SECURITIES, INC.	RESIGNATION (not cleared)
31-Jan-25	ANICETO	MYLES BENEDICT S.	EXECUTIVE, SALES TRADING	-	MACQUARIE CAPITAL SECURITIES (PHILIPPINES), INC.	RESIGNATION (clearance under process)

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31-Jan-25	TECHICO	AUDREY GRACE C.	-	DIRECTOR/ VICE-CHAIRMAN/ CORPORATE SECRETARY	SALISBURY SECURITIES CORPORATION	NEW EMPLOYMENT
31-Jan-25	LAO	RUBENCITO L.	-	DIRECTOR/TREASURER	SALISBURY SECURITIES CORPORATION	NEW EMPLOYMENT
31-Jan-25	ASERON	XAVIER ALEXEN R.	-	INDEPENDENT DIRECTOR	SALISBURY SECURITIES CORPORATION	NEW EMPLOYMENT
31-Jan-25	GUTIERREZ	JERIKA EVERLY M.	-	ASSISTANT CORPORATE SECRETARY	SALISBURY SECURITIES CORPORATION	NEW EMPLOYMENT
31-Jan-25	BAILEY	SIMON B.	DIRECTOR/ PRESIDENT & NOMINEE	NOMINEE	SALISBURY SECURITIES CORPORATION	RE-DESIGNATION
31-Jan-25	TECHICO	BENITO G.	DIRECTOR/ TREASURER/ CORPORATE SECRETARY	DIRECTOR/ CHAIRMAN/ PRESIDENT	SALISBURY SECURITIES CORPORATION	RE-DESIGNATION
31-Jan-25	YAOKASIN, JR.	JIMMY T.	CHAIRMAN OF THE BOARD	-	SALISBURY SECURITIES CORPORATION	RESIGNATION
31-Jan-25	ALUNAN	ANTONIO R.	DIRECTOR/ VICE-CHAIRMAN/ ASSISTANT CORPORATE SECRETARY	-	SALISBURY SECURITIES CORPORATION	RESIGNATION
31-Jan-25	TAN	OLIVER Y.	INDEPENDENT DIRECTOR	-	SALISBURY SECURITIES CORPORATION	RESIGNATION
31-Jan-25	FAJARDO	MARIZA P.	HEAD OF DEALING	-	SALISBURY SECURITIES CORPORATION	RESIGNATION
31-Jan-25	MEANA	MELANI G.	ELECTRONIC TRADER	-	SALISBURY SECURITIES CORPORATION	RESIGNATION
31-Jan-25	GUTIERREZ	JOLLY C.	TRADER/DEALER	-	SALISBURY SECURITIES CORPORATION	RESIGNATION
31-Jan-25	LIGAMZON	JENNIE V.	TREASURY OFFICER	-	SALISBURY SECURITIES CORPORATION	RESIGNATION
31-Jan-25	DEA AGAS	KRYSTEL G.	ACCOUNTING ASSISTANT	-	SALISBURY SECURITIES CORPORATION	RESIGNATION

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31-Jan-25	PRADO	CRISTIN JOY L.	SETTLEMENT ASSISTANT	-	SALISBURY SECURITIES CORPORATION	RESIGNATION
31-Jan-25	BORDADORA	CHRISTINE JOIE B.	SETTLEMENT CLERK	-	SALISBURY SECURITIES CORPORATION	RESIGNATION
31-Jan-25	BALIBALOS	JESTONE B.	I.T. TECHNICAL SUPPORT	-	SALISBURY SECURITIES CORPORATION	RESIGNATION
31-Jan-25	NICOLAS	CRISANTO O.	MESSENGER	-	SALISBURY SECURITIES CORPORATION	RESIGNATION
31-Jan-25	DENUEVO	JERNY D.	UTILITY/HOUSEKEEPER	-	SALISBURY SECURITIES CORPORATION	RESIGNATION
30-Jan-25	ROMERO	CZAIRAH JOY D.	-	RECON STAFF	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
30-Jan-25	SIMPAO	MARVIN ROY S.	COMPLIANCE HEAD, DATA PRIVACY OFFICER AND ASSOCIATED PERSON	-	MAYBANK SECURITIES, INC.	RESIGNATION (cleared)
27-Jan-25	LAVALLE	ALLAN CHESTER S.	EQUITY INVESTMENT ADVISER - SALESMAN	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (cleared)
25-Jan-25	FUMERO	NANESHCA YVONNE F.	SOFTWARE ENGINEER	-	PHILSTOCKS FINANCIAL, INC.	RESIGNATION
24-Jan-25	DAQUIPIL	KIER ANDREA	-	ACCOUNTING ASSOCIATE	DRAGONFI SECURITIES, INC.	NEW EMPLOYMENT
24-Jan-25	GARALDE	REYNOLD C.	EQUITY TRADE SENIOR ASSOCIATE - SALESMAN	EQUITIES INVESTMENT ADVISER/ SALESMAN	UNICAPITAL SECURITIES, INC.	RE-DESIGNATION
21-Jan-25	DE LEON	CLAUDETTE PATRICIA ANN	MARKETING ASSOCIATE	-	DRAGONFI SECURITIES, INC.	RESIGNATION
20-Jan-25	JOSE	ERLINDA D.	-	EQUITY TRADER	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
20-Jan-25	FABRICANTE	JUSTIN	-	RESEARCH - INTERN	AP SECURITIES, INC.	NEW EMPLOYMENT

