



MEMORANDUM – 2025-011

TO : ALL TRADING PARTICIPANTS

SUBJECT : EMPLOYEE MOVEMENT

DATE : 04 APRIL 2025

Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 31 March 2025.

For information and guidance.

(original signed)

GERARD B. SANVICTORES

President

ACD	FHRAD	IED	SD

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
31-Mar-25	TADILI	JERMAINE D.	OPERATIONS SUPPORT – VICE PRESIDENT	-	J. P. MORGAN SECURITIES PHILIPPINES, INC.	RESIGNATION
31-Mar-25	FAVILA	CHLOIE JUSTINE A.	ACCOUNT OFFICER	-	BDO SECURITIES CORPORATION	RESIGNATION
28-Mar-25	ZAPE	JAY RUEL B.	FINANCIAL ANALYST	-	CTS GLOBAL EQUITY GROUP, INC.	RESIGNATION (clearance under process)
24-Mar-25	DANTES	RICARDO C.	BUSINESS SYSTEMS ANALYST	DIGITAL TRANSFORMATION OFFICER	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
24-Mar-25	GERNALE	RYAN KEVIN D.	-	CUSTOMER SERVICE ASSOCIATE	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
17-Mar-25	LEE SUNGA	LANCE AUSTIN C.	FINANCIAL ANALYST	CSR EQUITIES TRADER	CTS GLOBAL EQUITY GROUP, INC.	RE-DESIGNATION
17-Mar-25	DE SILVA	FRANCESCA MARIE T.	-	HR ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
17-Mar-25	BAYKER	DONNABEL S.	-	CUSTOMER CARE AND SALES ASSOCIATE	PHILSTOCKS FINANCIAL, INC.	NEW EMPLOYMENT
17-Mar-25	CONTADAN	ARABELLA JOY B.	-	CUSTOMER CARE AND SALES ASSOCIATE	PHILSTOCKS FINANCIAL, INC.	NEW EMPLOYMENT
17-Mar-25	MONZON	ELIJAH KRISTIAN R.	-	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
15-Mar-25	RAMOS	JOYCE ANNE J.	RESEARCH ANALYST	-	CLSA PHILIPPINES, INC.	RESIGNATION (clearance under process)
15-Mar-25	UNLAY	LUIS GABRIEL S.	VICE-PRESIDENT	-	TOWER SECURITIES, INC.	RESIGNATION
15-Mar-25	ASIGA	LEONEZZAR S.	OPERATIONS MANAGER – ASSOCIATE (OUTSOURCED)	OPERATIONS SUPPORT - ASSOCIATE	J. P. MORGAN SECURITIES PHILIPPINES, INC.	RE-DESIGNATION
15-Mar-25	BAUTISTA	MARK O.	TRADE LIFECYCLE ANALYST (OUTSOURCED)	TRADE LIFECYCLE ASSOCIATE (OUTSOURCED)	J. P. MORGAN SECURITIES PHILIPPINES, INC.	RE-DESIGNATION
13-Mar-25	LARESMA	MARIA CECILIA G.	CORPORATE ACCESS OFFICER	CORPORATE ACCESS OFFICER/INTERIM CORPORATE	MAYBANK SECURITIES INC.	RE-DESIGNATION

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
13-Mar-25	TORRES	ANGELO ACESYL S.	ASSISTANT COMPLIANCE OFFICER/ASSOCIATED PERSON	ASSISTANT COMPLIANCE OFFICER/ASSOCIATED PERSON/DATA PRIVACY OFFICER	MAYBANK SECURITIES INC.	RE-DESIGNATION
13-Mar-25	ENZON	ARVIN P.	-	ON-JOB-TRAINEE	WECAP FINANCIAL, INC.	NEW EMPLOYMENT
12-Mar-25	HABLA	LOUISE M.	SALES AND MARKETING ASSOCIATE	-	PHILSTOCKS FINANCIAL, INC.	RESIGNATION
8-Mar-25	LUKBAN	ATTY. CENON ANTONIO GERARD M.	-	CORPORATE SECRETARY	AAA SOUTHEAST EQUITIES, INC.	NEW EMPLOYMENT
7-Mar-25	MARTINEZ	EDMUND DANIEL P.	PRESIDENT	-	SEEDBOX SECURITIES, INC.	RESIGNATION (cleared)
7-Mar-25	KEIDA	SHUNICHI	NOMINEE-APPLICANT	CHAIRMAN/ PRESIDENT/ NOMINEE-APPLICANT	SEEDBOX SECURITIES, INC.	RE-DESIGNATION
6-Mar-25	VIÑAS	MICHAEL FRANCIS C.	CERTIFIED EQUITY SPECIALIST	CERTIFIED EQUITY AND MUTUAL FUND SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
6-Mar-25	AUGUIS	SHEILA MAE B.	HR ADMIN AND FACILITIES CLERK – CONTRACTUAL	-	MAYBANK SECURITIES INC.	RESIGNATION (no clearance required)
6-Mar-25	MORENO	JOSEF ANGELOUS G.	IT STAFF - CONTRACTUAL	-	MAYBANK SECURITIES INC.	RESIGNATION (no clearance required)
6-Mar-25	TANGUNAN	APRIL JOY P.	CUSTOMER CARE AND SALES ASSOCIATE	-	PHILSTOCKS FINANCIAL, INC.	RESIGNATION
4-Mar-25	AGUILLON	ALTAIR GRACE A.	INSTITUTIONAL SALES	-	CLSA PHILIPPINES, INC.	RESIGNATION (clearance under process)
4-Mar-25	LUNA	SOPHIA NICOLE N.	-	DIGITAL CHANNELS AND MARKETING ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
4-Mar-25	CHU	JESSIE	CONSULTANT	-	R. COYIUTO SECURITIES, INC.	RETIREMENT
4-Mar-25	WHANG	JULIE P.	SALESMAN	SETTLEMENTS ASSOCIATE	R. COYIUTO SECURITIES, INC.	RE-DESIGNATION

