



MEMORANDUM – 2025-012

TO : ALL TRADING PARTICIPANTS
SUBJECT : EMPLOYEE MOVEMENT
DATE : 02 MAY 2025

Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 30 April 2025.

For information and guidance.

(original signed)

GERARD B. SANVICTORES

President

ACD	FHRAD	IED	SD

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
1-May-25	LICSI	MARY JANE F.	TRADER / HOUSE SALES MANAGER	SALESMAN	PHILSTOCKS FINANCIAL, INC.	RE-DESIGNATION
28-Apr-25	MACATANGAY	EDWIN A.	SALES AGENT	-	MAYBANK SECURITIES INC.	RESIGNATION (clearance under process)
28-Apr-25	GALUTERA	KIM CHARMAINE	-	EQUITY RESEARCH INTERN	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
23-Apr-25	CASANO	BRYLYN O.	-	ACCOUNT MAINTENANCE ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
21-Apr-25	UNLAY	LUIS GABRIEL S.	-	ASSOCIATE	CLSA PHILIPPINES, INC.	NEW EMPLOYMENT
19-Apr-25	ORNUM	DELJAN LUTHER H.	SALESMAN	-	MAYBANK SECURITIES INC.	RESIGNATION (clearance under process)
17-Apr-25	MARQUEZ	JASPER D.	SALES AGENT	-	MAYBANK SECURITIES INC.	RESIGNATION (clearance under process)
17-Apr-25	ZAMORA	ARVIN G.	OPERATIONS SUPPORT ASSOCIATE (OUTSOURCED)	-	J. P. MORGAN SECURITIES PHILIPPINES, INC.	RESIGNATION (clearance under process)
16-Apr-25	SAVELLANO	JILLENE C.	DEPARTMENT HEAD - COMPLIANCE MANAGEMENT/ DATA PROTECTION OFFICER/ ASSOCIATED PERSON	DEPARTMENT HEAD - COMPLIANCE MANAGEMENT / ASSOCIATED PERSON	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
16-Apr-25	KAWABATA	JEFFREY S.	DEPARTMENT HEAD - INFORMATION SECURITY	DEPARTMENT HEAD - INFORMATION SECURITY/ DATA PROTECTION OFFICER	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
16-Apr-25	YU	MICHELLE ANGELINE N.	DIRECTOR/ CLIENT MANAGEMENT SUPERVISOR	DIRECTOR	CTS GLOBAL EQUITY GROUP, INC.	RE-DESIGNATION
16-Apr-25	TUMABIENE	CHARINA S.	ACCOUNTING SPECIALIST	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
16-Apr-25	BUNAO	KATHERINE MAE I.	-	ASSISTANT CORPORATE SECRETARY	MERIDIAN SECURITIES, INC.	NEW EMPLOYMENT

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16-Apr-25	BITANCOR	GIANNA CHRIS GAILE E.	ASSISTANT CORPORATE SECRETARY	-	MERIDIAN SECURITIES, INC.	RESIGNATION (no clearance required)
15-Apr-25	ROMERO	MARVIN WILLIAMS C.	-	SETTLEMENT ASSISTANT	GLOBALINKS SECURITIES & STOCKS, INC.	NEW EMPLOYMENT
14-Apr-25	YAP	JESSIE MARIE C.	HEAD - CLIENT FEES & RECEIVABLE PROCESSING	-	BDO SECURITIES CORPORATION	RESIGNATION
14-Apr-25	ACEDERA	JOSEPH EDMAR D.	-	CERTIFIED UITF SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
14-Apr-25	GONZALES	PHILIP LEONARD T.	-	CERTIFIED UITF SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
14-Apr-25	TAN	LAURA MIKAELA G.	-	CERTIFIED UITF SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
14-Apr-25	ZAMORA	RAFAEL JOSE B.	-	CERTIFIED UITF SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
13-Apr-25	LOPEZ	EARL MATTHEW M.	RETAIL SALES OFFICER	-	MAYBANK SECURITIES INC.	RESIGNATION (clearance under process)
12-Apr-25	UNLAY	ROSITA L.	DIRECTOR	-	TOWER SECURITIES, INC.	DECEASED
11-Apr-25	AQUINO	MIGUEL LUIS R.	SALES AGENT	-	MAYBANK SECURITIES INC.	RESIGNATION (clearance under process)
11-Apr-25	PINEDA JR.	JOSE MARIANO C.	-	COMPLIANCE OFFICER	WECAP FINANCIAL, INC.	NEW EMPLOYMENT
10-Apr-25	ROMAN	CARLO JOSEPH A.	SALES AGENT	-	MAYBANK SECURITIES INC.	RESIGNATION (clearance under process)
8-Apr-25	TO	FREDRICH RUSSELL	-	EQUITY RESEARCH INTERN	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
7-Apr-25	SILVA	CARL MICHAEL M.	IT ASSISTANT	-	PAPA SECURITIES CORPORATION	RESIGNATION (cleared)
7-Apr-25	ALONSO	MICHEL XAVIER H.	RESEARCH ANALYST	-	MAYBANK SECURITIES INC.	RESIGNATION (no clearance required)