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20-Jan-25	CAMACHO	MARY JUSTINE C.	-	ASSISTANT CORPORATE SECRETARY	DAVID GO SECURITIES CORPORATION	NEW EMPLOYMENT
15-Jan-25	MENDOZA	JOEY M.	SETTLEMENT OFFICER	-	GOLDEN TOWER SECURITIES HOLDINGS, INC.	RESIGNATION
15-Jan-25	DIMAFELIX	PAULA CAMILLE R.	OPERATIONS ANALYST	-	REGIS PARTNERS, INC.	RESIGNATION (cleared)
14-Jan-25	CO-LING	SHELANE C.	SETTLEMENT SUPERVISOR	-	HDI SECURITIES, INC.	RESIGNATION (no clearance required)
14-Jan-25	ARCEO	GIANINNA BIANCA A.	JUNIOR DEALER	-	MAYBANK SECURITIES, INC.	RESIGNATION (cleared)
13-Jan-25	MENDOZA	JOEY M.	-	SETTLEMENT OFFICER	HDI SECURITIES, INC.	NEW EMPLOYMENT
13-Jan-25	DIAZ	CHRISTIAN CARL P.	ASSOCIATED PERSON/ COMPLIANCE OFFICER	ASSOCIATED PERSON/ COMPLIANCE OFFICER/ DATA PROTECTION OFFICER	LUNA SECURITIES, INC.	RE-DESIGNATION
13-Jan-25	SY	JANINE AUBREY S.	-	BUSINESS DEVELOPMENT INTERN	LUNA SECURITIES, INC.	NEW EMPLOYMENT
13-Jan-25	LABADDAY	NIÑA GRACE O.	-	OPERATIONS ASSISTANT	REGIS PARTNERS, INC.	NEW EMPLOYMENT
13-Jan-25	TAN	MARINA C.	-	SALESMAN	SEEDBOX SECURITIES, INC.	NEW EMPLOYMENT
13-Jan-25	TAN	CHASE FREDRICK A.	-	SALESMAN	SEEDBOX SECURITIES, INC.	NEW EMPLOYMENT
13-Jan-25	SANTOS	MICHAEL ANGELO G.	-	SALESMAN	SEEDBOX SECURITIES, INC.	NEW EMPLOYMENT
6-Jan-25	ARCEO	GIANINNA BIANCA A.	-	EXECUTIVE, SALES TRADING	MACQUARIE CAPITAL SECURITIES (PHILIPPINES), INC.	NEW EMPLOYMENT
1-Jan-25	FELICIANO	SUNDEE V.	AM-INTERNAL AUDITOR	-	RCBC SECURITIES, INC.	RESIGNATION (clearance under process)

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1-Jan-25	NATIVIDAD	JOSH DANIEL L.	FO - EQUITY RESEARCH ANALYST	-	RCBC SECURITIES, INC.	RESIGNATION (transferred to parent bank)
1-Jan-25	CABACUNGAN	INZAGHI RAFAEL D.	JAM - RESEARCH ANALYST	-	RCBC SECURITIES, INC.	RESIGNATION (transferred to parent bank)
1-Jan-25	VELASCO	MA. JIDGETTE V.	FVP-HEAD OF RESEARCH	-	RCBC SECURITIES, INC.	RESIGNATION (transferred to parent bank)
1-Jan-25	MAÑAGO	GIULIO RINALDO N.	FO - DIGITAL INNOVATIONS & ON-LINE RETAIL MARKETING ASSISTANT	-	RCBC SECURITIES, INC.	RESIGNATION (clearance under process)
28-Dec-24	PIMENTEL	IANTHE KIRSTEN P.	GRAPHIC DESIGNER	-	COL FINANCIAL GROUP, INC.	RESIGNATION (cleared)
30-Oct-24	GOMEZ	CHRISTINE ANN L.	ACCOUNTING ASSISTANT	-	ABACUS SECURITIES CORPORATION	UPDATE: RESIGNATION (cleared)
8-Oct-24	CODERA	IRISH T.	CUSTODY AND NOMINEE SERVICES STAFF	-	ABACUS SECURITIES CORPORATION	UPDATE: RESIGNATION (cleared)
15-Sep-24	ESTANISLAO	KIM BRIAN D.	ADMIN AND HR ASSISTANT	-	ABACUS SECURITIES CORPORATION	UPDATE: RESIGNATION (cleared)