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
4-Mar-25	MARQUEZ	ALEXIS R.	-	DOCUMENTATION ASSISTANT	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
3-Mar-25	CRUZ	EURIZ S.	-	ACCOUNT SERVICES OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
3-Mar-25	REYES	JOHN TRISTAN GUILLERMO D.	-	HEAD OF INSTITUTIONAL SALES	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
3-Mar-25	ALIWALAS	VICTOR NAPOLEON G.	-	CHIEF REVENUE OFFICER	WECAP FINANCIAL, INC.	NEW EMPLOYMENT
3-Mar-25	DAGALEA	ALLIEN M.	-	DISBURSEMENT CLERK	RCBC SECURITIES, INC.	NEW EMPLOYMENT
3-Mar-25	GALANG	MARK JASON	-	JUNIOR BACKEND DEVELOPER	DRAGONFI SECURITIES, INC.	NEW EMPLOYMENT
3-Mar-25	CASULLA	JERALD	-	JUNIOR FRONTEND DEVELOPER	DRAGONFI SECURITIES, INC.	NEW EMPLOYMENT
3-Mar-25	ROLE	MA. ROSARIO GERTRUDES G.	CERTIFIED MUTUAL FUND SPECIALIST	CERTIFIED FUND SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
3-Mar-25	DELA TORRE III	MAMERTO T.	-	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
3-Mar-25	FRANCISCO	HARRIET L.	-	AUTHORIZED OFFICER, FINANCIAL AND REGULATORY REPORTING SPECIALIST	UBS SECURITIES PHILIPPINES INC.	NEW EMPLOYMENT
3-Mar-25	MEANA	MELANI G.	-	SETTLEMENT ASSISTANT	SALISBURY SECURITIES CORPORATION	NEW EMPLOYMENT
3-Mar-25	GUTIERREZ	JOLLY C.	-	TRADING AGENT	SALISBURY SECURITIES CORPORATION	NEW EMPLOYMENT
1-Mar-25	GUDEN	JERAMIE D.	-	ASSOCIATE ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
1-Mar-25	SARDIDO	JAYMAR Q.	ASSOCIATE ACCOUNT OFFICER	-	BDO SECURITIES CORPORATION	TRANSFER TO BDO PB
1-Mar-25	DAGANZO	LESLEY LORELIE V.	ASSOCIATE ACCOUNT OFFICER	-	BDO SECURITIES CORPORATION	TRANSFER TO BDO PB