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5-Apr-25	MONTINOLA	MA. NATIVIDAD D.	SALES AGENT	-	MAYBANK SECURITIES INC.	RESIGNATION (clearance under process)
4-Apr-25	BALANI	PRANAV V.	EQUITY ANALYST	-	PAPA SECURITIES CORPORATION	RESIGNATION (cleared)
4-Apr-25	WHALLEY	MARTIN ANSEL	PLATFORM BUSINESS DEVELOPMENT OFFICER/ ALTERNATE ASSOCIATED PERSON	PLATFORM BUSINESS DEVELOPMENT OFFICER	BPI SECURITIES CORPORATION	RE-DESIGNATION
4-Apr-25	PAQUIA	JOSHUA ANGELO R.	EQUITY TRADE ASSOCIATE - SALESMAN	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (without clearance)
3-Apr-25	ESGUERRA	ELMA F.	HEAD, CORPORATE PLANNING & STRATEGY	-	MAYBANK SECURITIES INC.	RESIGNATION (clearance under process)
2-Apr-25	LIGAMZON	JENNIE V.	-	JUNIOR ACCOUNTANT	SARANGANI SECURITIES, INC.	NEW EMPLOYMENT
2-Apr-25	CHAN	JANELL ALEXIS S.	-	JUNIOR FINANCIAL ANALYST	EASTERN SECURITIES DEVELOPMENT CORPORATION	NEW EMPLOYMENT
2-Apr-25	TULBO	JERMAINE A.	-	GRAPHIC DESIGNER	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
2-Apr-25	TORRANO	YSABELLE ANDRE	INTERN	MARKETING ASSOCIATE	DRAGONFI SECURITIES INC.	RE-DESIGNATION
1-Apr-25	REANTASO	MARY ELIZABETH H.	PRODUCT MANAGEMENT ASSOCIATE	PRODUCT MANAGEMENT OFFICER	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
1-Apr-25	VICTORINO	RHODELIZA O.	OPERATIONS ASSOCIATE	OPERATIONS OFFICER	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
1-Apr-25	MAGUDDAYAO	JAYSON	MESSENGER	OFFICE CLERK	PAN ASIA SECURITIES CORPORATION	RE-DESIGNATION
1-Apr-25	GAITE	JOSE FERNANDO VICTOR M.	-	SALESMAN	BA SECURITIES, INC.	NEW EMPLOYMENT