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
1-Mar-25	CHUA	NATASHA CAMILLE D.	CUSTOMER SUPPORT ASST. SUPERVISOR, QUALITY ASSURANCE	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
1-Mar-25	GANTANG	ANGELICA R.	HR ASSISTANT	HR SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Mar-25	VILLARAZA	CAMILLE ANN R.	NEW ACCOUNTS ASSOCIATE	CLIENT ACQUISITION ASSOCIATE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Mar-25	HIYAO	PATRICIA MAE L.	CUSTOMER SUPPORT STAFF	NEW ACCOUNTS STAFF	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Mar-25	ANTONIO	MARY GRACE ANNE S.	NEW ACCOUNTS ASSOCIATE	CLIENT EXPERIENCE ASSOCIATE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Mar-25	MANIBA	ZAIRA CLAIRE A.	CUSTOMER SUPPORT ASSISTANT	CLIENT EXPERIENCE ASSISTANT	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Mar-25	FRANCISCO	HARRIET L.	TREASURY ASSISTANT MANAGER	-	MAYBANK SECURITIES INC.	RESIGNATION (clearance under process)
1-Mar-25	REANTASO	NINO EMMANUEL H.	-	MULTIMEDIA/ CREATIVES LEAD	SEEDBOX SECURITIES, INC.	NEW EMPLOYMENT
1-Mar-25	ABAYARI	CHRISTIAN D.	-	MARKETING ASSOCIATE	SEEDBOX SECURITIES, INC.	NEW EMPLOYMENT
1-Mar-25	GALLEVO	POLYNE F.	-	ASSISTANT MARKETING DATA & INSIGHTS MANAGER	SEEDBOX SECURITIES, INC.	NEW EMPLOYMENT
1-Mar-25	LOPEZ	DANIEL PHRANZ C.	-	BUSINESS DEVELOPMENT LEAD	SEEDBOX SECURITIES, INC.	NEW EMPLOYMENT
1-Mar-25	PADIT	BEA MARIE L.	-	ASSISTANT CUSTOMER SERVICES MANAGER	SEEDBOX SECURITIES, INC.	NEW EMPLOYMENT
1-Mar-25	CAMACHO	ROSALY T.	OPERATIONS MANAGER	OPERATIONS LEAD	SEEDBOX SECURITIES, INC.	RE-DESIGNATION
1-Mar-25	CRUZ	ELIZABETH C.	FINANCE/OPERATIONS ASSOCIATE	OPERATIONS ASSOCIATE	SEEDBOX SECURITIES, INC.	RE-DESIGNATION

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
1-Mar-25	ANGELES	ERWIN M.	HEAD OF BROKERAGE -SALES	HEAD OF BROKERAGE	SEEDBOX SECURITIES, INC.	RE-DESIGNATION
1-Mar-25	NOREGA	ERMEN G.	TRADING ASSISTANT	SALES ADMIN ASSOCIATE	SEEDBOX SECURITIES, INC.	RE-DESIGNATION
28-Feb-25	DE CELIS	JERVIN S.	EQUITY TRADING AND RESEARCH MANAGER	-	TIMSON SECURITIES, INC.	RESIGNATION (cleared)
28-Feb-25	MALASAN	BENJAMIN C.	-	COMPANY DRIVER	GUILD SECURITIES, INC.	NEW EMPLOYMENT
28-Feb-25	CARDENAS	GENALYN S.	ACCOUNTING STAFF	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (clearance under process)
28-Feb-25	NER	RENE JOSEPH P.	VICE PRESIDENT- SALESMAN	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (cleared)
22-Feb-25	ESPIÑO	ROSANNA E.	CORPORATE SECRETARY AND LEGAL OFFICER	-	MAYBANK SECURITIES INC.	RESIGNATION (clearance under process)
21-Feb-25	SAMBAT	GENEVA A.	JAM- SECTION HEAD OF GENERAL ADMIN AND DISBURSEMENT	-	RCBC SECURITIES, INC.	RESIGNATION (clearance under process)
21-Feb-25	YAP	PRICE EDWARD C.	-	CHAIRMAN OF THE BOARD	SB EQUITIES, INC.	NEW EMPLOYMENT
20-Feb-25	ADORNADO	VIANCA MARIE	-	BUSINESS DEVELOPMENT LEAD	DRAGONFI SECURITIES, INC.	NEW EMPLOYMENT
17-Feb-25	RABO	JAMEL R.	-	ACCOUNTING CLERK (ON PROBATION)	MDR SECURITIES, INC.	NEW EMPLOYMENT
13-Feb-25	NOGRALES	JOSEPH MARTIN A.	-	CERTIFIED EQUITY AND MUTUAL FUND SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
13-Feb-25	ROLE	MA. ROSARIO GERTRUDES G.	-	CERTIFIED MUTUAL FUND SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
13-Feb-25	DANG-AT	SARA JEAN T.	-	ACCOUNTING STAFF	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
5-Feb-25	PATORARA	ISAAH MARIE P.	ACCOUNTING ASSISTANT	-	MERCANTILE SECURITIES CORPORATION	RESIGNATION (did not apply for clearance)

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
4-Feb-25	SAMONTESA	MARISA	DOCUMENTATION ASSISTANT	-	ABACUS SECURITIES CORPORATION	UPDATE: RESIGNATION (cleared)
1-Feb-25	BENGCO	ARNOLD	NOMINEE	-	SB EQUITIES, INC.	RESIGNATION
6-Jan-25	TORRES	MICHELE	INDEPENDENT DIRECTOR	-	MARIAN SECURITIES, INC.	RESIGNATION
6-Jan-25	TIONG	JOHN	-	INDEPENDENT DIRECTOR	MARIAN SECURITIES, INC.	NEW EMPLOYMENT
6-Jan-25	VELOSO	LORNA	INDEPENDENT DIRECTOR	-	MARIAN SECURITIES, INC.	RETIREMENT
6-Jan-25	GREGORIO	LALLA MARIE	-	INDEPENDENT DIRECTOR	MARIAN SECURITIES, INC.	NEW EMPLOYMENT