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1-Apr-25	ASTER	KATHLEEN GRACE	CORPORATE ACTIONS SPECIALIST	-	BPI SECURITIES CORPORATION	RESIGNATION
1-Apr-25	ONG	LESTER	TREASURER	CHAIRMAN	BPI SECURITIES CORPORATION	RE-DESIGNATION
1-Apr-25	VALERIO	SIMON TRISTAN	HEAD OF ACTIVE ADVISORY GROUP	HEAD OF ACTIVE ADVISORY GROUP; TREASURER	BPI SECURITIES CORPORATION	RE-DESIGNATION
1-Apr-25	VELOSO	ROLAND GERARD	CHAIRMAN	-	BPI SECURITIES CORPORATION	RETIREMENT
1-Apr-25	DEOMAMPO	NIÑA RIZA M.	ACCOUNTING ASSISTANT	ACCOUNTANT	A.T. DE CASTRO SECURITIES CORPORATION	RE-DESIGNATION
1-Apr-25	TIBAYAN	ALLYSSA D.	ACCOUNTANT	-	A.T. DE CASTRO SECURITIES CORPORATION	RESIGNATION
1-Apr-25	BERNABE	DANIELLE KIANA F.	SUPPORT ANALYST (OUTSOURCED)	-	J. P. MORGAN SECURITIES PHILIPPINES, INC.	RESIGNATION (no clearance required)
1-Apr-25	MAGCUYAO	ERNESTO T.	MESSENGER	-	E. CHUA CHIACO SECURITIES, INC.	RESIGNATION
1-Apr-25	VILLARUZ	MARTIN VINCENT V.	-	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
1-Apr-25	DE CELIS	JERVIN S.	-	EQUITY TRADER	THE FIRST RESOURCES MGMT. SECURITIES CORP.	NEW EMPLOYMENT
1-Apr-25	RAMIREZ	JOHN MICHAEL F.	-	OPERATIONS SUPPORT - VICE PRESIDENT (OUTSOURCED)	J. P. MORGAN SECURITIES PHILIPPINES, INC.	NEW EMPLOYMENT
1-Apr-25	SATUR	JELITA	-	OFFICE STAFF COORDINATOR	STAR ALLIANCE SECURITIES CORP.	NEW EMPLOYMENT
1-Apr-25	MALASA	HERMES M.	MESSENGER	-	RTG & CO., INC.	RETIREMENT
31-Mar-25	LOGRONIO	JAON E.	-	MESSENGER	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
29-Mar-25	PEREA	FRANCES BIANNE	ACCOUNTING SPECIALIST	-	BPI SECURITIES CORPORATION	RESIGNATION

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28-Mar-25	YAM	CECILIA NOELLE	EQUITY RESEARCH INTERN	-	BPI SECURITIES CORPORATION	RESIGNATION (end of contract)
28-Mar-25	KWOK	NIKOLAS TRISTAN	EQUITY RESEARCH INTERN	-	BPI SECURITIES CORPORATION	RESIGNATION (end of contract)
28-Mar-25	OLIVEROS	ANDREA MARIELLE P.	EQUITY ANALYST	-	PAPA SECURITIES CORPORATION	RESIGNATION (cleared)
28-Mar-25	SIAN	DIKKI JEAN Y.	-	ASSISTANT CORPORATE SECRETARY	IMPERIAL, DE GUZMAN, ABALOS & CO., INC.	NEW EMPLOYMENT
27-Mar-25	AVILES	MIKO ANGELO G.	-	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
27-Mar-25	BALANAY	PRINCES PAULA M.	-	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
27-Mar-25	CANLAS	RONNEL S.	-	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
27-Mar-25	ELEGINO	HANNAH SOFIA R.	-	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
27-Mar-25	FRANCISCO	AVA SHEKINAH A.	-	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
27-Mar-25	LUMANGYAO	FERNALENE JOY N.	-	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
27-Mar-25	ONG	LORRIE PHILLIMAE P.	-	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
27-Mar-25	POTENCIANDO	LORAIN L.	-	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
27-Mar-25	SAN JUAN	MARTHA YSABELLE B.	-	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
27-Mar-25	TUAZON	YSABELLA MARIA ANGELICA A.	-	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
26-Mar-25	CACHO	JENNIFER M.	-	TRAINEE	A.T. DE CASTRO SECURITIES CORPORATION	NEW EMPLOYMENT

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24-Mar-25	DICHOSO	JOY L.	-	SETTLEMENT ASSISTANT	SARANGANI SECURITIES, INC.	NEW EMPLOYMENT
24-Mar-25	DELA CRUZ	JAN RENZO	-	EVENTS SPECIALIST	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
20-Mar-25	TOLENTINO	ARIANE GRACE F.	-	ASSISTANT CORPORATE SECRETARY	CHINA BANK SECURITIES CORPORATION	NEW EMPLOYMENT
19-Mar-25	PEÑAFLOR	MONNETTE D.	ACCOUNTING ASSISTANT	-	SALISBURY SECURITIES CORPORATION	RESIGNATION
17-Mar-25	COLLADO	ALTHEA C.	-	FUND SOURCE ADMIN STAFF	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
17-Mar-25	PASAMONTE	RONALDO	-	MESSENGER	PAN ASIA SECURITIES CORPORATION	NEW EMPLOYMENT
16-Mar-25	PENONIA	SHEILA MAE P.	OFFICE STAFF COORDINATOR	-	STAR ALLIANCE SECURITIES CORP.	RESIGNATION (clearance under process)
15-Mar-25	DICHOSO	JOY L.	SETTLEMENT ASSISTANT	-	SALISBURY SECURITIES CORPORATION	RESIGNATION
15-Mar-25	PASAMONTE	RONALDO B.	MESSENGER	-	SALISBURY SECURITIES CORPORATION	RESIGNATION
15-Mar-25	RAMOS	JOYCE ANNE J.	RESEARCH ANALYST	-	CLSA PHILIPPINES, INC.	UPDATE: RESIGNATION (cleared)
14-Mar-25	BERNABE	ROMEO	LEAD BACKEND ENGINEER	-	AAA SOUTHEAST EQUITIES, INC.	RESIGNATION (cleared)
10-Mar-25	GONZALEZ	DIANNE E.	BUSINESS DEVELOPMENT MANAGER	-	EASTERN SECURITIES DEVELOPMENT CORPORATION	RESIGNATION (clearance under process)
7-Mar-25	ONG	ABIGAIL P.	-	AUTHORIZED SIGNATORY	SALISBURY SECURITIES CORPORATION	NEW EMPLOYMENT
4-Mar-25	AGUILLON	ALTAIR GRACE A.	INSTITUTIONAL SALES	-	CLSA PHILIPPINES, INC.	RESIGNATION (cleared)
1-Mar-25	FRANCISCO	HARRIET L.	TREASURY ASSISTANT MANAGER	-	MAYBANK SECURITIES INC.	UPDATE: RESIGNATION (cleared)

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22-Feb-25	ESPIÑO	ROSANNA E.	CORPORATE SECRETARY AND LEGAL OFFICER	-	MAYBANK SECURITIES INC.	UPDATE: RESIGNATION (cleared)
16-Feb-25	LICHAUCO	MICHAEL M.	RELATIONSHIP OFFICER (OIC - DEPARTMENT HEAD FOR PRIVATE CLIENTS)	DEPARTMENT HEAD - PRIVATE CLIENTS	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
16-Feb-25	MONTEMAYOR	CHARMAINE A.	SETTLEMENTS OFFICER	-	MAYBANK SECURITIES INC.	UPDATE: RESIGNATION (cleared)
13-Feb-25	PALMOS	KEVIN EARL M.	IT MANAGER	-	MAYBANK SECURITIES INC.	UPDATE: RESIGNATION (cleared)
9-Feb-25	REYES	JOHN TRISTAN D.	HEAD, INSTITUTIONAL SALES	-	MAYBANK SECURITIES INC.	UPDATE: RESIGNATION (cleared)
13-Dec-24	NOREGA	ERMEN G.	CUSTOMER SERVICE STAFF	-	ABACUS SECURITIES CORPORATION	UPDATE: RESIGNATION (cleared)
23-Sep-24	SISON	LUIGI MATTHEW D.	RESEARCH ASSISTANT	-	CTS GLOBAL EQUITY GROUP, INC.	UPDATE: RESIGNATION (cleared)